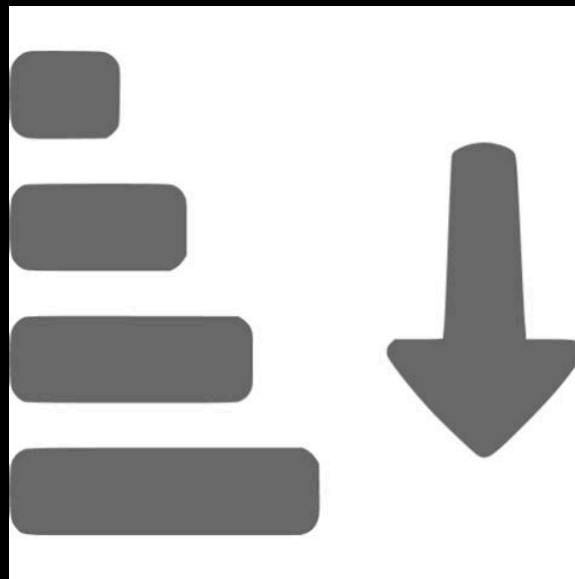


# Sorting



Tiziana Ligorio

Hunter College of The City University of New York

# Announcements

No tech-prep workshop today

We will resume next week

# Plagiarism

Plagiarism is a serious problem

- It seriously damages your future ability to have a successful career

Why do we care?

- Your passing the course is an achievement that reflects your mastery of certain knowledge and skills
- If you plagiarize your way through college, that correlation no longer holds and **our degree becomes meaningless**
- There are many students doing hard work and achieving great results, and we **owe it to them that their degree will be regarded with respect**

# Today's Plan



Recap

Sorting algorithms and  
their analysis

# Recap

- Linear search  $O(n)$
- Binary search  $O(\log n)$

# Sorting

Rearranging a sequence into increasing  
(decreasing) order!

# Several approaches

Can do it in many ways

What is the best way?

Let's find out using Big-O

# Last Time Lecture Activity

Write **pseudocode** to sort an array.

543	3	523	76	200	158	195	108	43	274	100	14	599
-----	---	-----	----	-----	-----	-----	-----	----	-----	-----	----	-----

**Find your algorithm, I will ask you about it soon!!!**

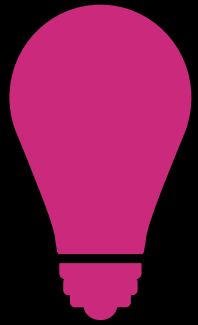


There are many approaches to sorting  
We will look at some comparison-  
based approaches here

# Selection Sort

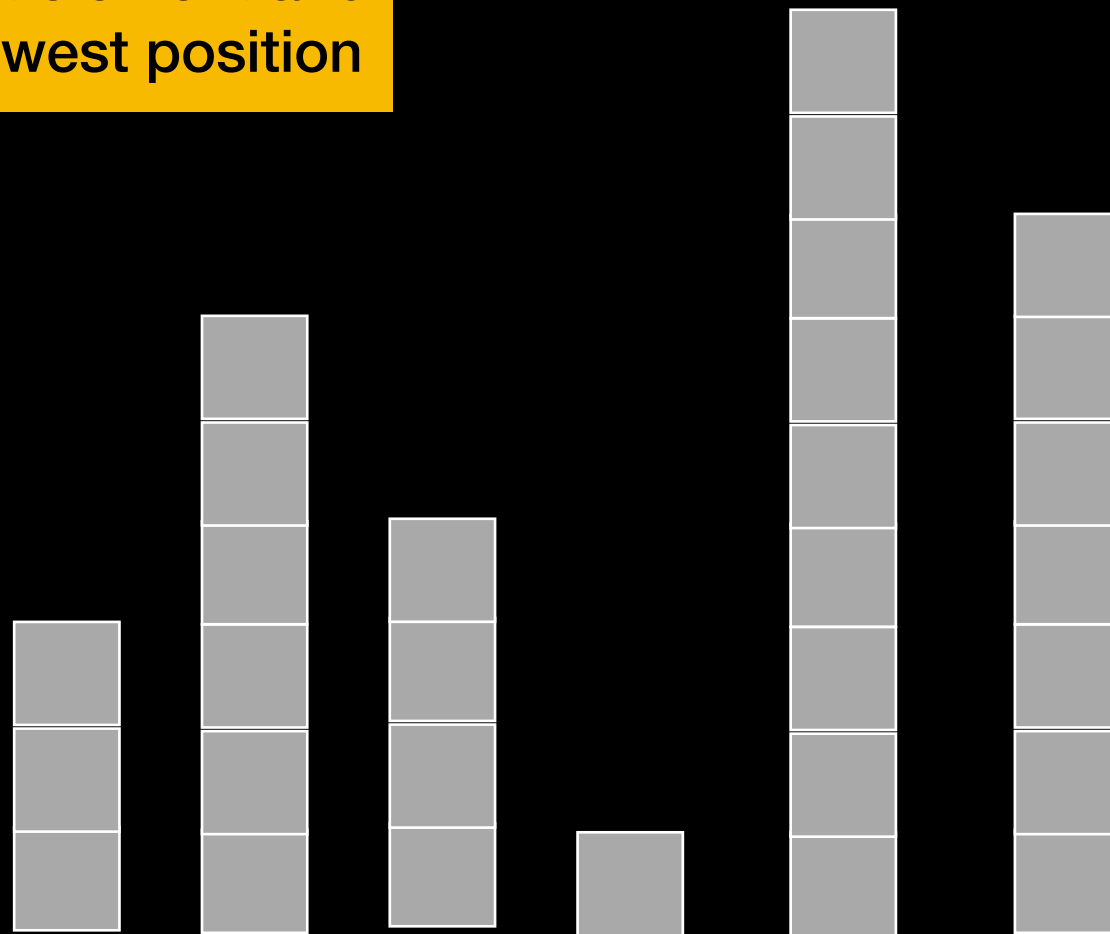
# Selection Sort

■ Unsorted  
■ Sorted



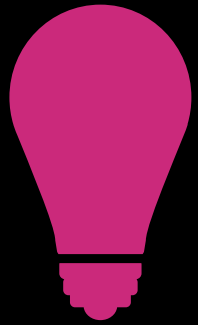
Find smallest element and move it at lowest position

**1st Pass**



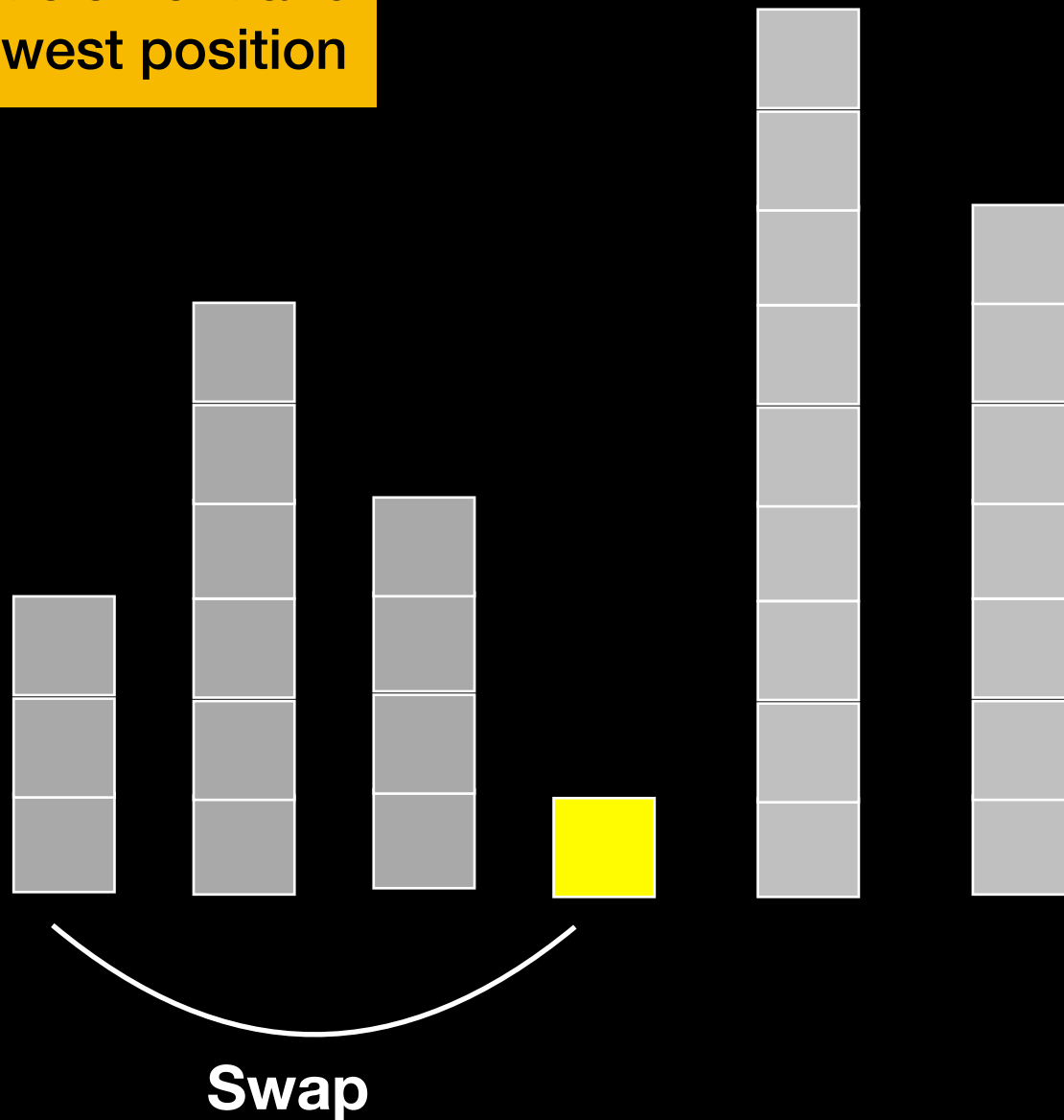
# Selection Sort

■ Unsorted  
■ Sorted



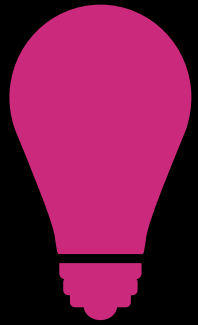
Find smallest element and move it at lowest position

**1st Pass**



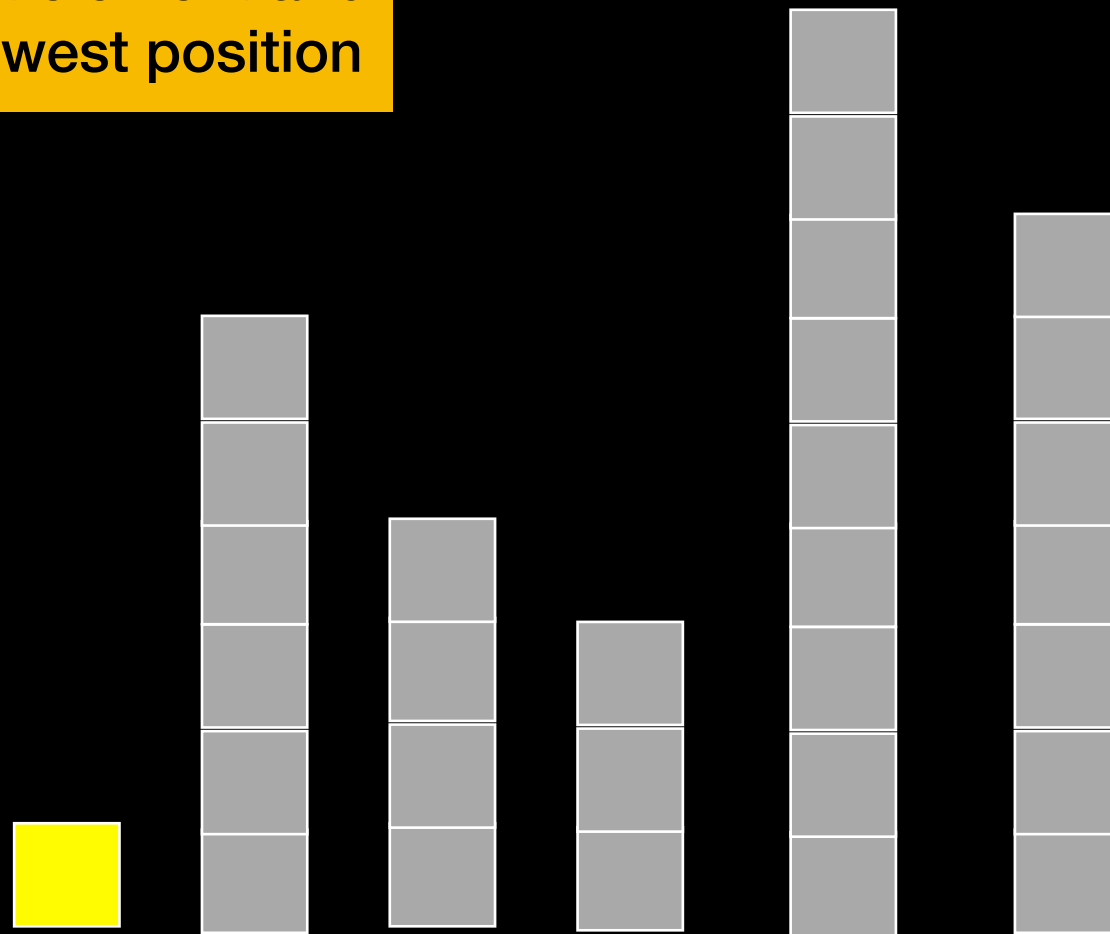
# Selection Sort

■ Unsorted  
■ Sorted

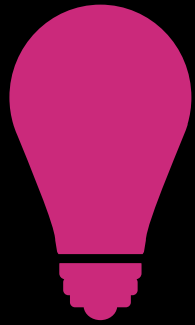
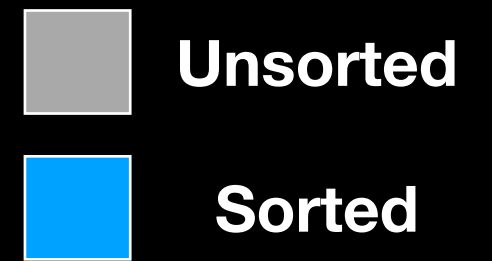


Find smallest element and move it at lowest position

**1st Pass**

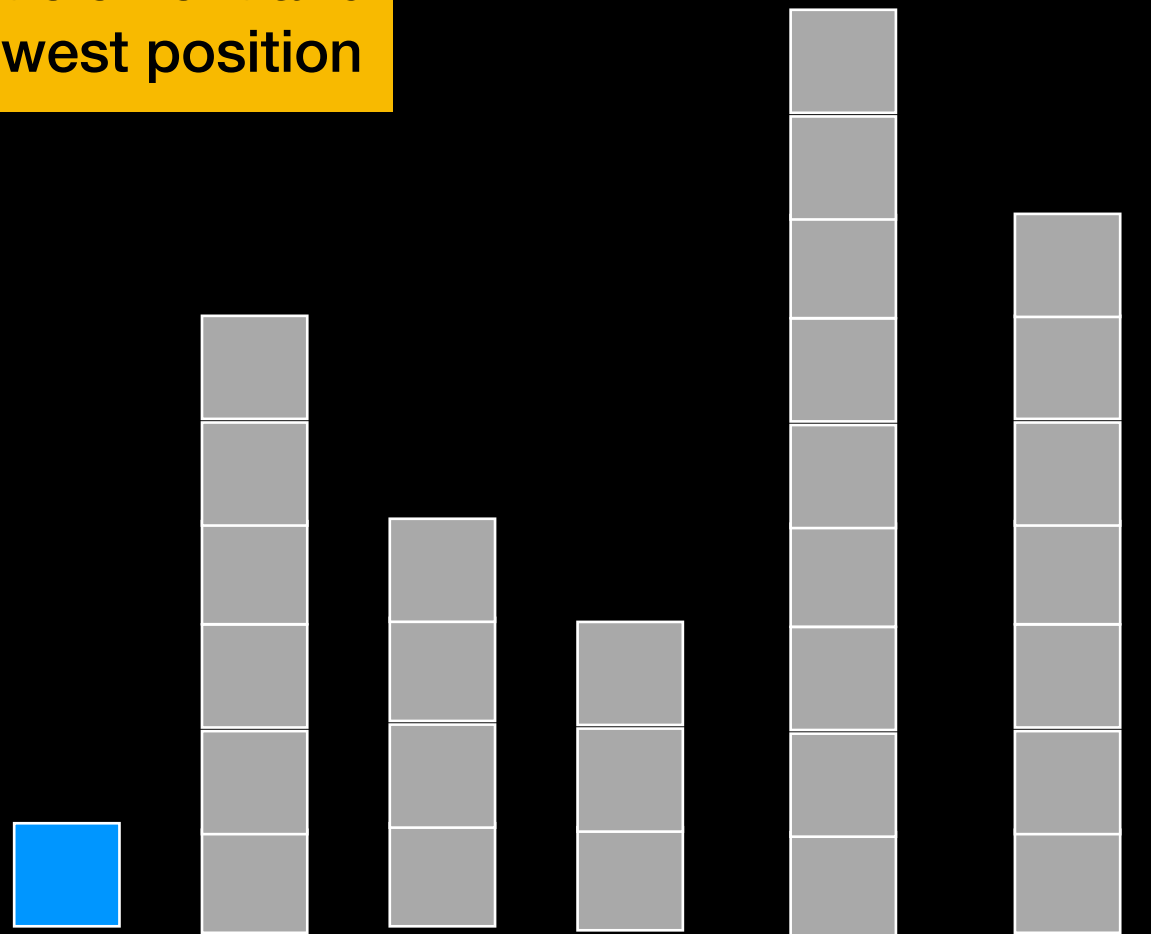


# Selection Sort



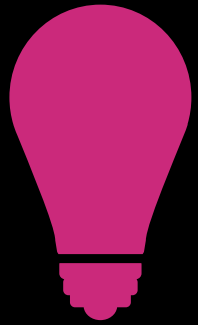
Find smallest element and  
move it at lowest position

**2nd Pass**



Unsorted

# Selection Sort

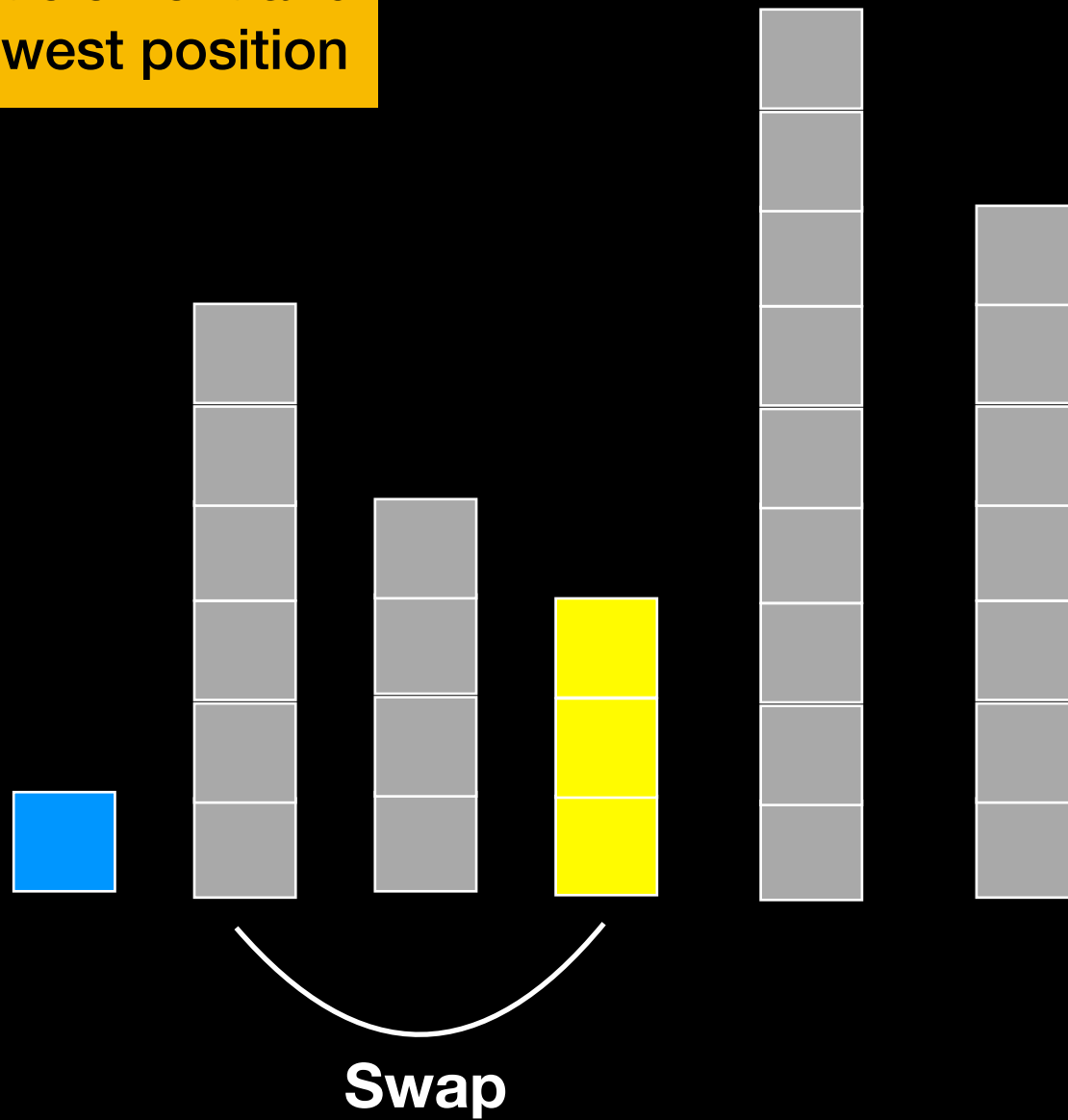


Find smallest element and move it at lowest position

Legend:

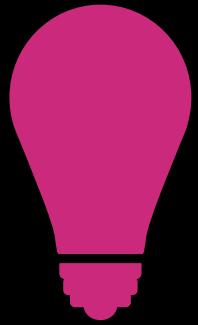
- Unsorted (grey square)
- Sorted (blue square)

**2nd Pass**



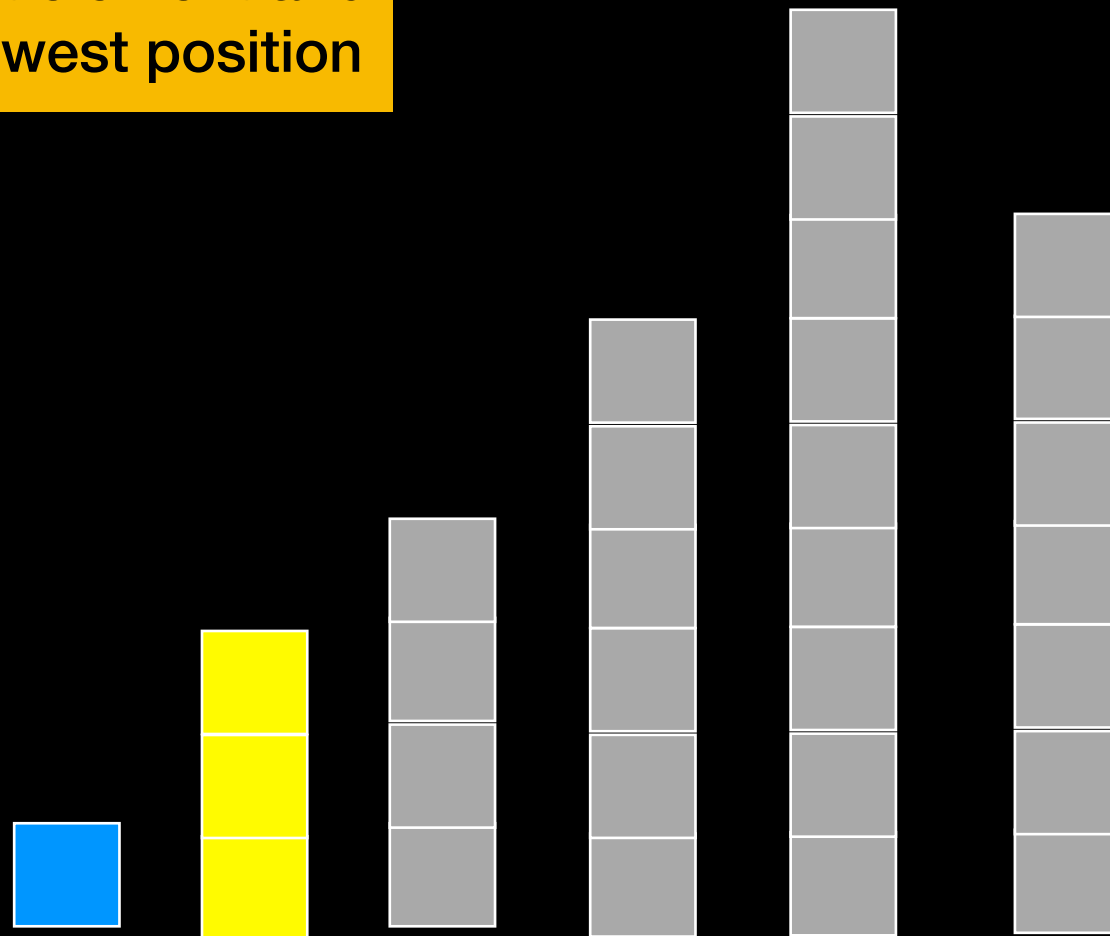
# Selection Sort

■ Unsorted  
■ Sorted



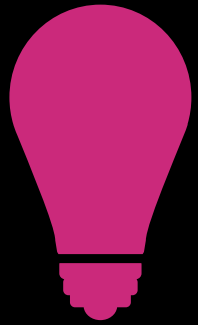
Find smallest element and  
move it at lowest position

**2nd Pass**





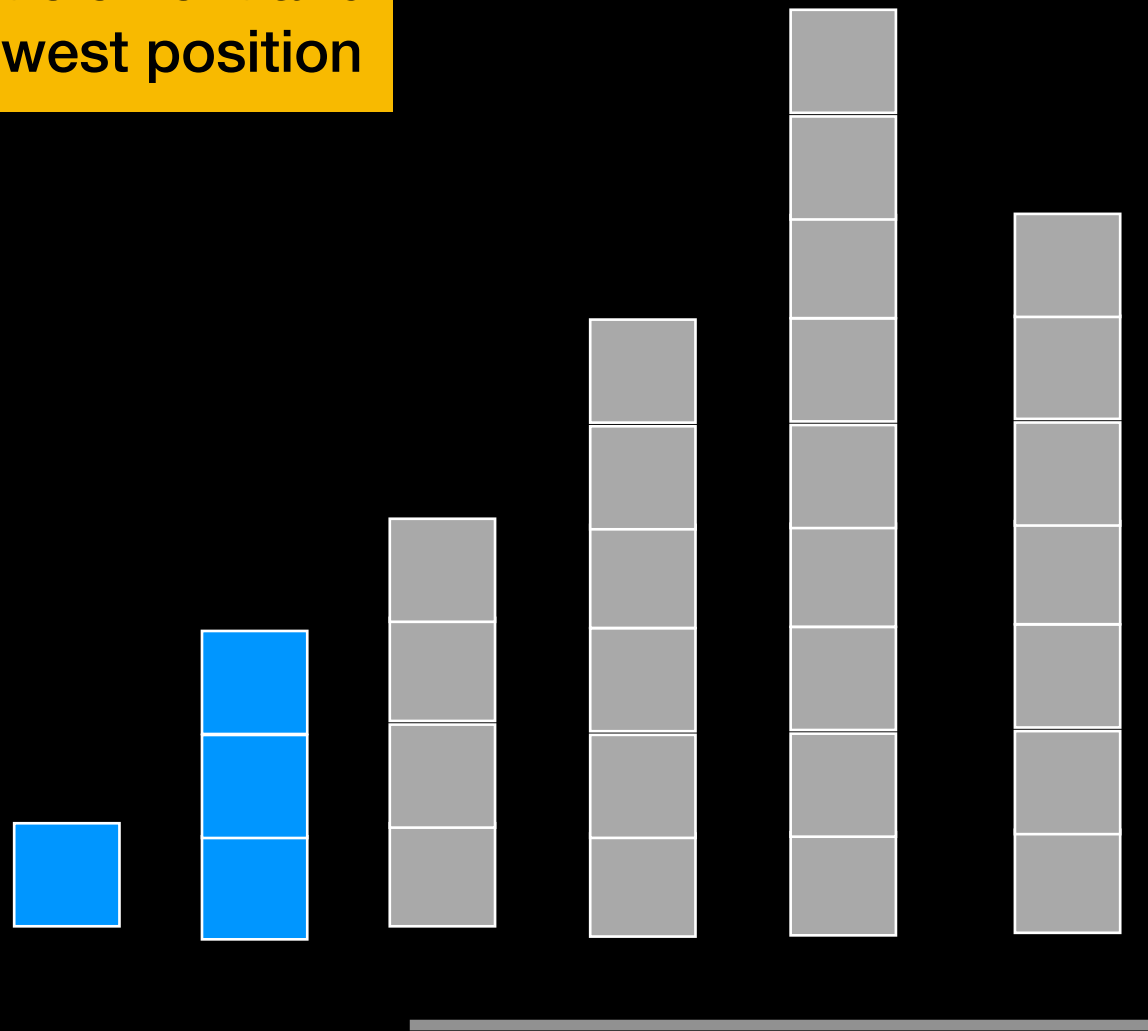
# Selection Sort



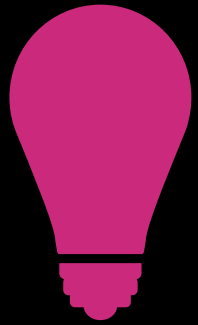
Find smallest element and move it at lowest position

■ Unsorted  
■ Sorted

**3rd Pass**



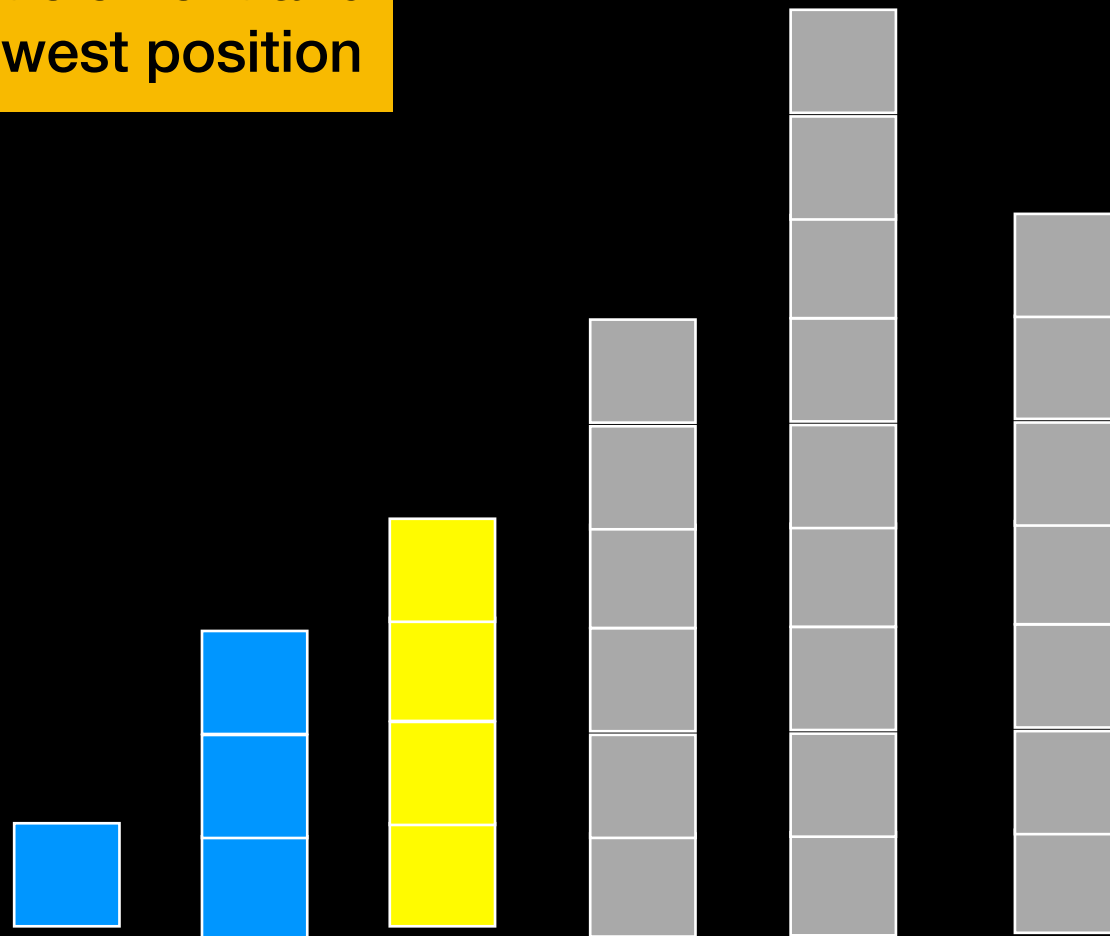
# Selection Sort



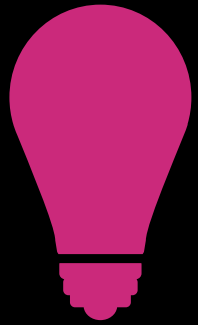
Find smallest element and move it at lowest position

■ Unsorted  
■ Sorted

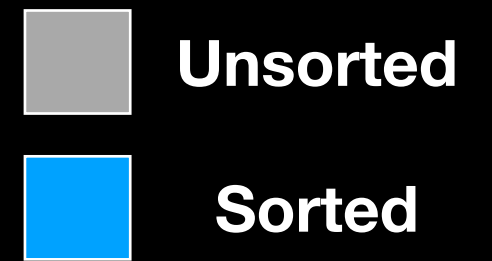
**3rd Pass**



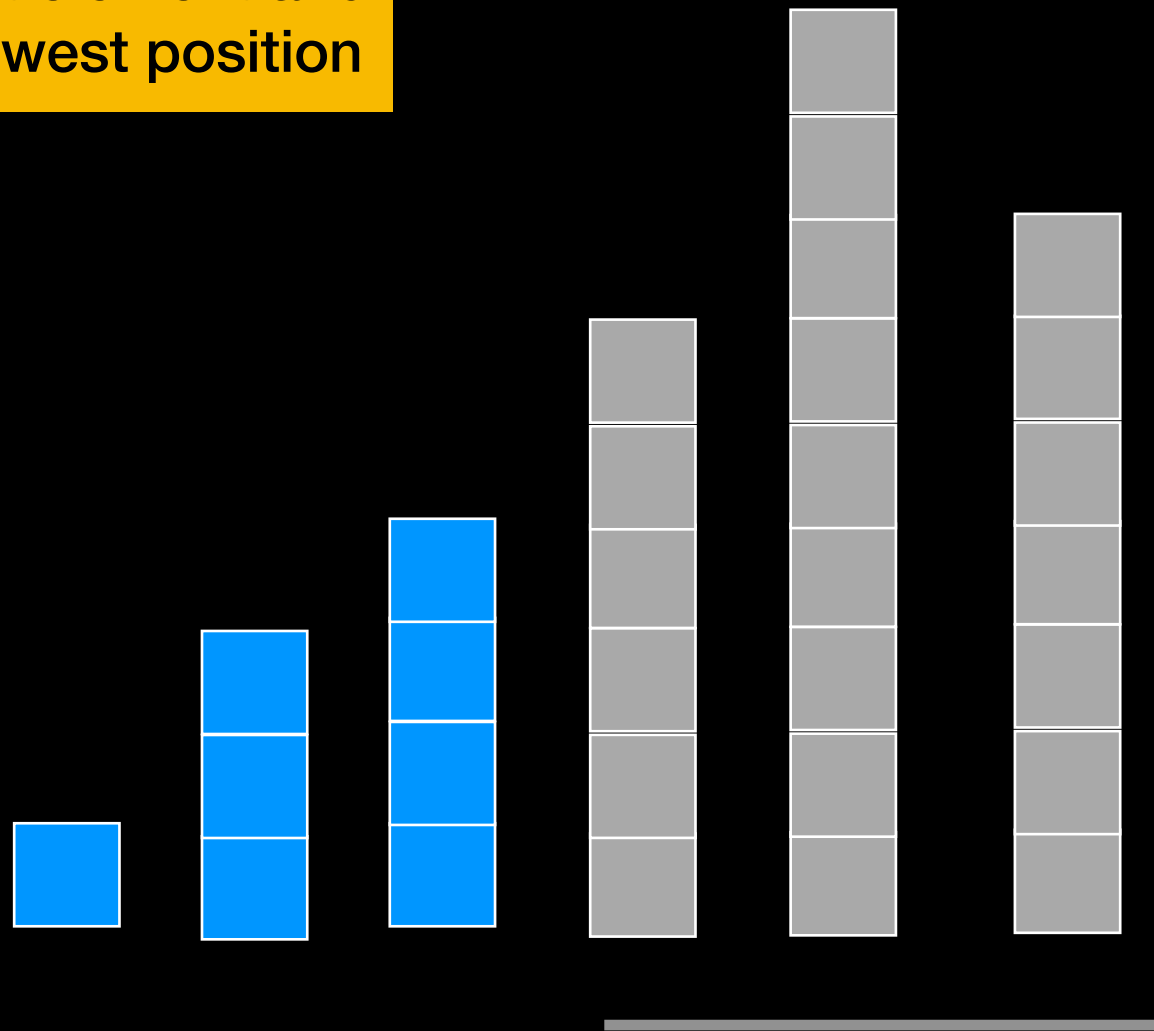
# Selection Sort



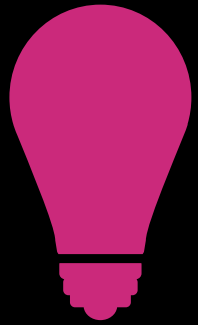
Find smallest element and move it at lowest position



4th Pass



# Selection Sort



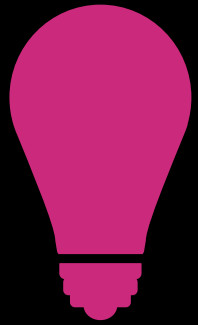
Find smallest element and move it at lowest position

■ Unsorted  
■ Sorted

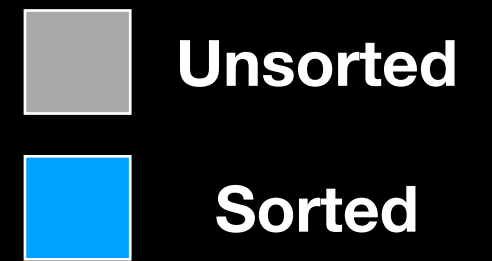
**4th Pass**



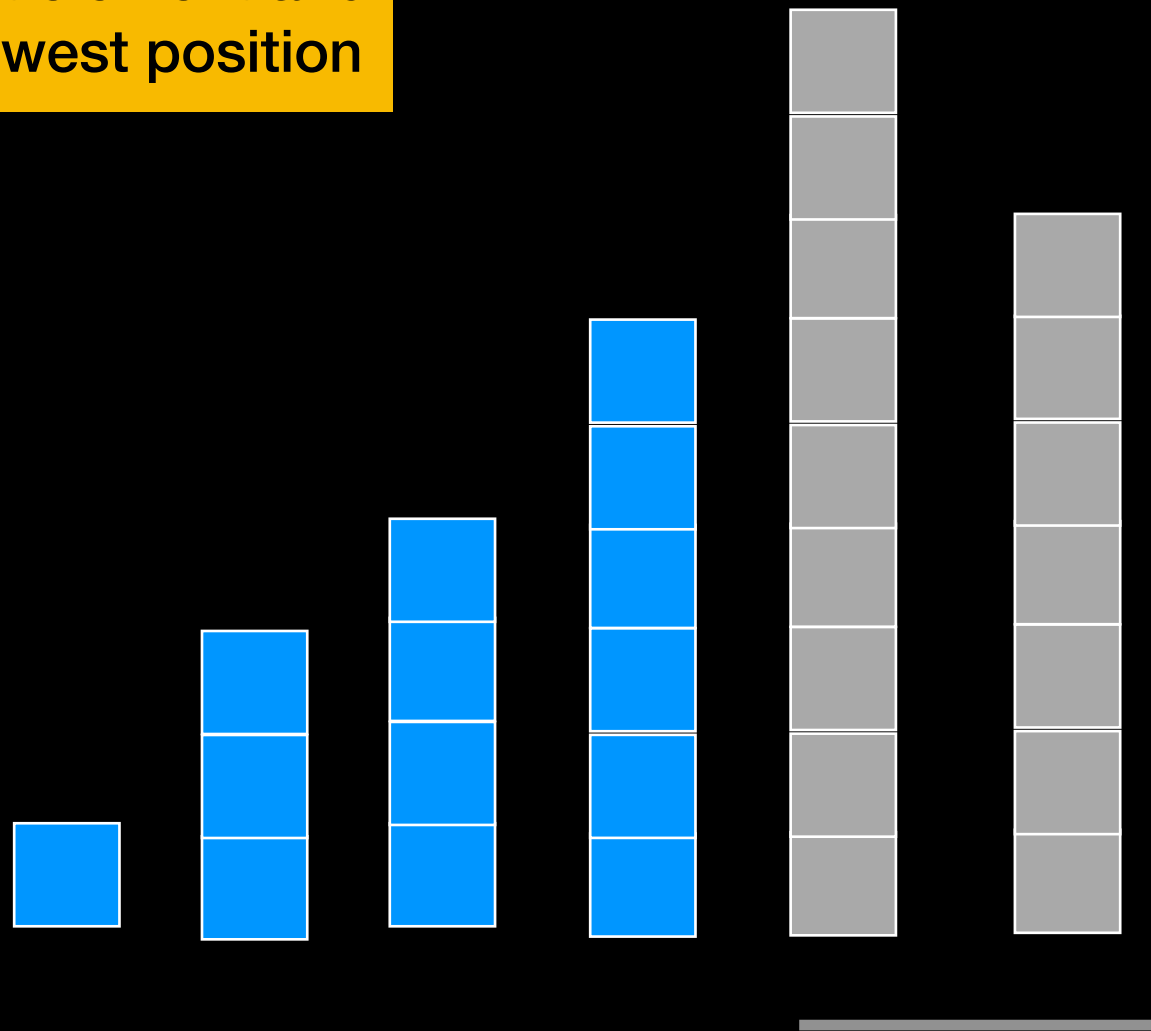
# Selection Sort



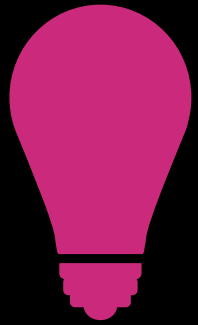
Find smallest element and move it at lowest position



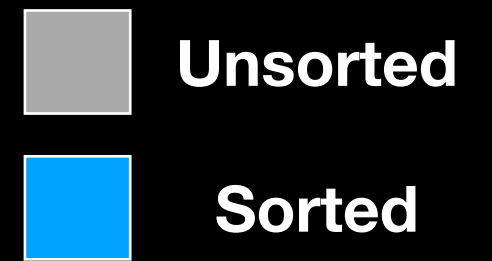
**5th Pass**



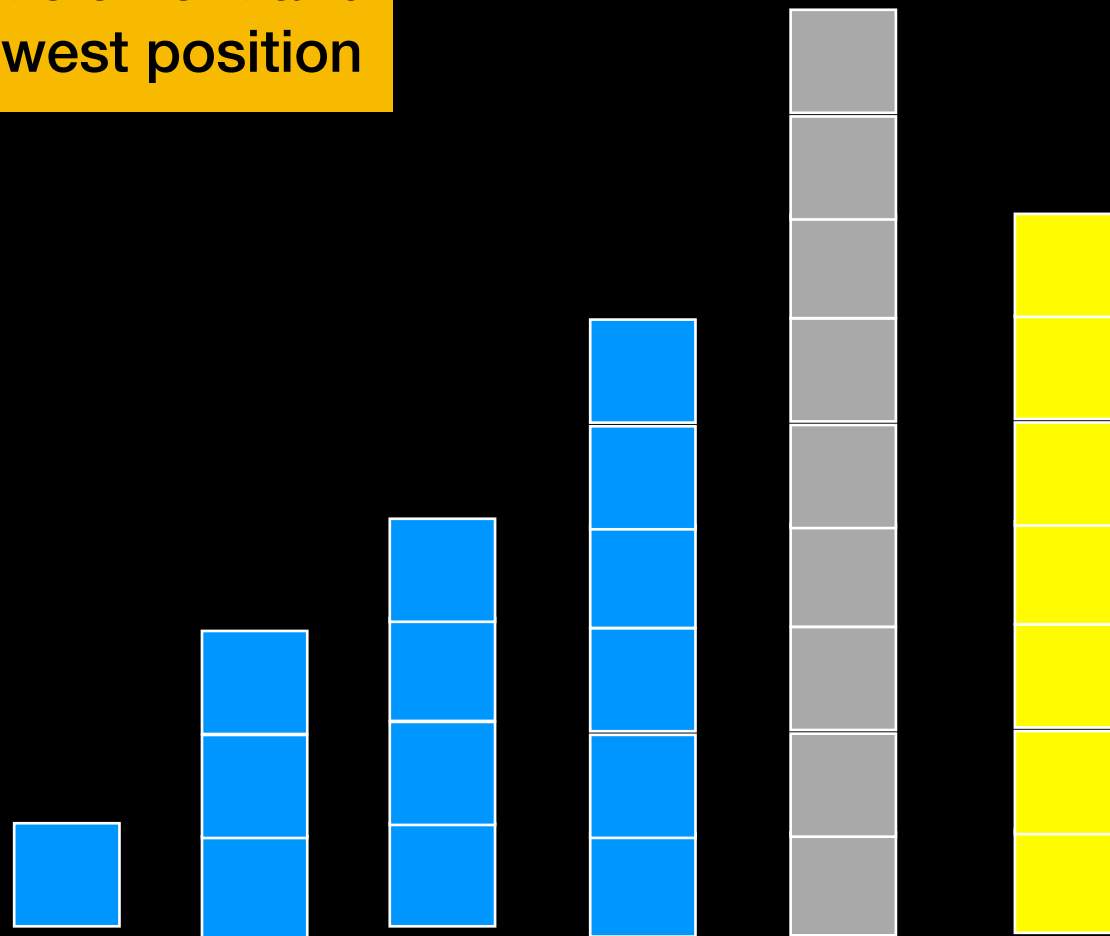
# Selection Sort



Find smallest element and move it at lowest position

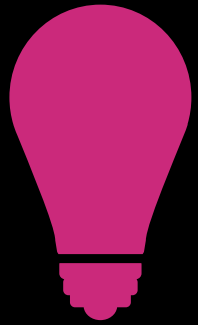


**5th Pass**



Swap

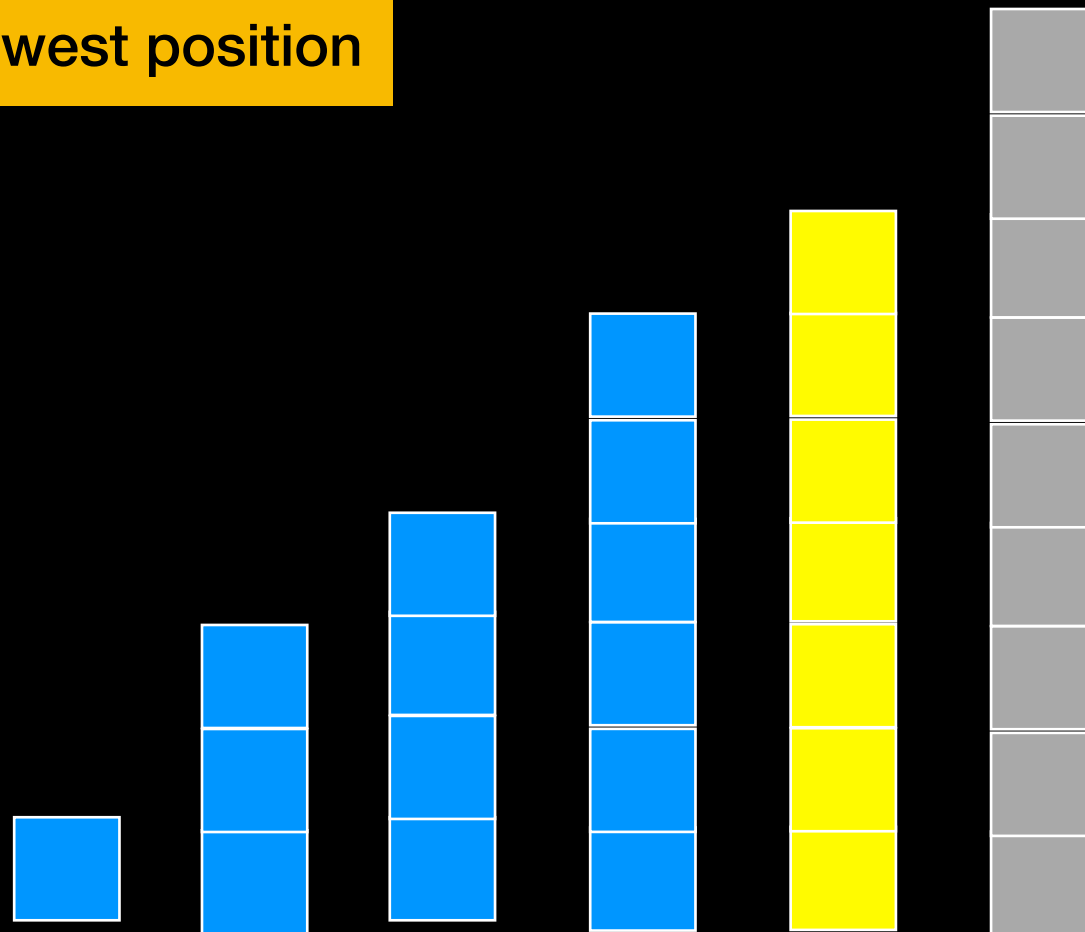
# Selection Sort



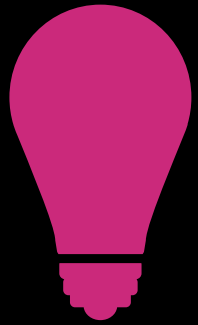
Find smallest element and move it at lowest position



**5th Pass**



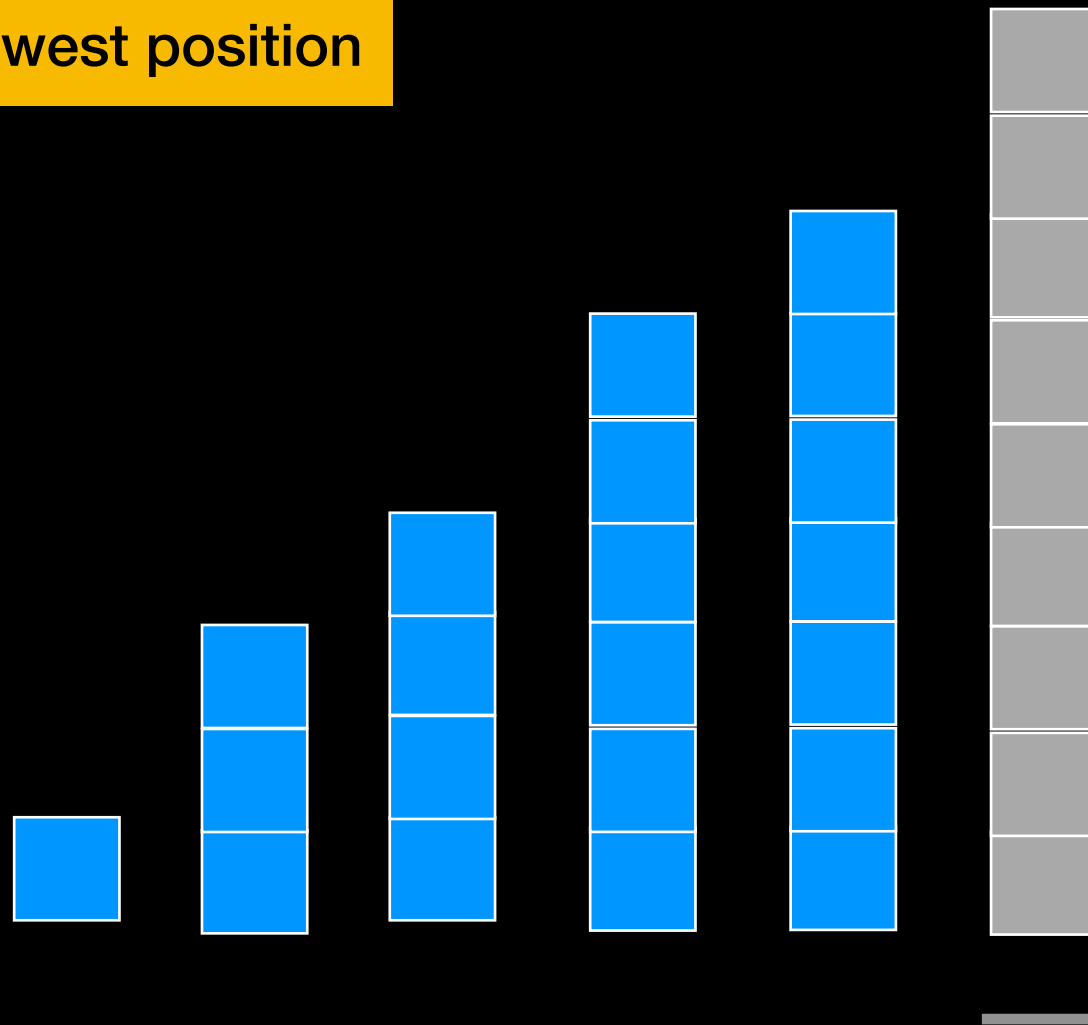
# Selection Sort



Find smallest element and  
move it at lowest position



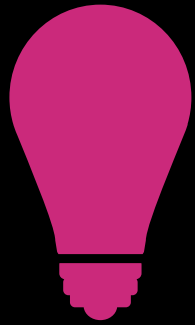
**6th Pass**



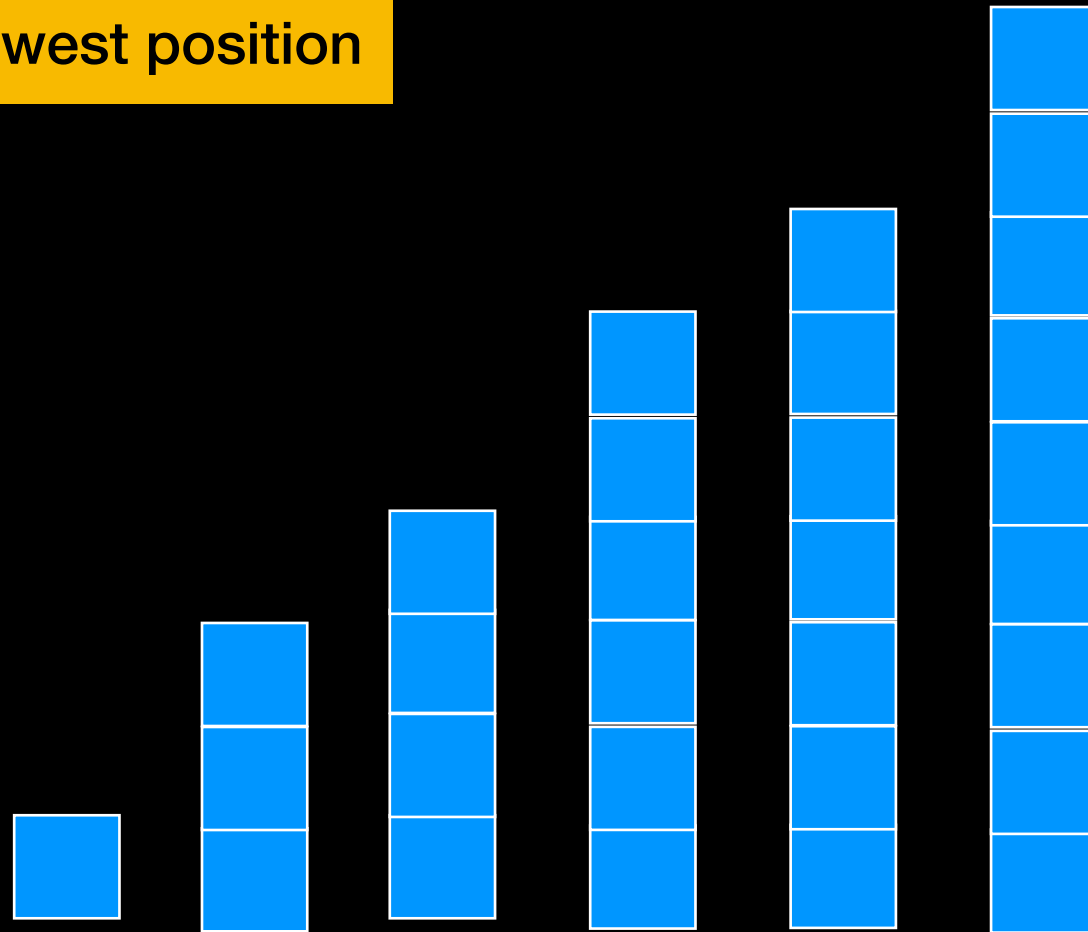


# Selection Sort

■ Unsorted  
■ Sorted



Find smallest element and  
move it at lowest position



# Selection Sort

Find the smallest item and move it at position 1

Find the next-smallest item and move it at position 2

...

# Selection Sort Analysis

How much work?

Find smallest: look at **n** elements

# Selection Sort Analysis

How much work?

Find smallest: look at  $n$  elements

Find second smallest: look at  $n-1$  elements

# Selection Sort Analysis

How much work?

Find smallest: look at **n** elements

Find second smallest: look at **n-1** elements

Find third smallest: look at **n-2** elements

...

# Selection Sort Analysis

How much work?

Find smallest: look at **n** elements

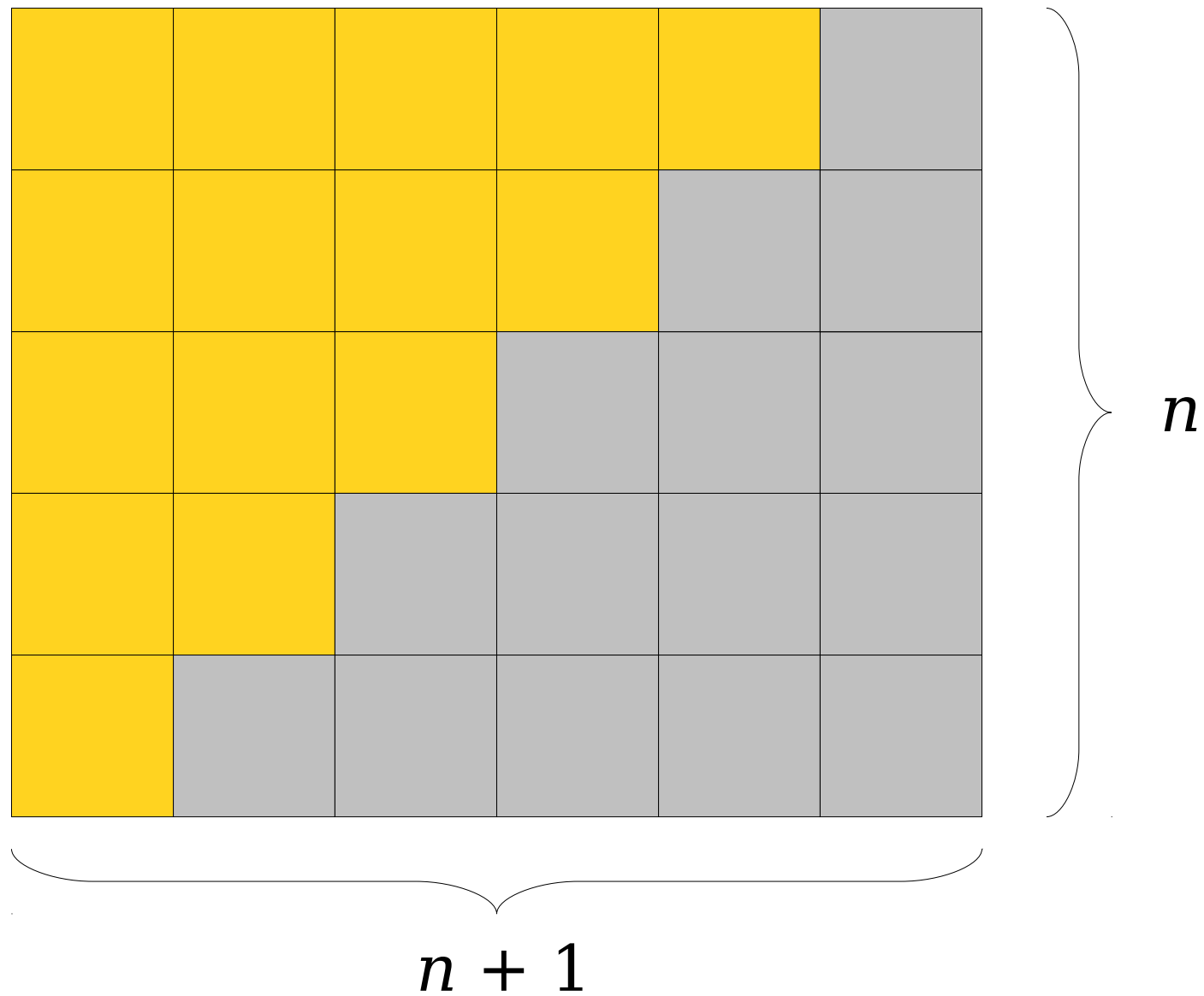
Find second smallest: look at **n-1** elements

Find third smallest: look at **n-2** elements

...

Total work:  **$n + (n-1) + (n-2) + \dots + 1$**

$$n + (n-1) + \dots + 2 + 1 = n(n+1) / 2$$



# Derivation

$$1 + 2 + 3 + \dots + (n-2) + (n-1) + n$$

$$n + (n-1) + (n-2) + \dots + 3 + 2 + 1$$

Now add the two series together term by term.

$$(n+1) + (n-1+2) + (n-2+3) + \dots + (3+n-2) + (2+n-1) + (1+n)$$

$$= (n+1) + (n+1) + (n+1) + \dots + (n+1) + (n+1) + (n+1)$$

You have added  $(n+1)$  a total of  $n$  times, so the sum is  $n(n+1)$ .

You added the series twice, so adding the series once will give you  $n(n+1)/2$



# Selection Sort Analysis

$T(n) = n(n+1) / 2$  comparisons +  $n$  data moves =  $O(\ )$ ?

# Selection Sort Analysis

$$T(n) = n(n+1) / 2 \text{ comparisons} + n \text{ data moves} = O(\text{ )?}$$

$$T(n) = (n^2+n) / 2 + n = O(\text{ )?}$$

# Selection Sort Analysis

$$T(n) = n(n+1) / 2 \text{ comparisons} + n \text{ data moves} = O(\text{ )?}$$

$$T(n) = (n^2+n) / 2 + n = O(\text{ )?}$$

Ignore constant

Ignore non-dominant terms

# Selection Sort Analysis

$T(n) = n(n+1) / 2$  comparisons +  $n$  data moves =  $O(\quad)$ ?

$T(n) = (n^2+n) / 2 + n = O(n^2)$

Ignore constant

Ignore non-dominant terms

# Selection Sort Analysis

$$T(n) = n(n+1) / 2 \text{ comparisons} + n \text{ data moves} = O(\text{ )?}$$

$$T(n) = (n^2+n) / 2 + n = O(n^2)$$

Selection Sort run time is  $O(n^2)$

```

template <class Comparable>
void selectionSort(const std::vector<Comparable>& the_array)
{
    int size = the_array.size();
    // first = index of the first item in the subarray of items yet
    //         to be sorted;
    // smallest = index of the smallest item found
    for (int first = 0; first < size; first++)
    {
        // At this point, the_array[0 ...first-1] is sorted, and its
        // entries are <= those in the_array[first ... size-1].
        // Select the smallest entry in the_array[first ... size-1]
        int smallest_index = findIndexOfSmallest(the_array, first, size);

        // Swap the smallest entry, the_array[smallest_index], with
        // the first in the unsorted subarray the_array[first]
        std::swap(the_array[smallest_index], the_array[first]);
    } // end for
} // end selectionSort

```

```

template <class Comparable>
void selectionSort(const std::vector<Comparable>& the_array)
{
    int size = the_array.size();
    // first = index of the first item in the subarray of items yet
    //           to be sorted;
    // smallest = index of the smallest item found
Pass for (int first = 0; first < size; first++)
O(n) {
        // At this point, the_array[0 ...first-1] is sorted, and its
        // entries are <= those in the_array[first ... size-1].
        // Select the smallest entry in the_array[first ... size-1]
O(n) int smallest_index = findIndexOfSmallest(the_array, first, size);

        // Swap the smallest entry, the_array[smallest_index], with
        // the first in the unsorted subarray the_array[first]
        std::swap(the_array[smallest_index], the_array[first]);
    } // end for
} // end selectionSort

```

**O( n<sup>2</sup> )**

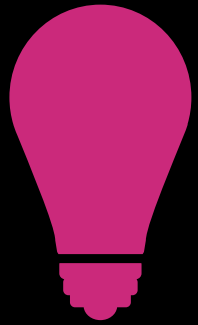
# Stability

A sorting algorithm is **Stable** if elements that are equal remain in same order relative to each other after sorting



# Selection Sort

■ Unsorted  
■ Sorted

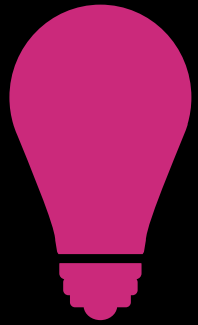


Find smallest element and move it at lowest position

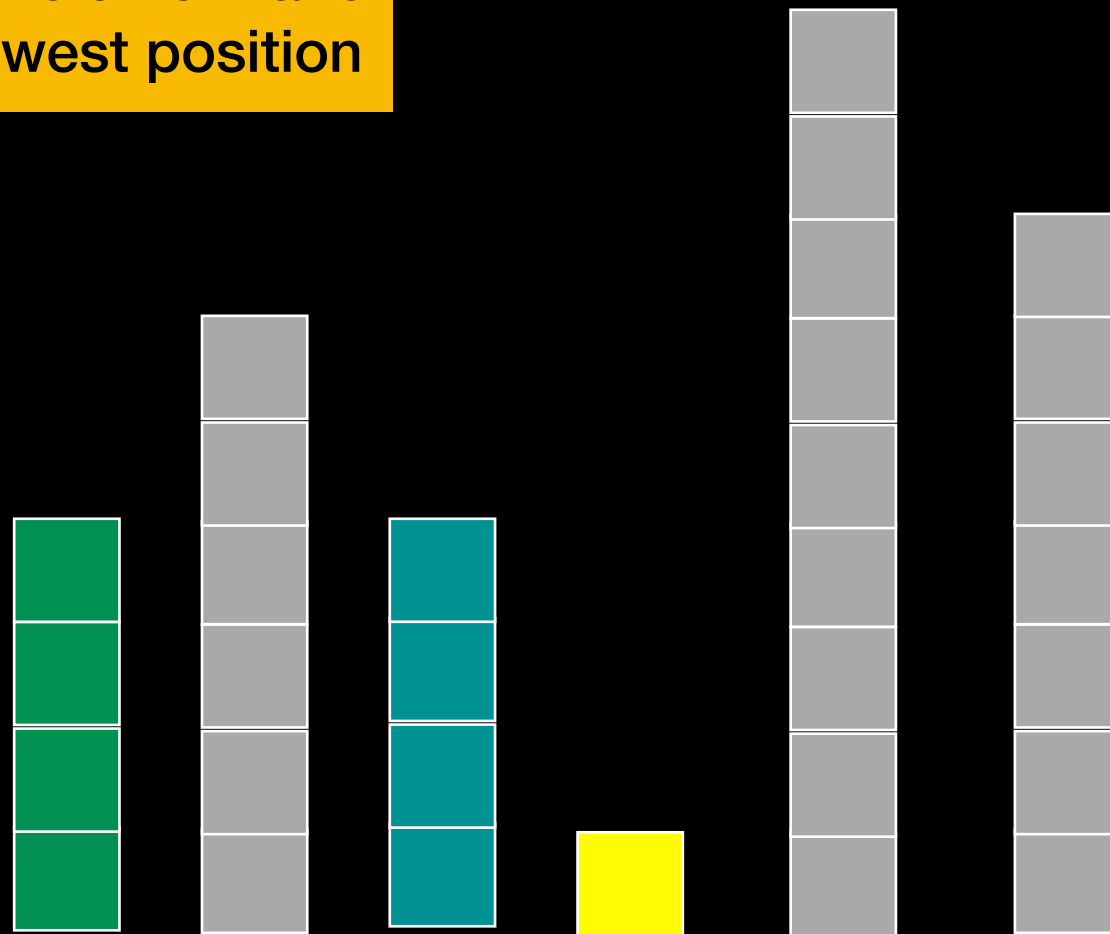


# Selection Sort

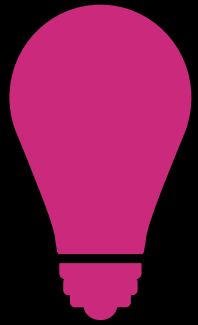
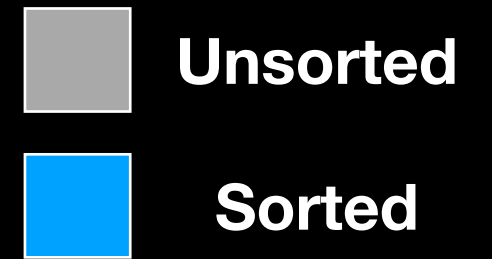
■ Unsorted  
■ Sorted



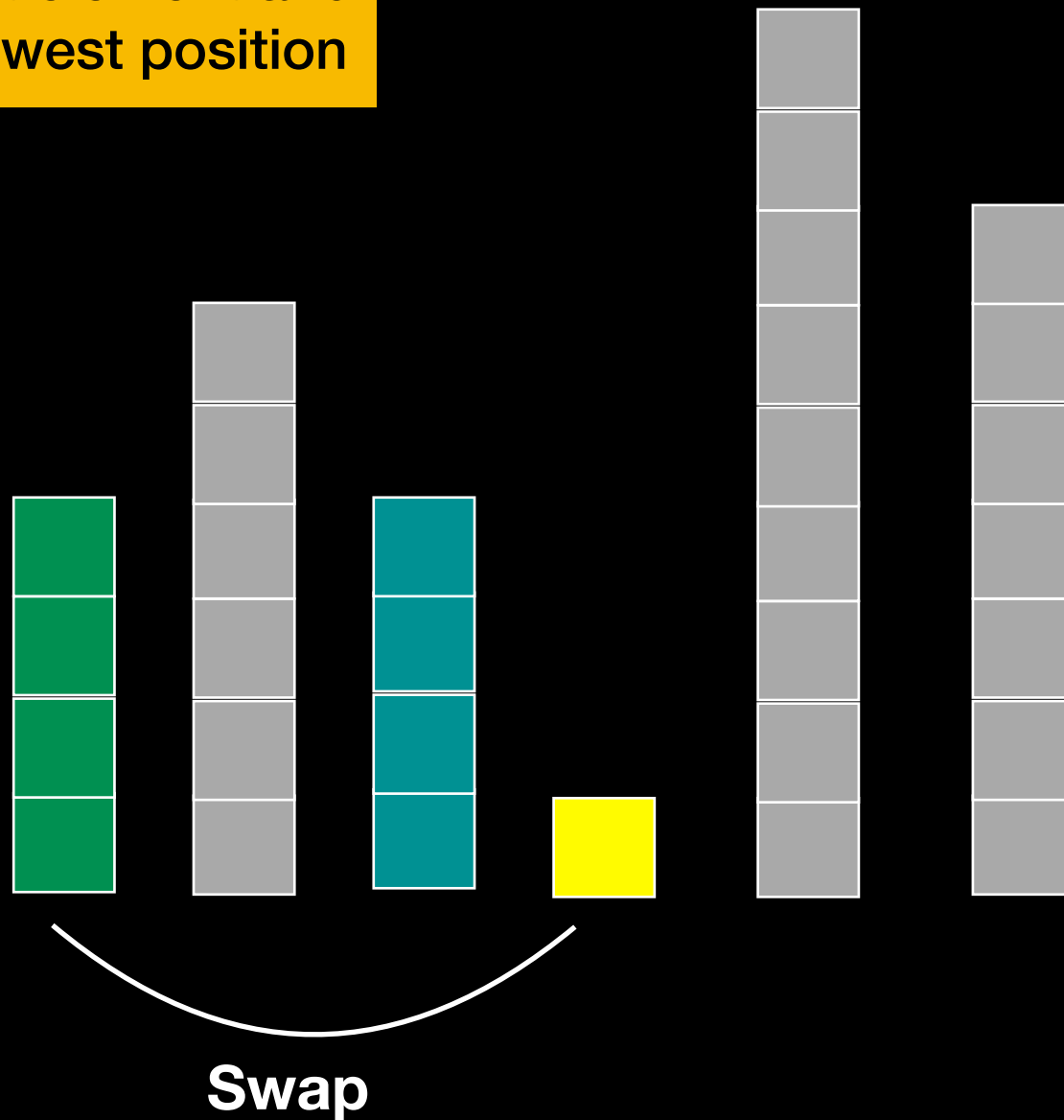
Find smallest element and move it at lowest position



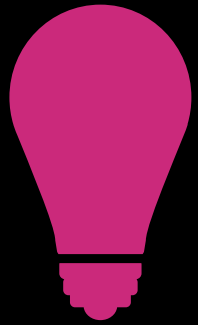
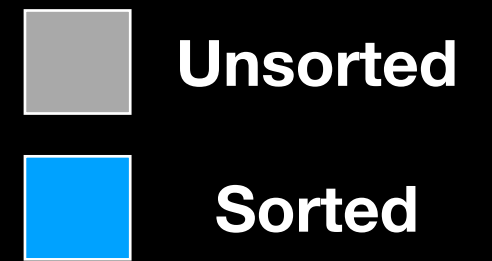
# Selection Sort



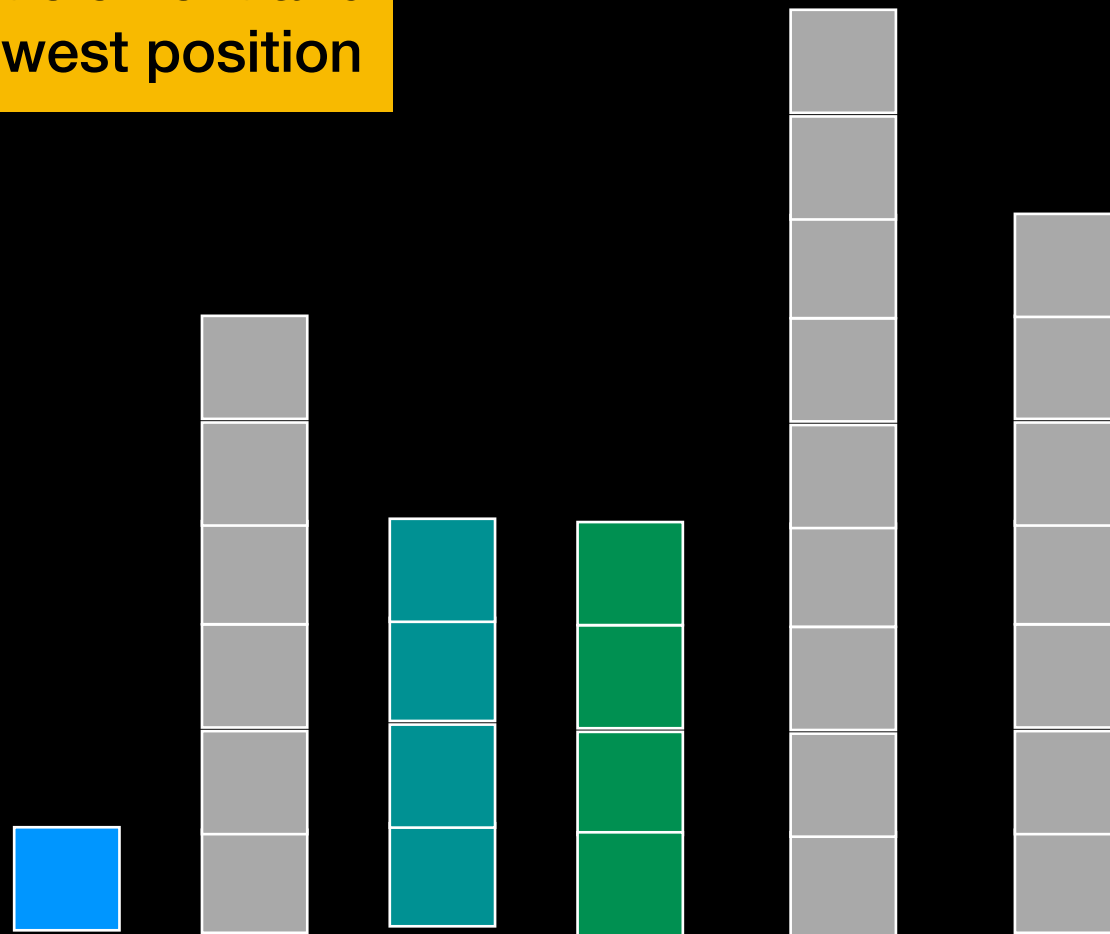
Find smallest element and move it at lowest position



# Selection Sort



Find smallest element and move it at lowest position



Unstable

# Selection Sort Analysis

Execution time DOES NOT depend on initial arrangement of data => ALWAYS  $O(n^2)$

$O(n^2)$  comparisons

Good choice for **small  $n$**  and/or **data moves are costly**  
(  $O(n)$  data moves )

**Unstable**

# Understanding $O(n^2)$

100	14	3	43	200	274
-----	----	---	----	-----	-----

$T(n)$

# Understanding $O(n^2)$

100	14	3	43	200	274
-----	----	---	----	-----	-----

$T(n)$

100	14	3	43	200	274	523	108	76	195	599	158
-----	----	---	----	-----	-----	-----	-----	----	-----	-----	-----

$$T(2n) \approx 4T(n)$$

**Double data = Quadruple time**

$$(2n)^2 = 4n^2$$

# Understanding $O(n^2)$

100	14	3	43	200	274
-----	----	---	----	-----	-----

$T(n)$

100	14	3	43	200	274	523	108	76	195	599	158	2	260	11	64	932	5
-----	----	---	----	-----	-----	-----	-----	----	-----	-----	-----	---	-----	----	----	-----	---

$$T(3n) \approx 9T(n)$$

**Triple data = Nonuple time**

$$(3n)^2 = 9n^2$$



# Understanding $O(n^2)$ on large input

If size of **input** increases by factor of **100**

**Execution time** increases by factor of **10,000**

$$T(100n) = 10,000T(n)$$

# Understanding $O(n^2)$ on large input

If size of **input** increases by factor of **100**

**Execution time** increases by factor of **10,000**

$$T(100n) = 10,000T(n)$$

Assume  $n = 100,000$  and  $T(n) = 17$  seconds

Sorting **10,000,000** takes **10,000** longer

# Understanding $O(n^2)$ on large input

If size of **input** increases by factor of **100**

**Execution time** increases by factor of **10,000**

$$T(100n) = 10,000T(n)$$

Assume  $n = 100,000$  and  $T(n) = 17$  seconds

Sorting **10,000,000** takes **10,000** longer

Sorting **10,000,000** entries takes  $\approx$  **2 days**

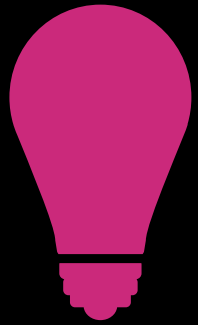
Multiplying input by **100** to go from **17sec** to **2 days!!!**

Raise your hand if you had  
Selection Sort

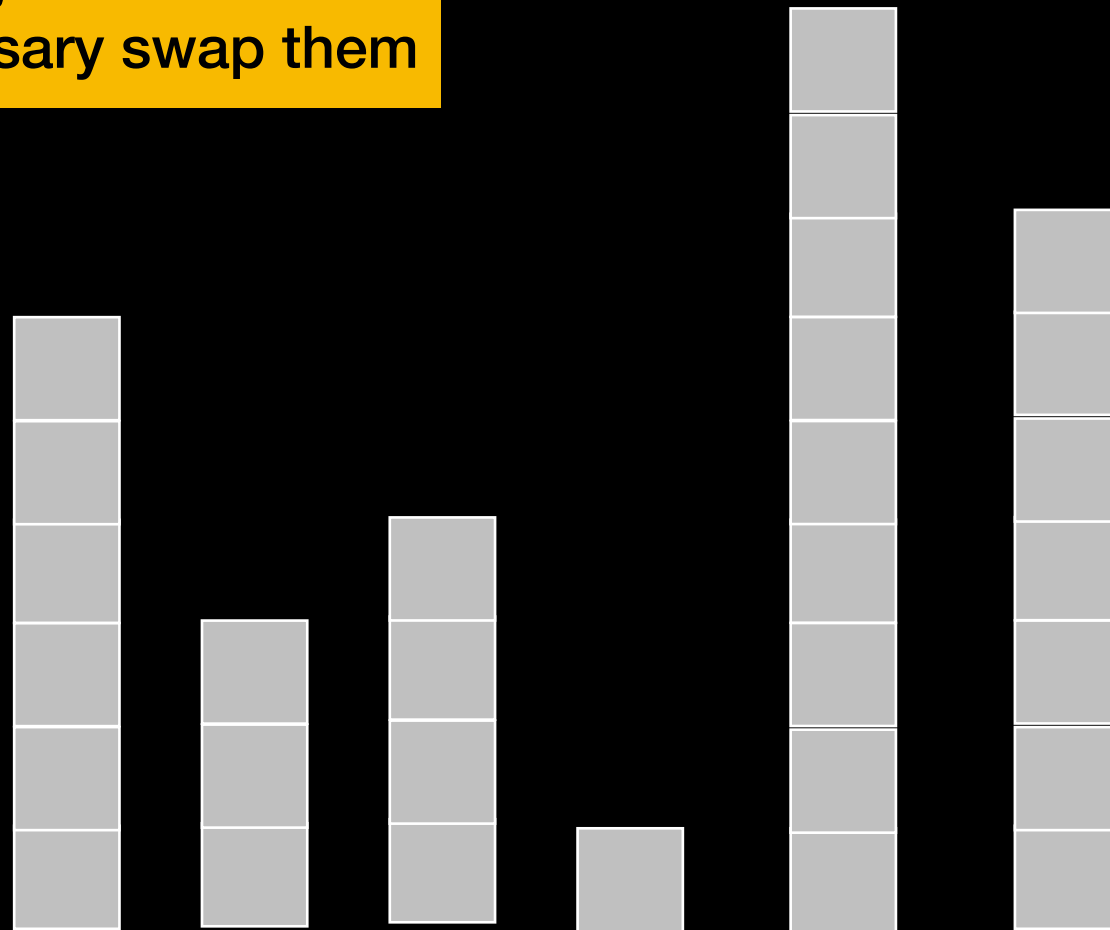
# Bubble Sort

# Bubble Sort

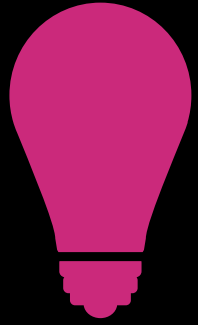
■ Unsorted  
■ Sorted



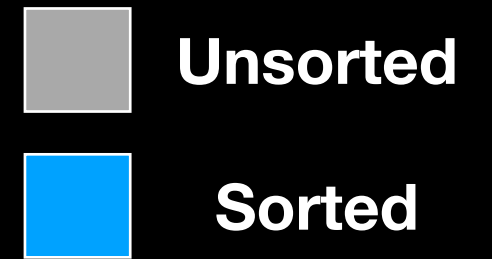
Compare adjacent elements  
and if necessary swap them



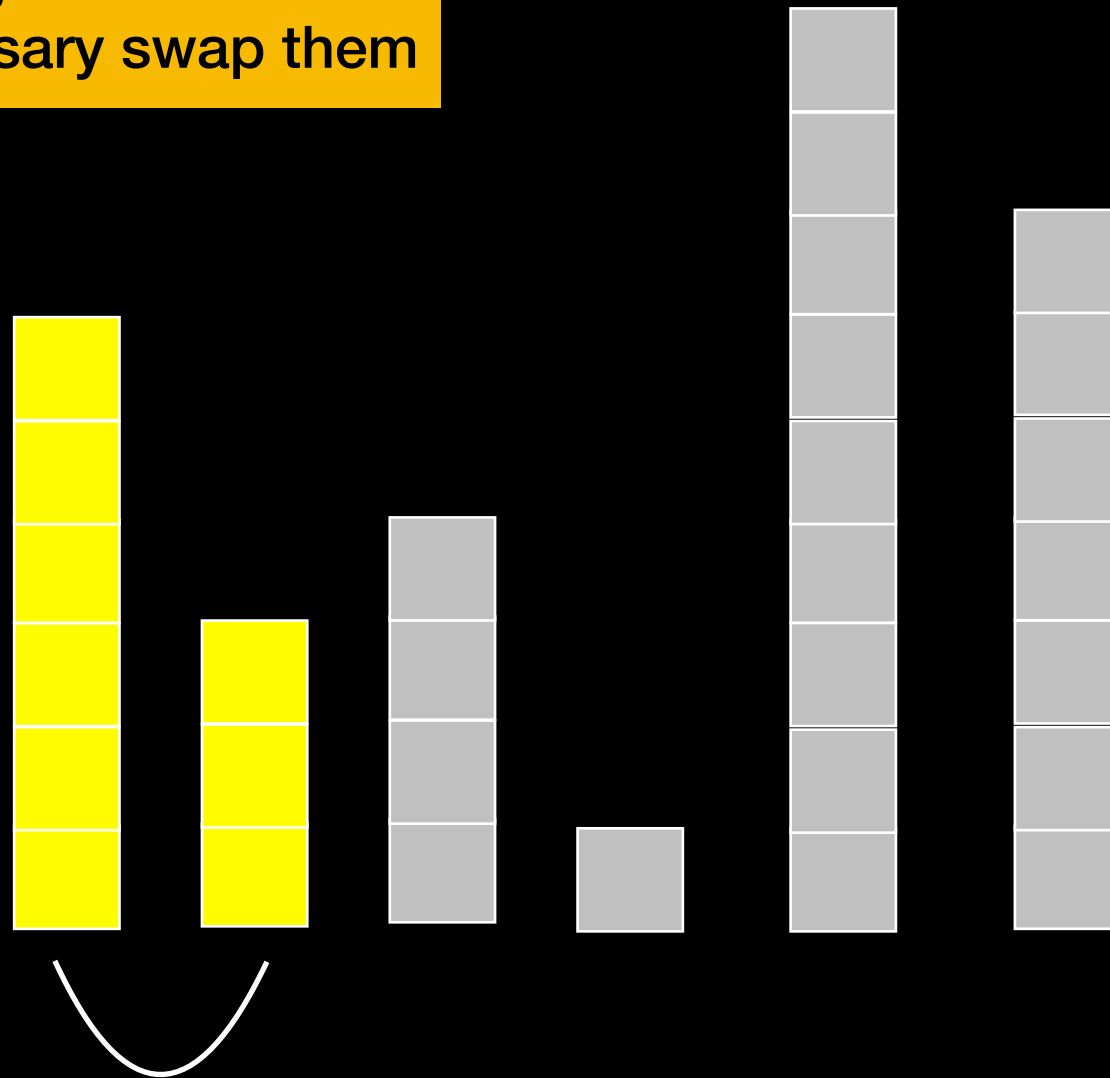
# Bubble Sort



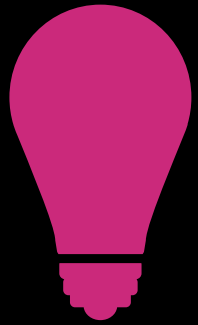
Compare adjacent elements  
and if necessary swap them



**1st Pass**



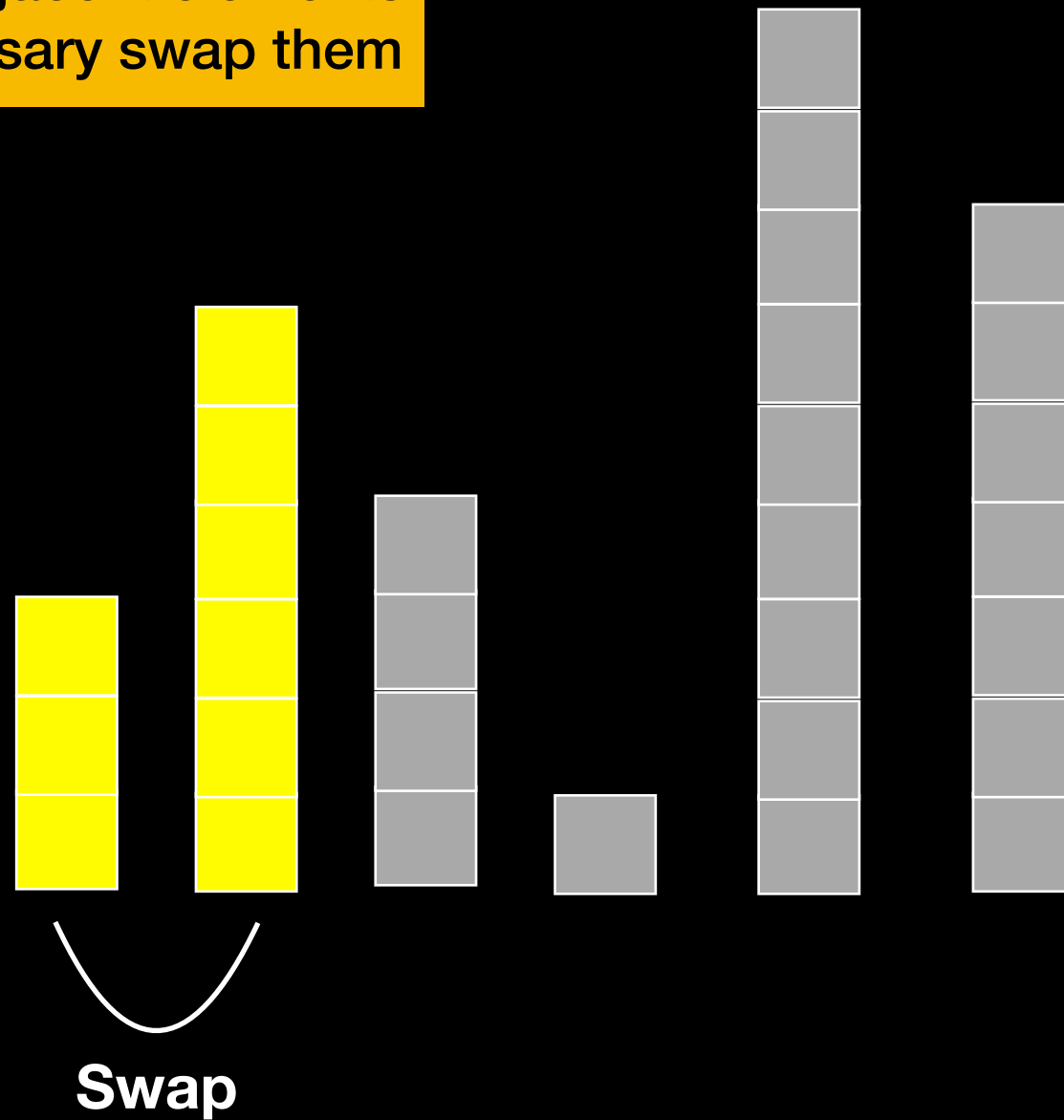
# Bubble Sort



Compare adjacent elements  
and if necessary swap them

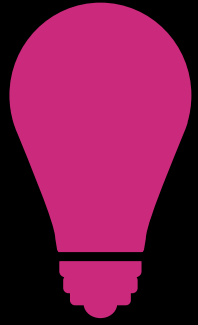


**1st Pass**





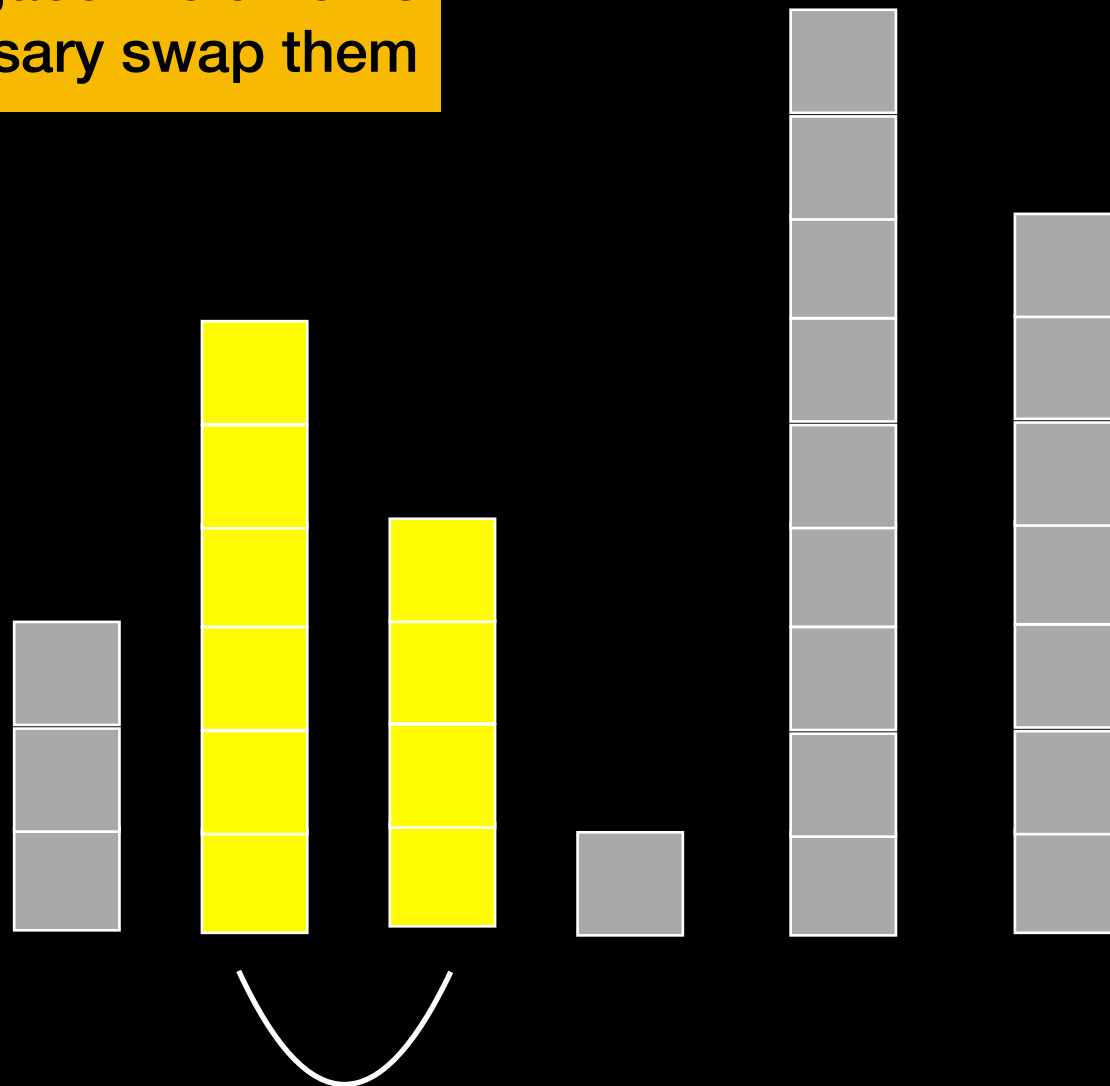
# Bubble Sort



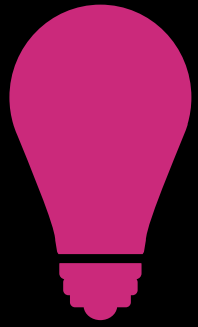
Compare adjacent elements  
and if necessary swap them



**1st Pass**



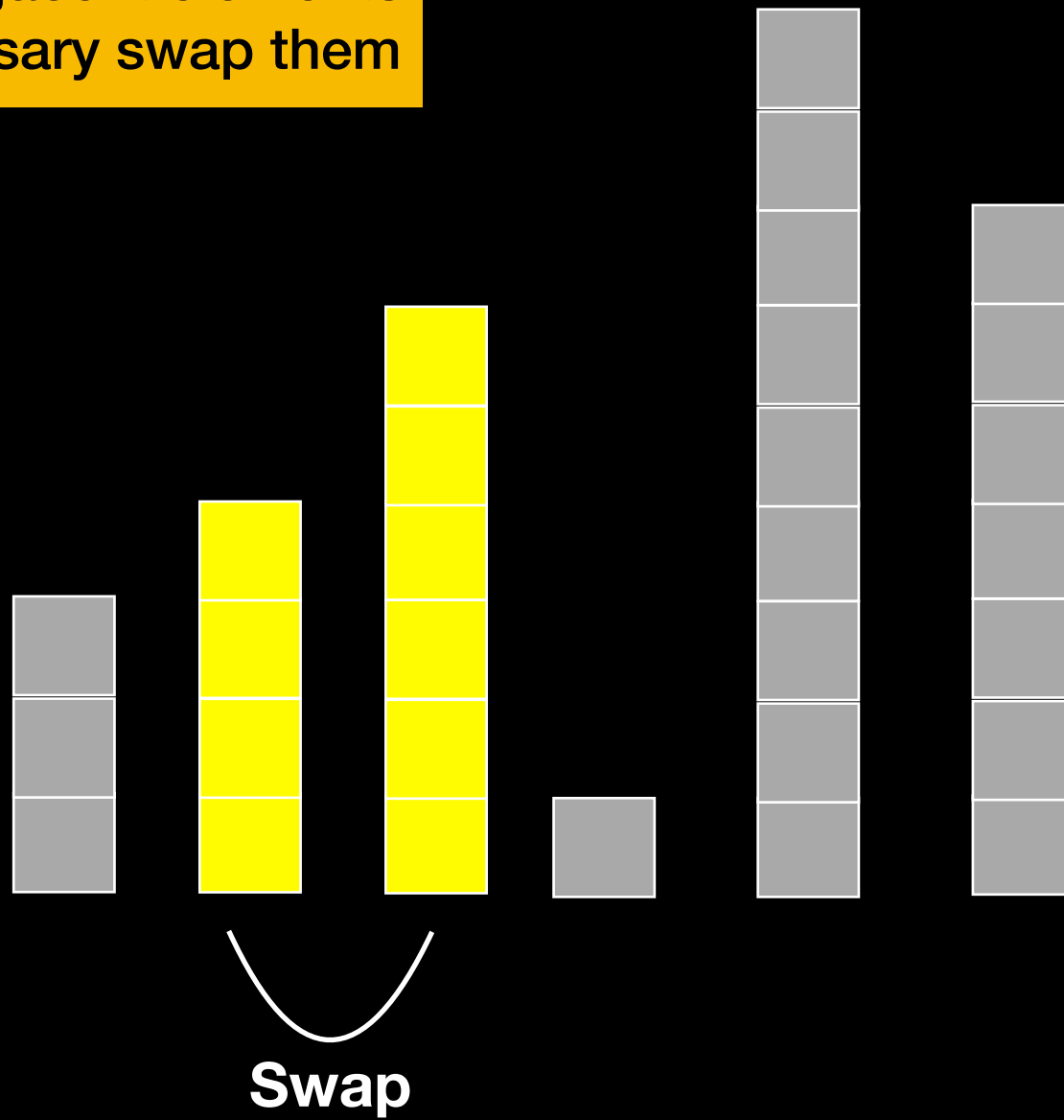
# Bubble Sort



Compare adjacent elements  
and if necessary swap them

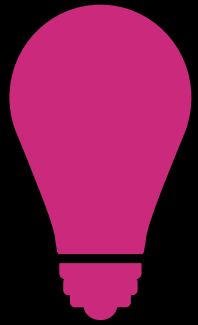


**1st Pass**



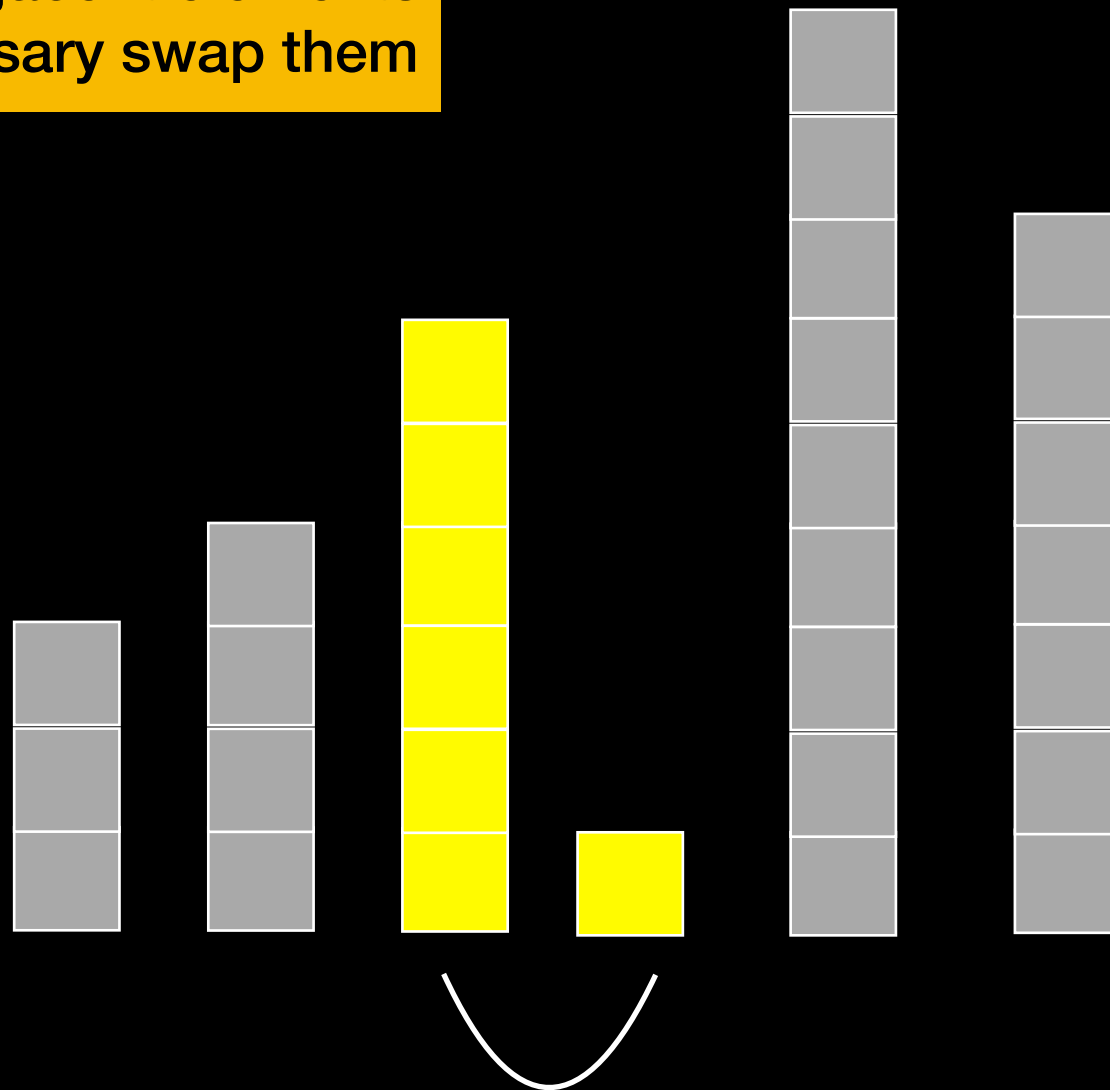
# Bubble Sort

■ Unsorted  
■ Sorted



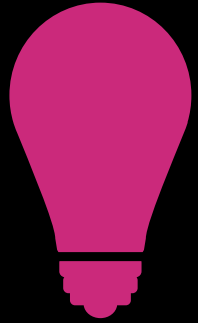
Compare adjacent elements  
and if necessary swap them

**1st Pass**



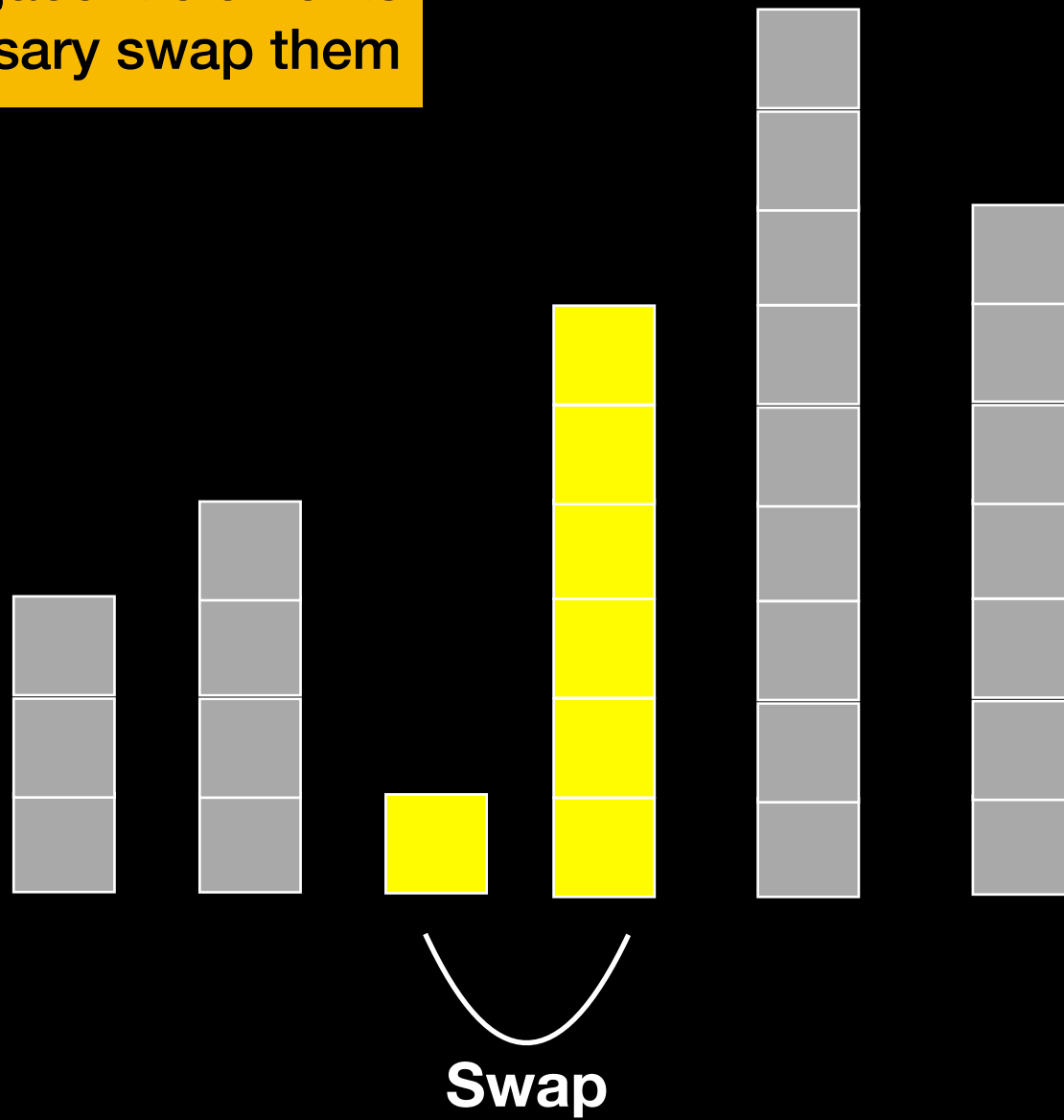
# Bubble Sort

■ Unsorted  
■ Sorted



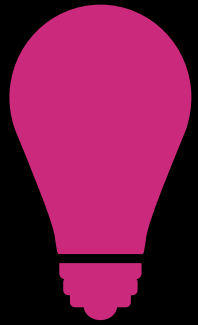
Compare adjacent elements  
and if necessary swap them

**1st Pass**



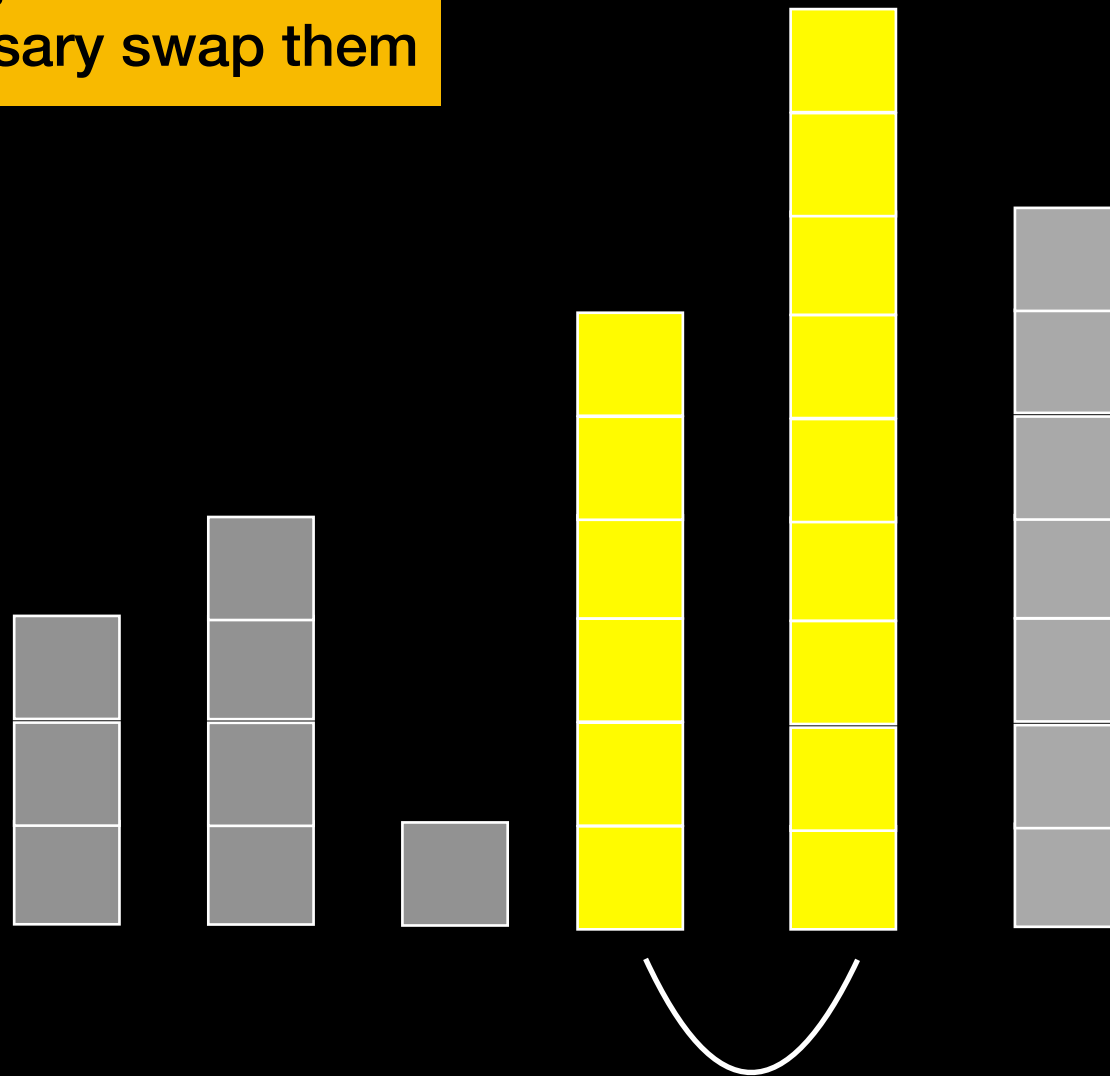
# Bubble Sort

■ Unsorted  
■ Sorted



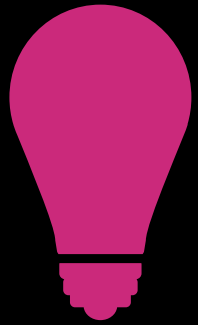
Compare adjacent elements  
and if necessary swap them

**1st Pass**



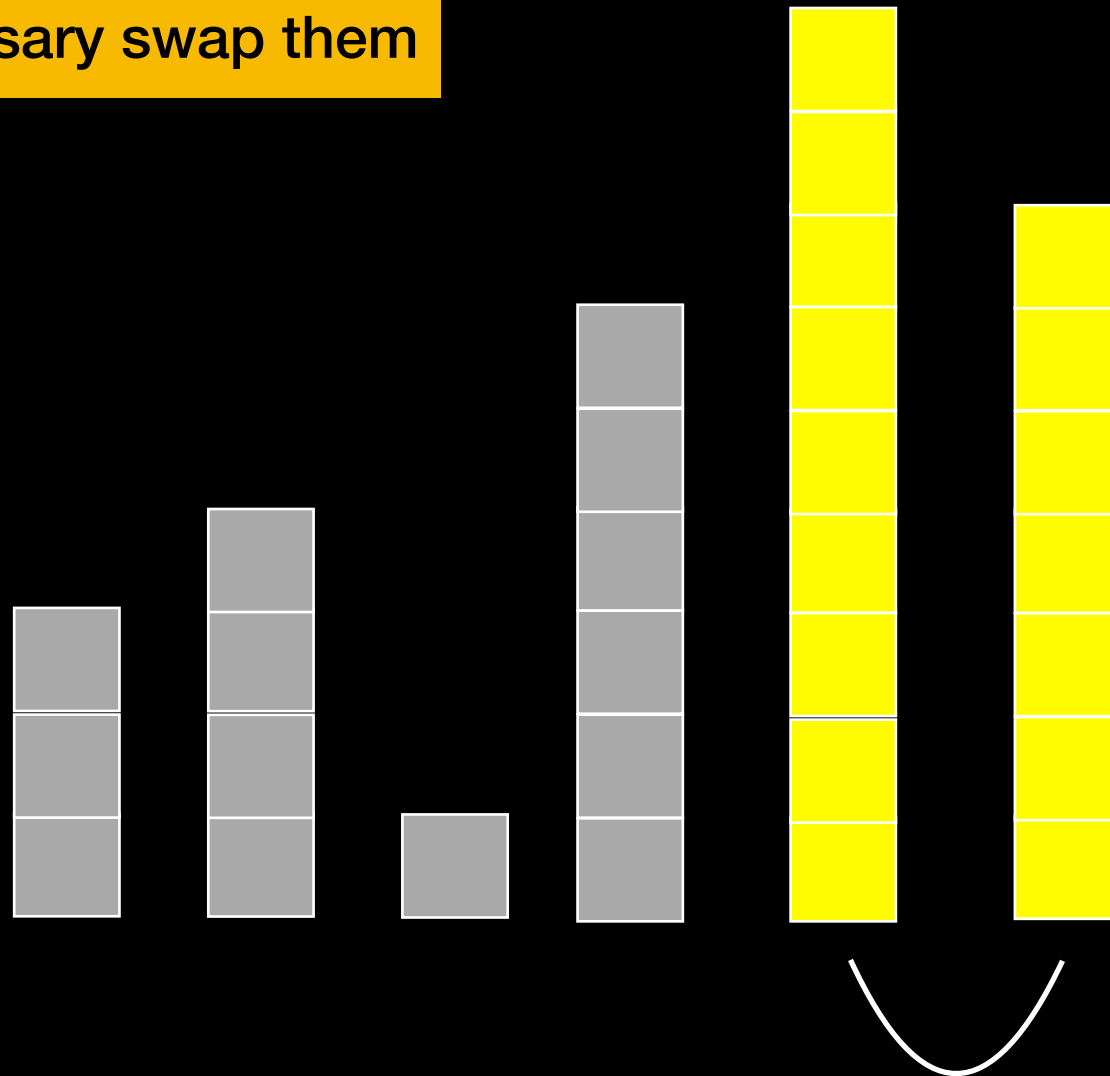
# Bubble Sort

■ Unsorted  
■ Sorted

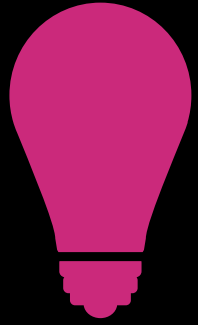


Compare adjacent elements  
and if necessary swap them

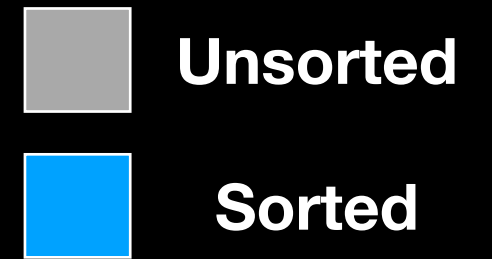
**1st Pass**



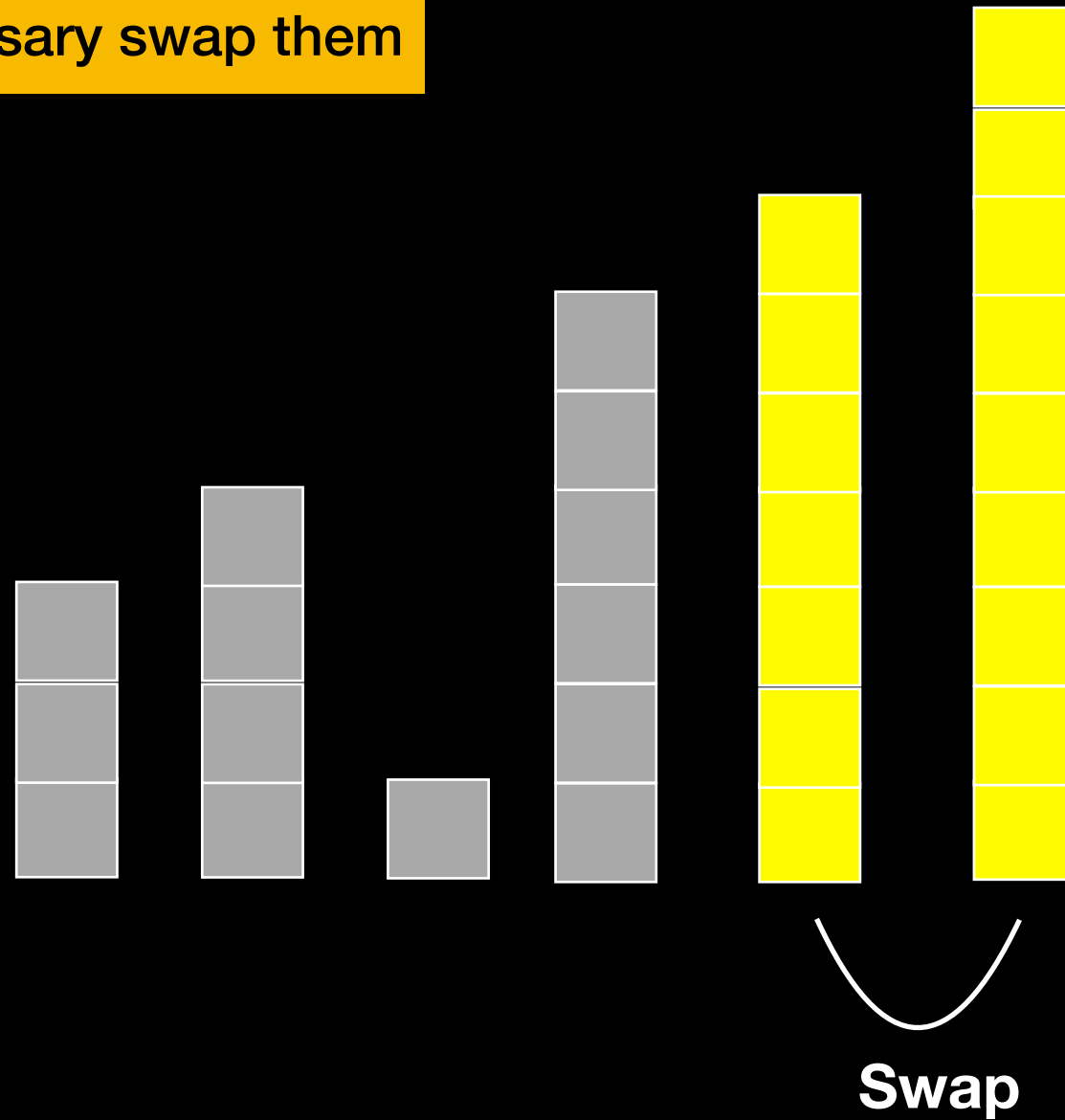
# Bubble Sort



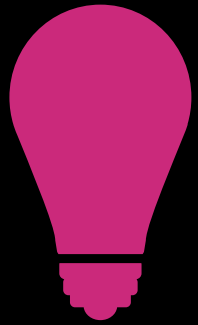
Compare adjacent elements  
and if necessary swap them



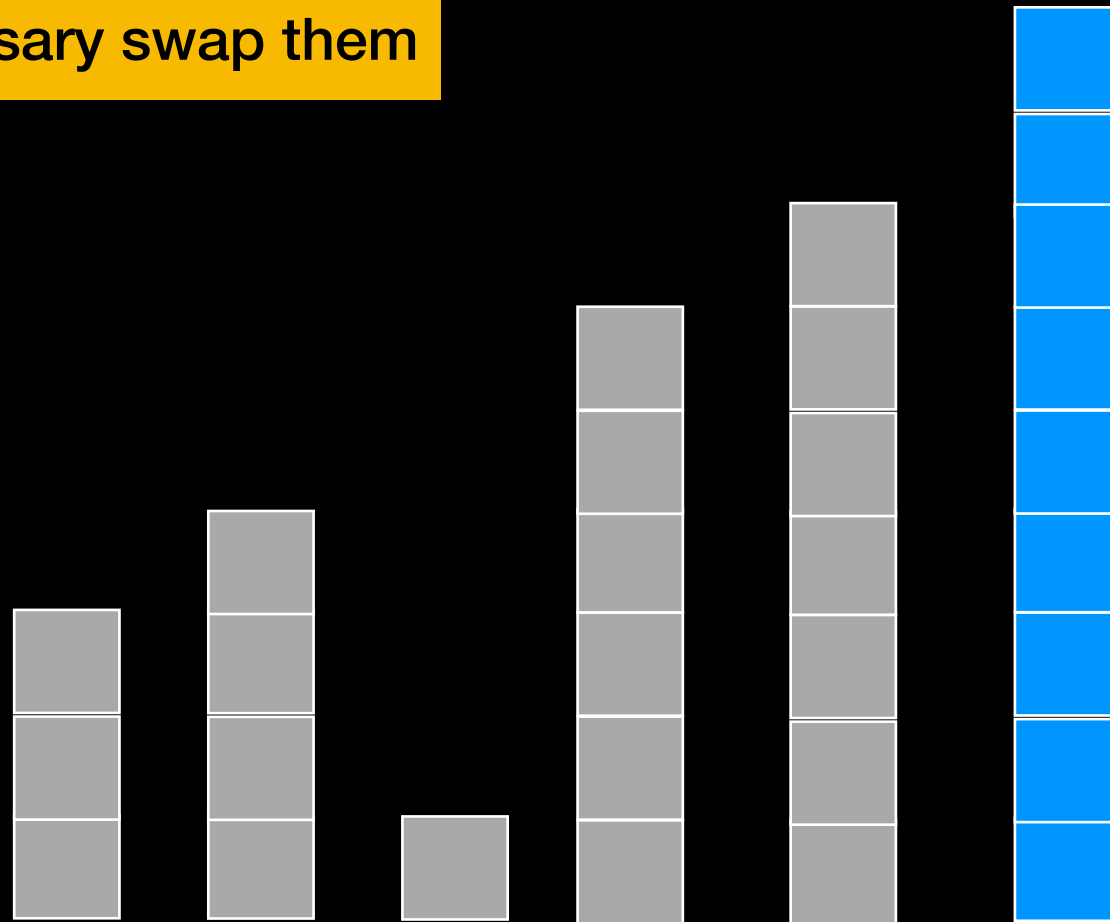
**1st Pass**



# Bubble Sort



Compare adjacent elements and if necessary swap them

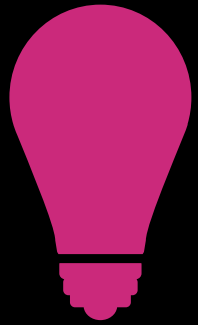


## End of 1st Pass:

Not sorted, but largest has "*bubbled up*" to its proper position

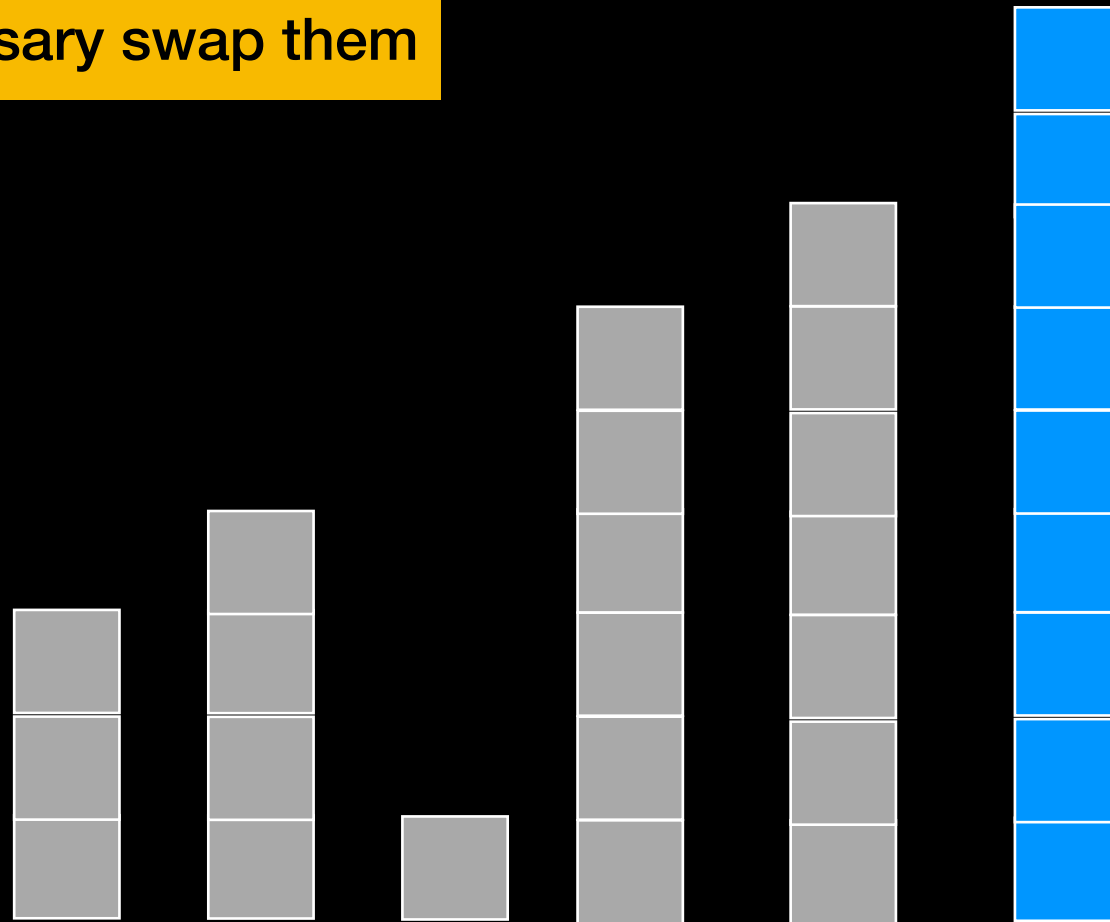


# Bubble Sort

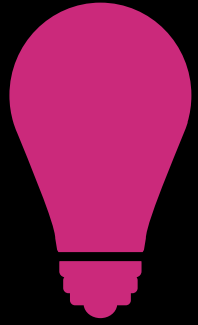


Compare adjacent elements  
and if necessary swap them

**2nd Pass:**  
Sort **n-1**



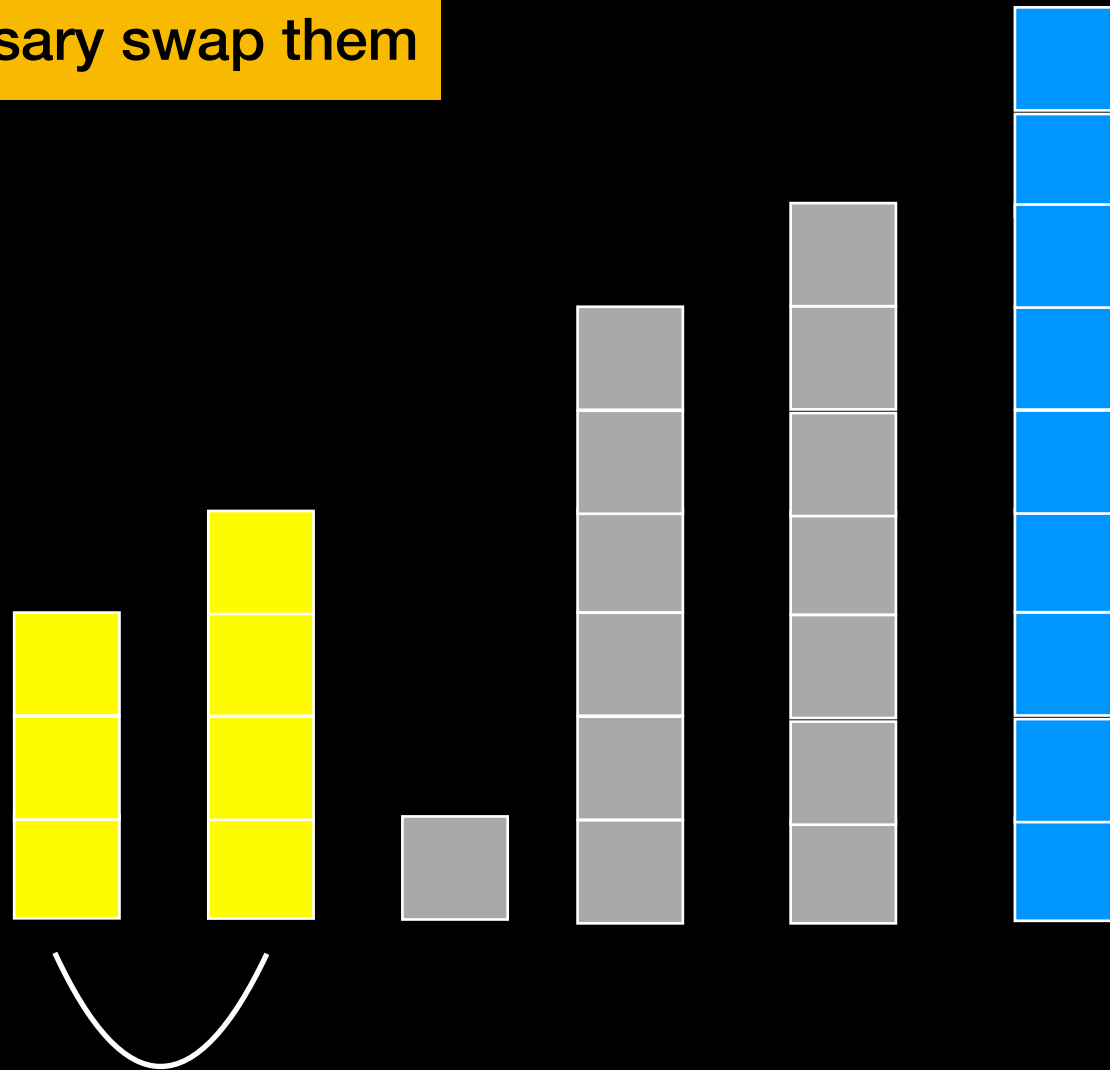
# Bubble Sort



Compare adjacent elements  
and if necessary swap them

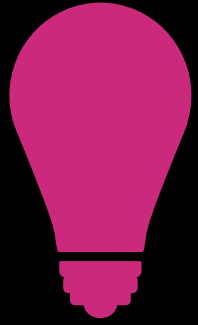


**2nd Pass**



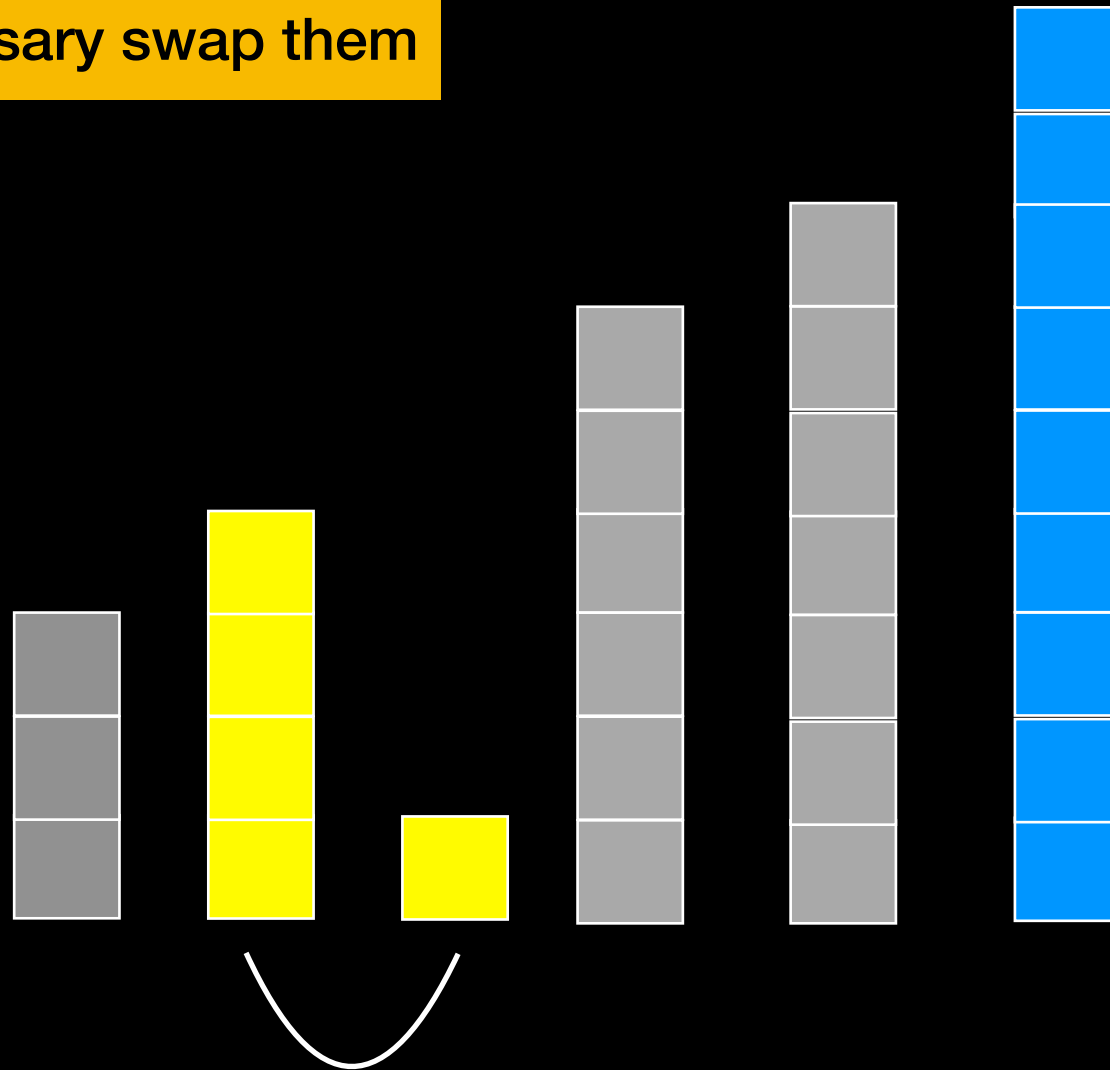
# Bubble Sort

■ Unsorted  
■ Sorted

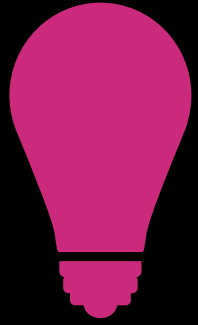


Compare adjacent elements  
and if necessary swap them

**2nd Pass**



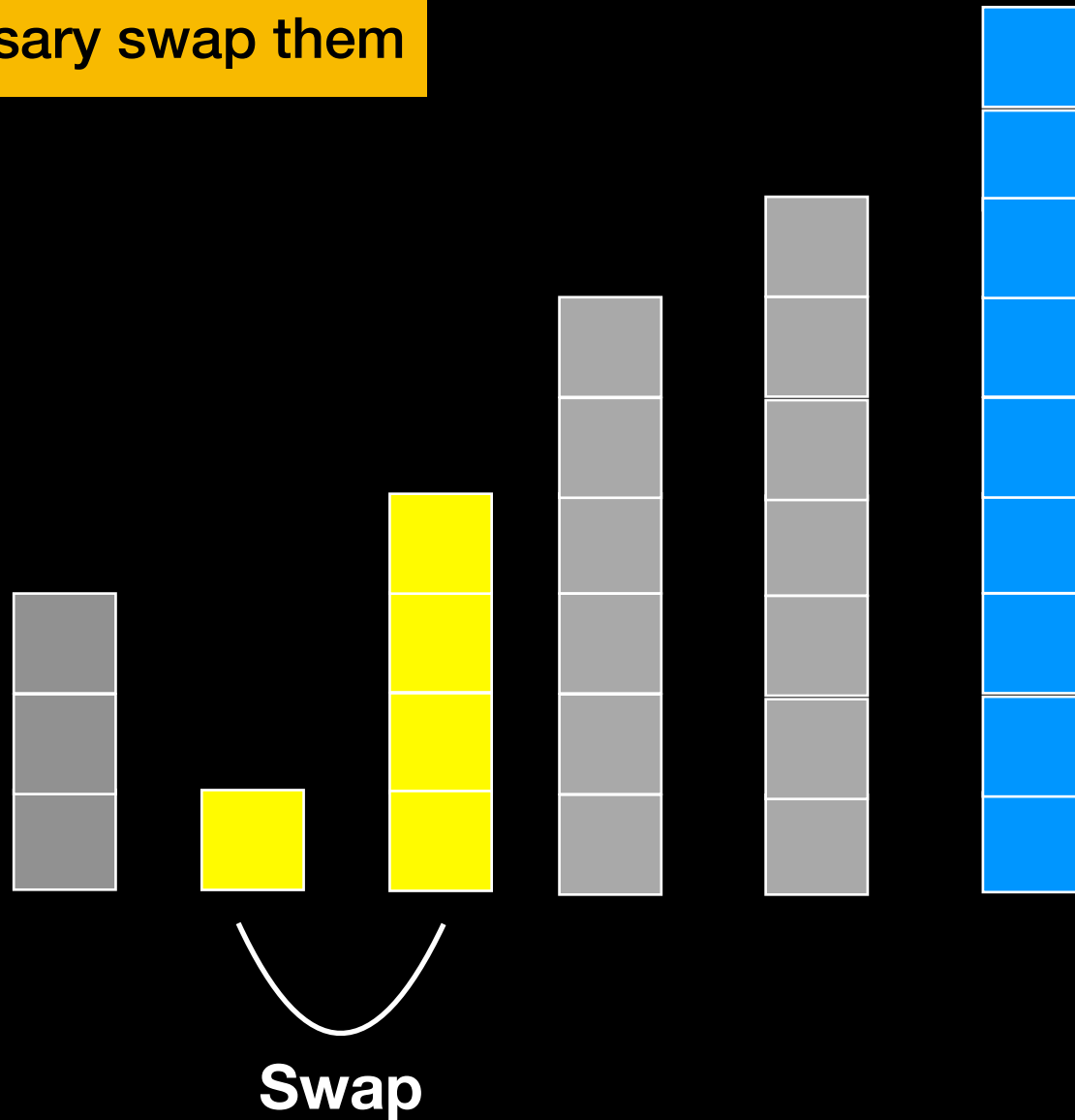
# Bubble Sort



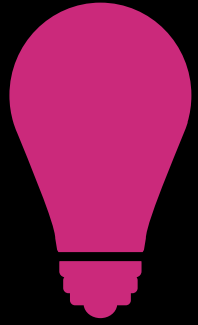
Compare adjacent elements  
and if necessary swap them



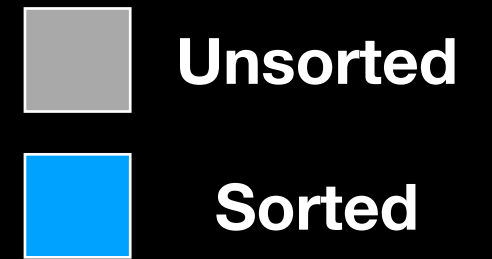
**2nd Pass**



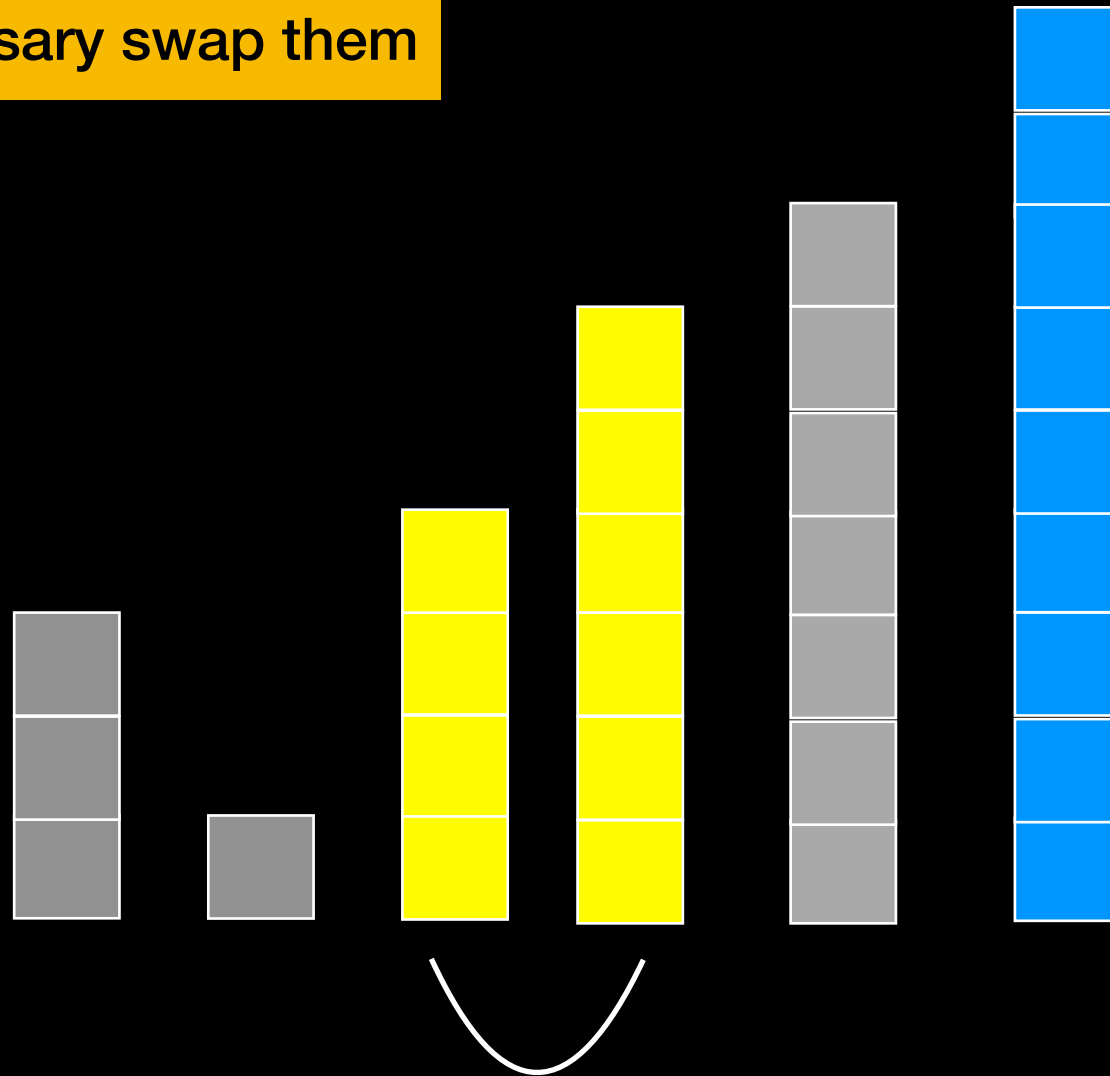
# Bubble Sort



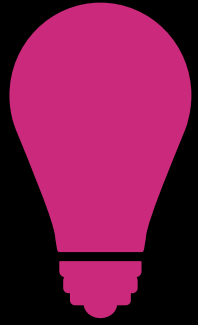
Compare adjacent elements  
and if necessary swap them



**2nd Pass**



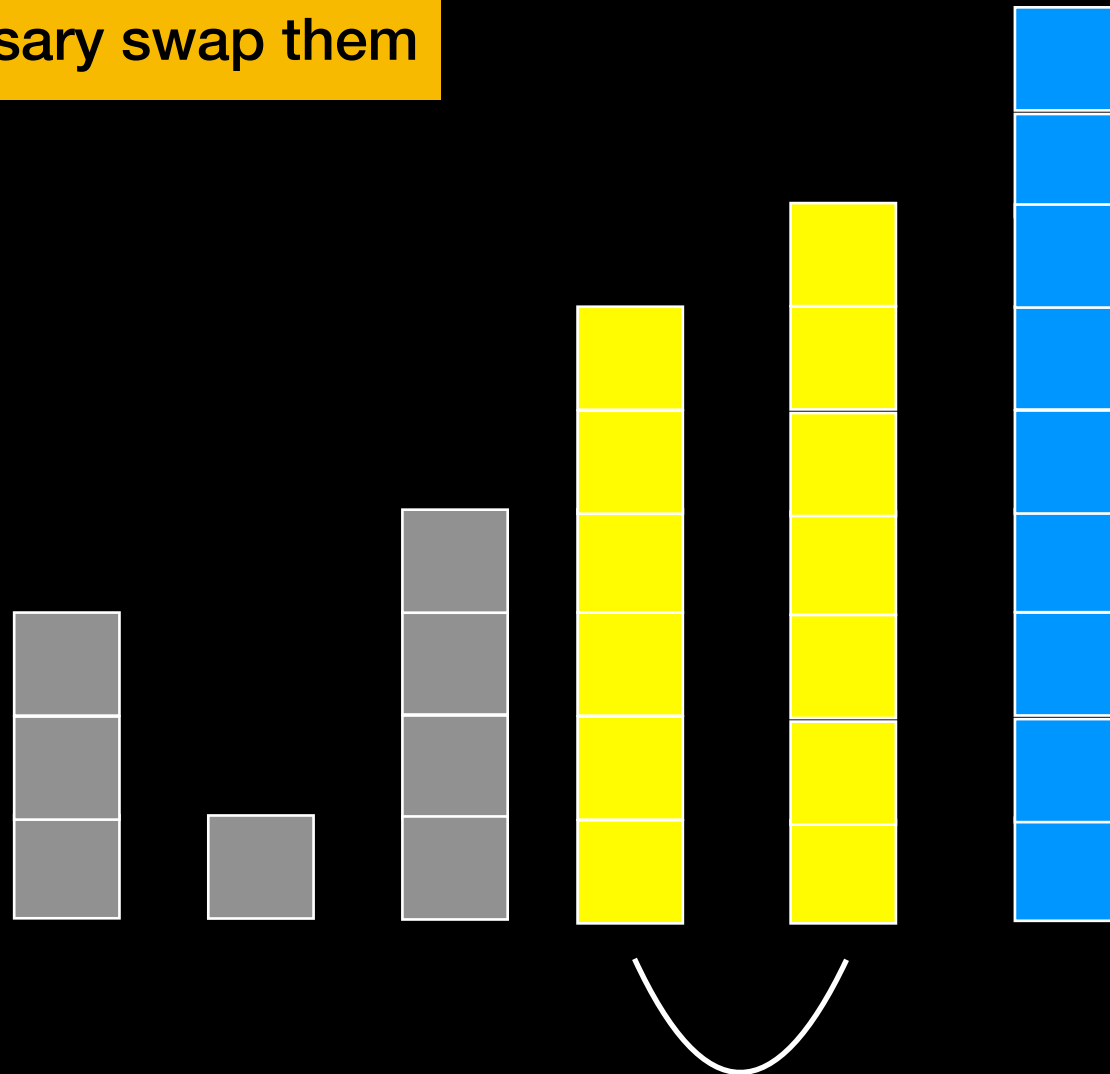
# Bubble Sort



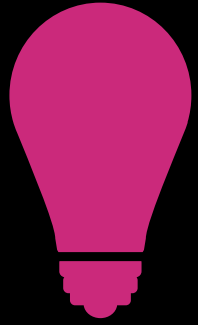
Compare adjacent elements  
and if necessary swap them



**2nd Pass**

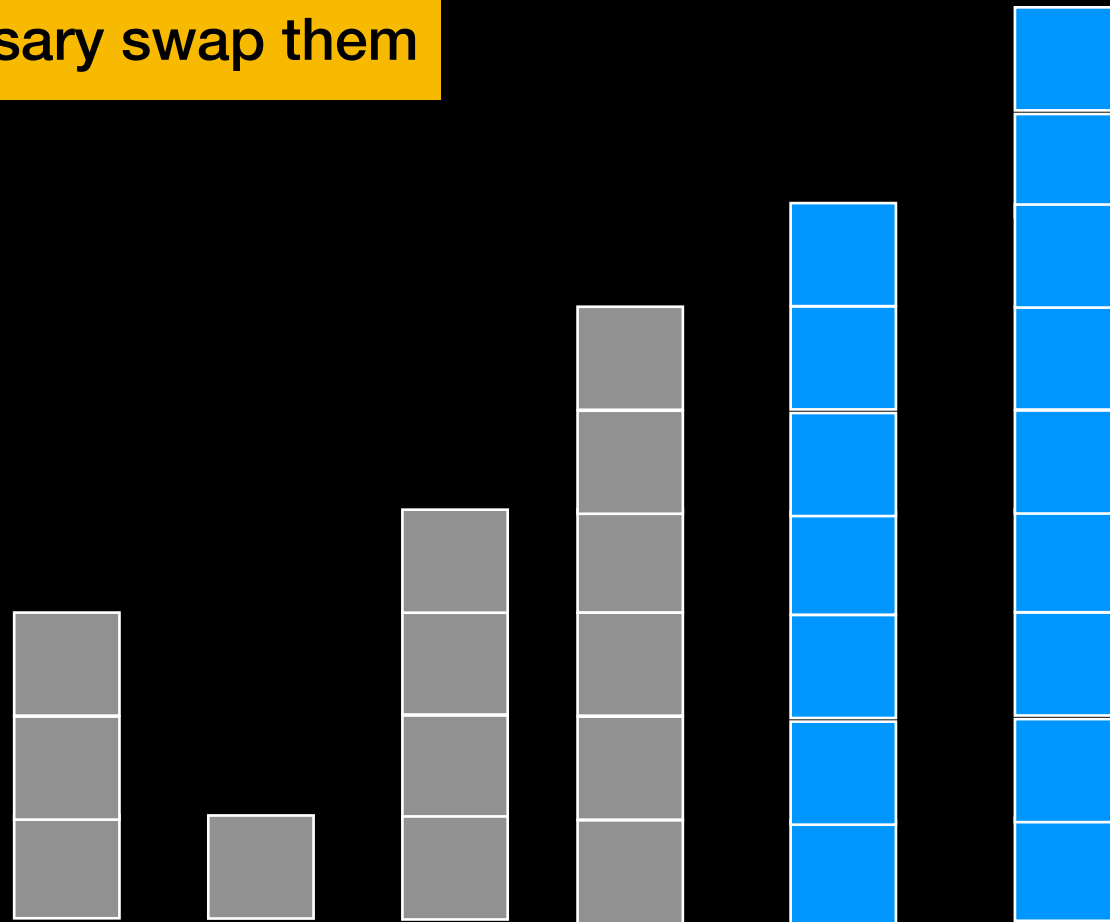


# Bubble Sort

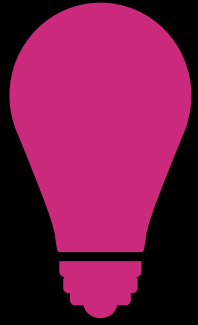


Compare adjacent elements  
and if necessary swap them

**3rd Pass:**  
Sort **n-2**



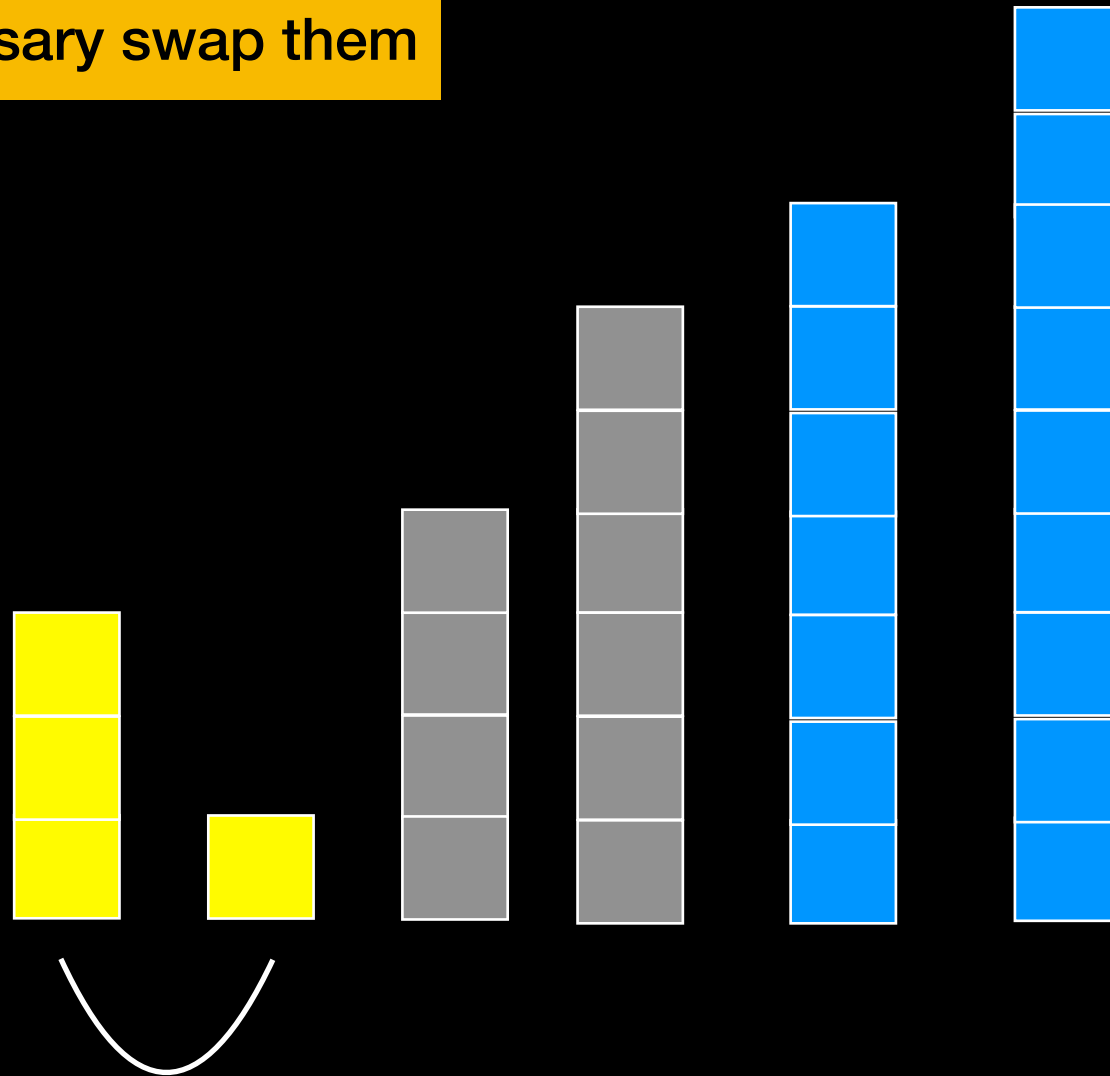
# Bubble Sort



Compare adjacent elements  
and if necessary swap them

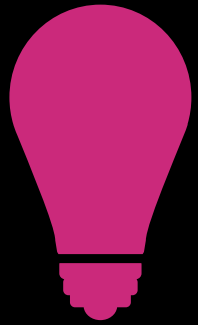
■ Unsorted  
■ Sorted

**3rd Pass**





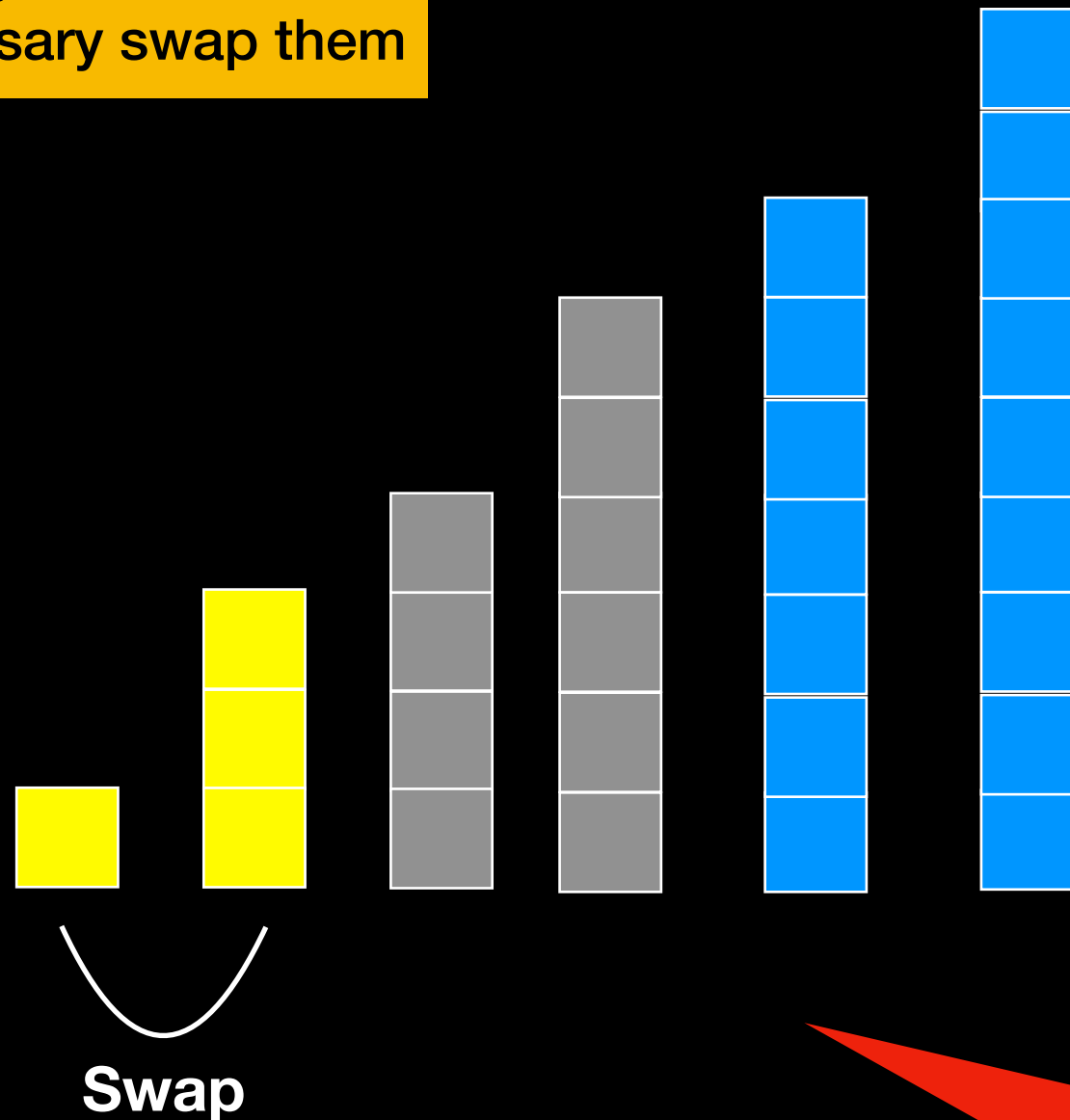
# Bubble Sort



Compare adjacent elements  
and if necessary swap them

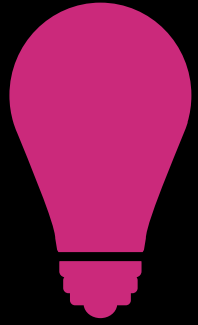
■ Unsorted  
■ Sorted

**3rd Pass**



Array is sorted  
But our algorithm doesn't know  
It keeps on going

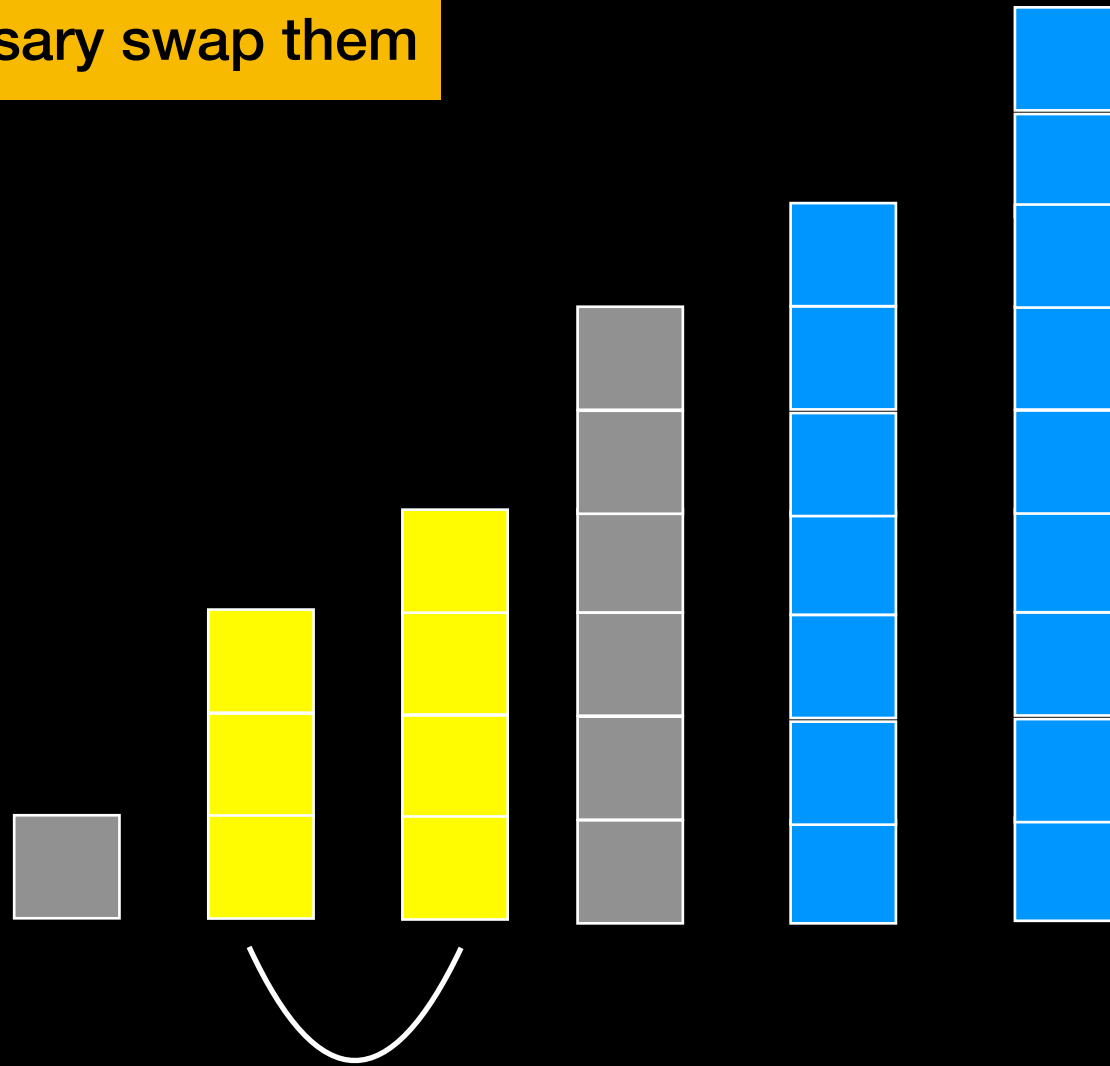
# Bubble Sort



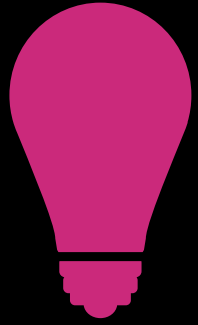
Compare adjacent elements  
and if necessary swap them



**3rd Pass**



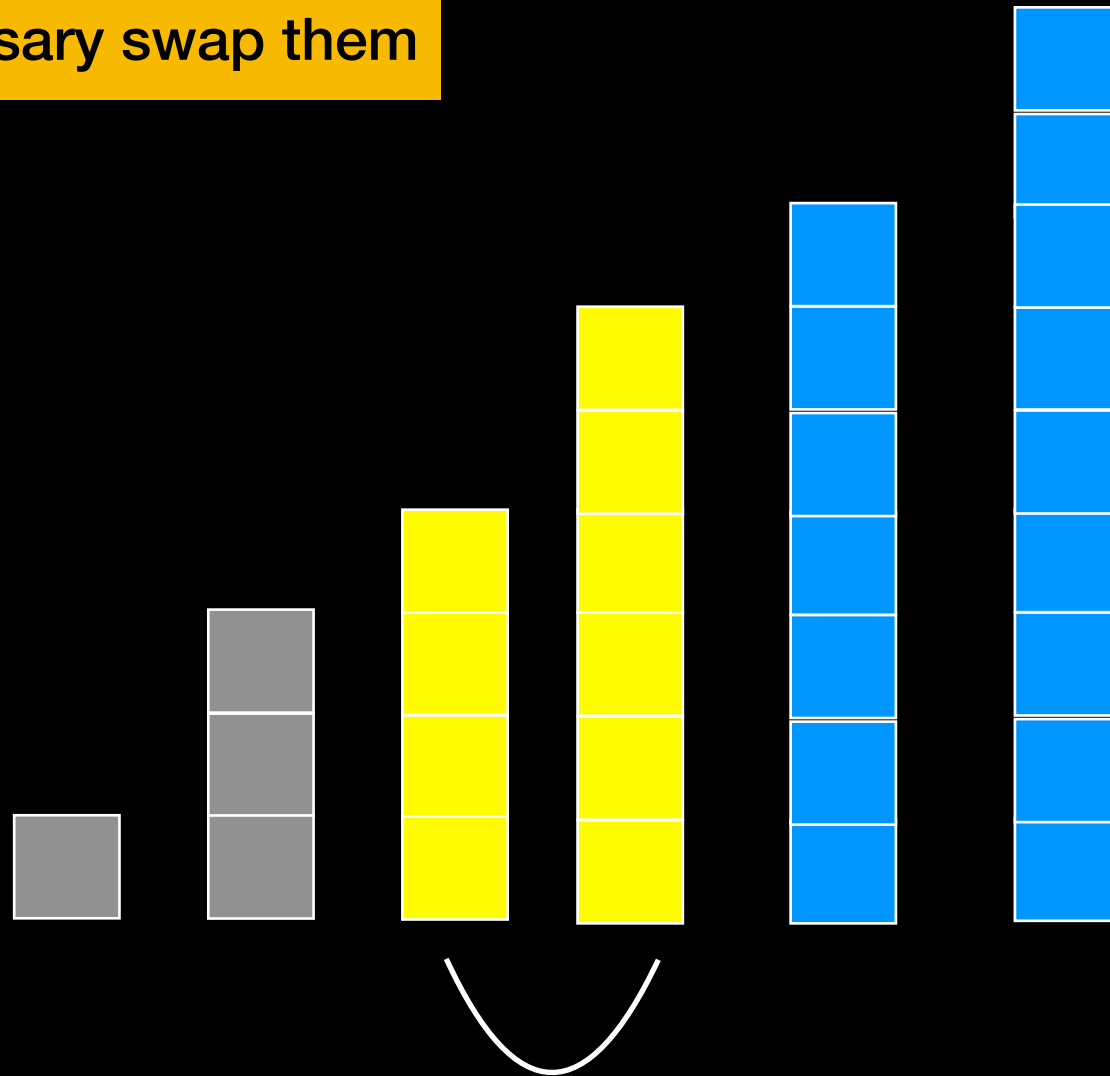
# Bubble Sort



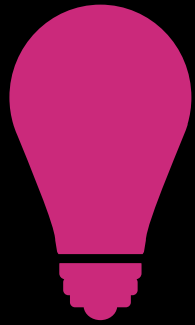
Compare adjacent elements  
and if necessary swap them

■ Unsorted  
■ Sorted

**3rd Pass**

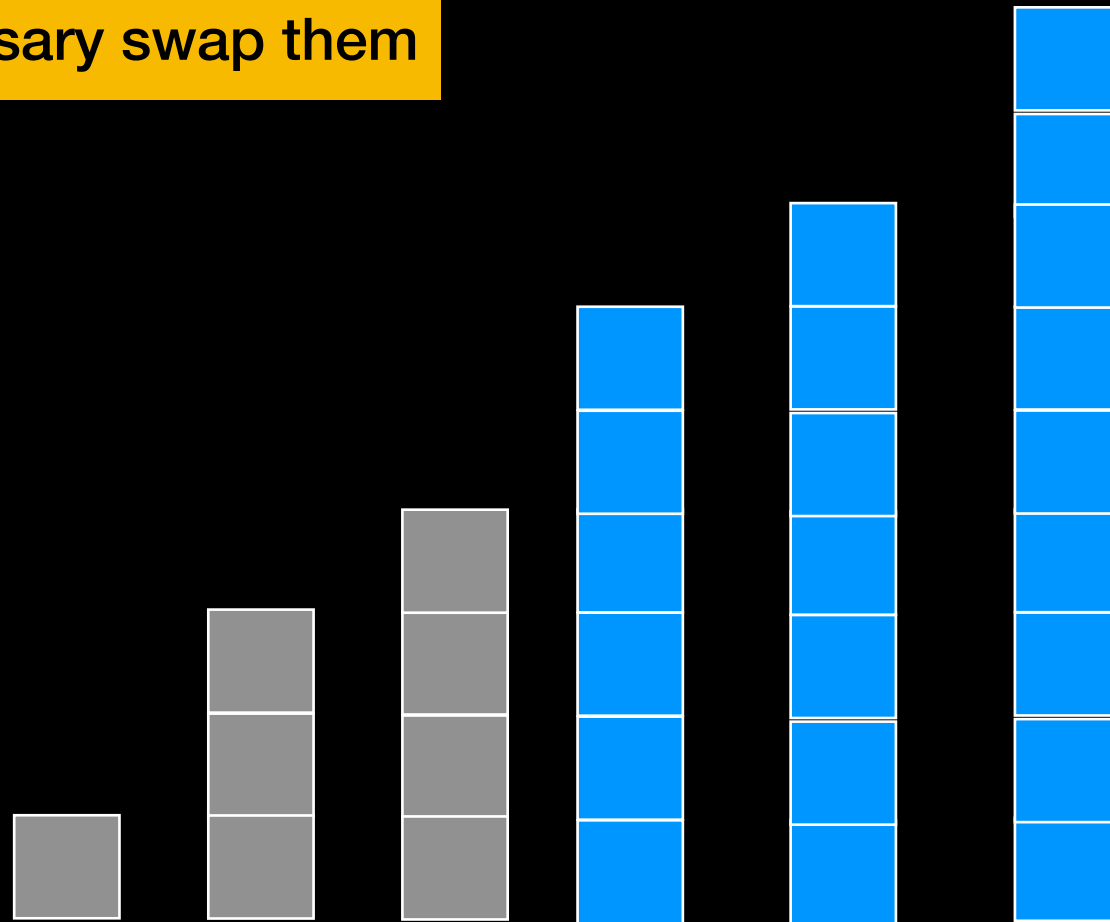


# Bubble Sort

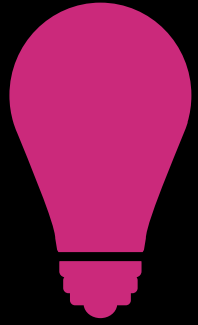


Compare adjacent elements  
and if necessary swap them

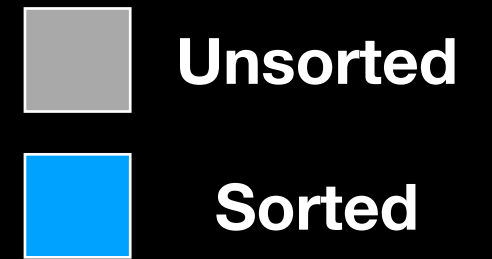
**4th Pass:**  
Sort **n-3**



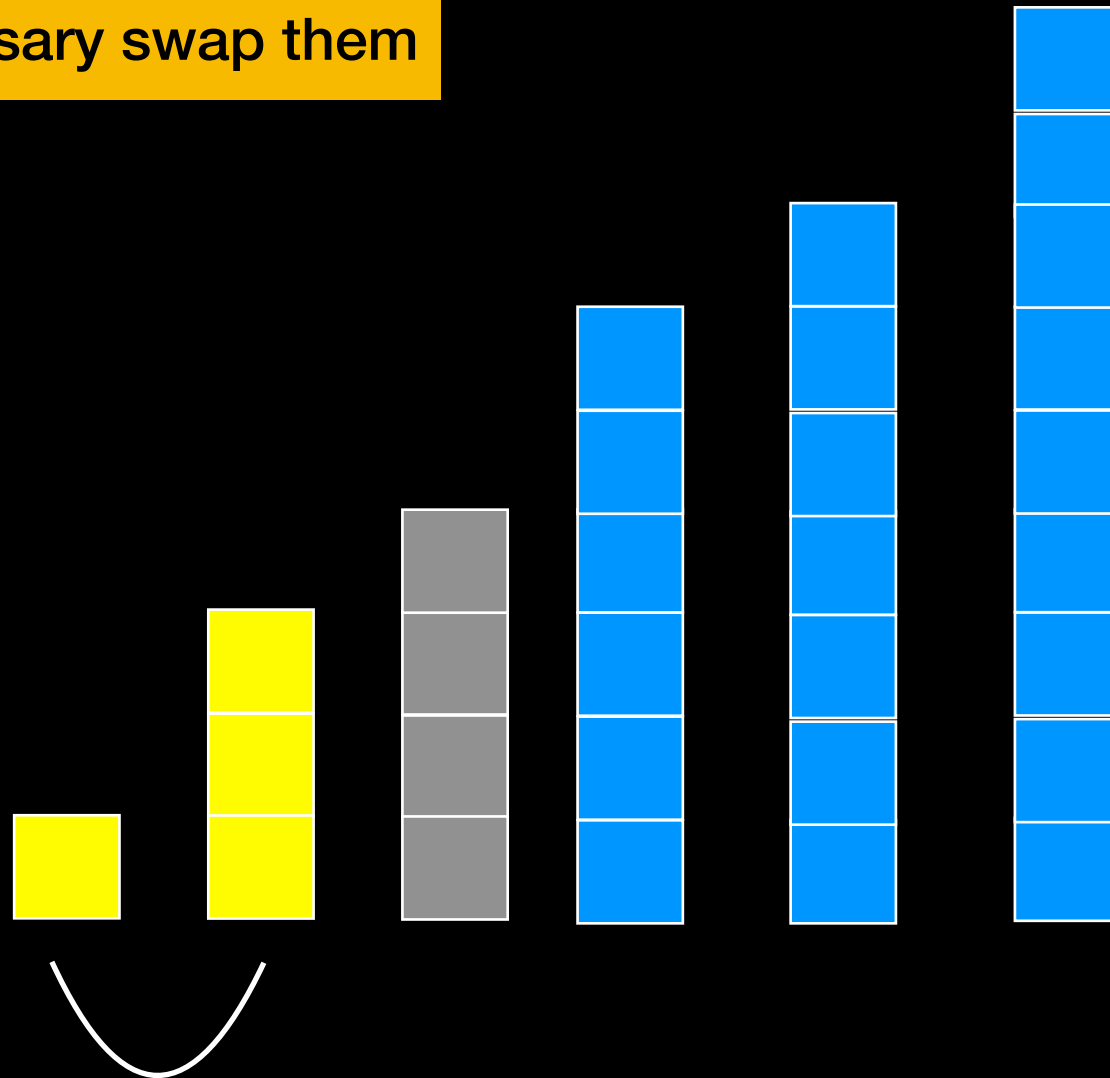
# Bubble Sort



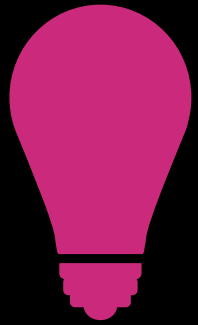
Compare adjacent elements  
and if necessary swap them



**4th Pass**



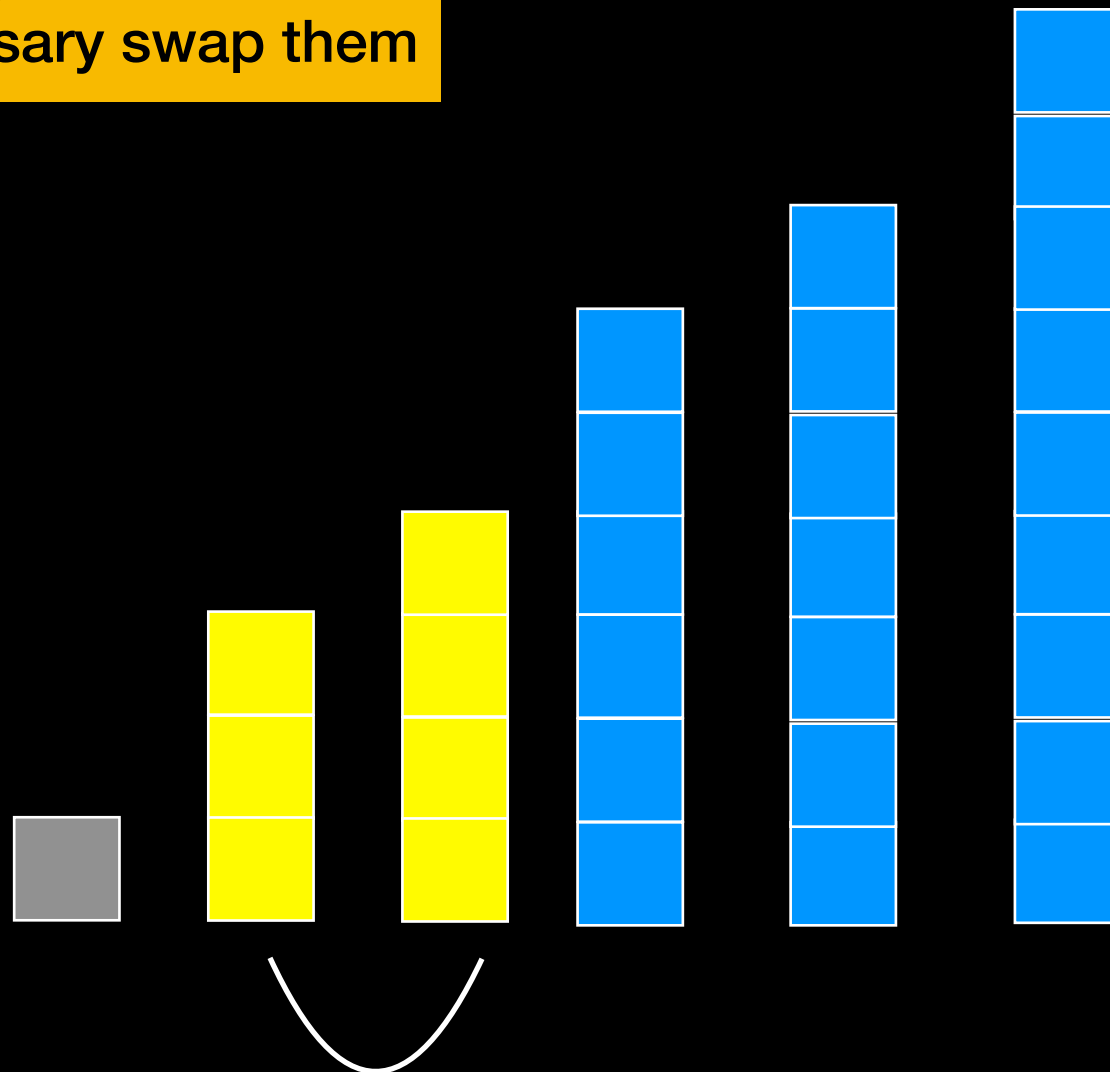
# Bubble Sort



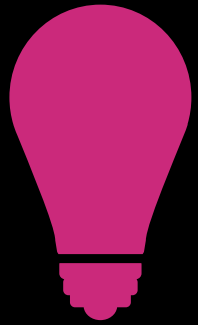
Compare adjacent elements  
and if necessary swap them



**4th Pass**

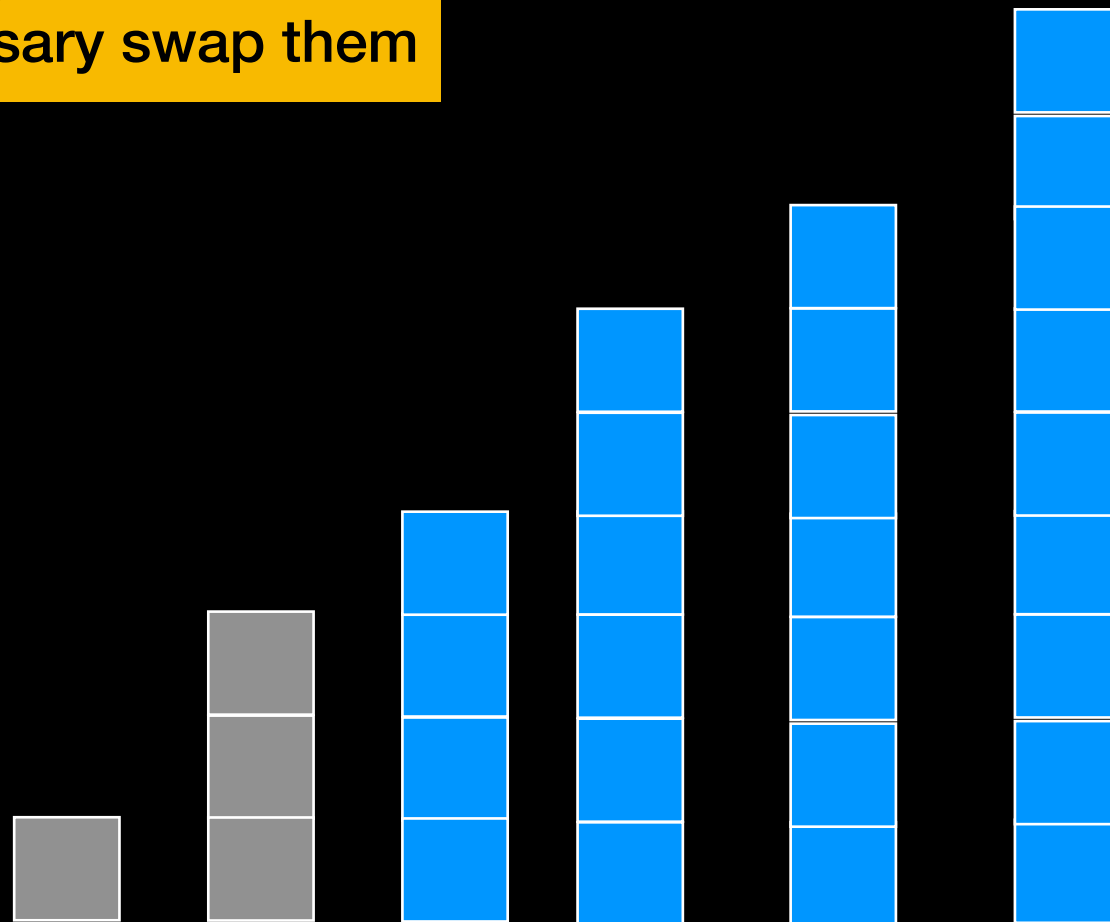


# Bubble Sort

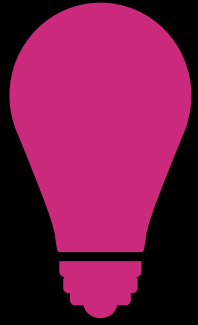


Compare adjacent elements  
and if necessary swap them

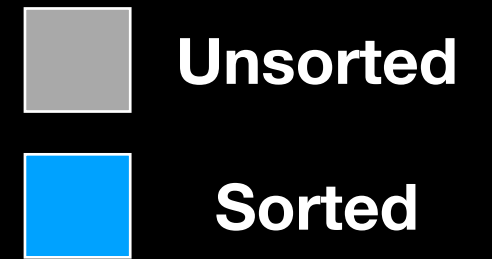
**5th Pass:**  
Sort **n-4**



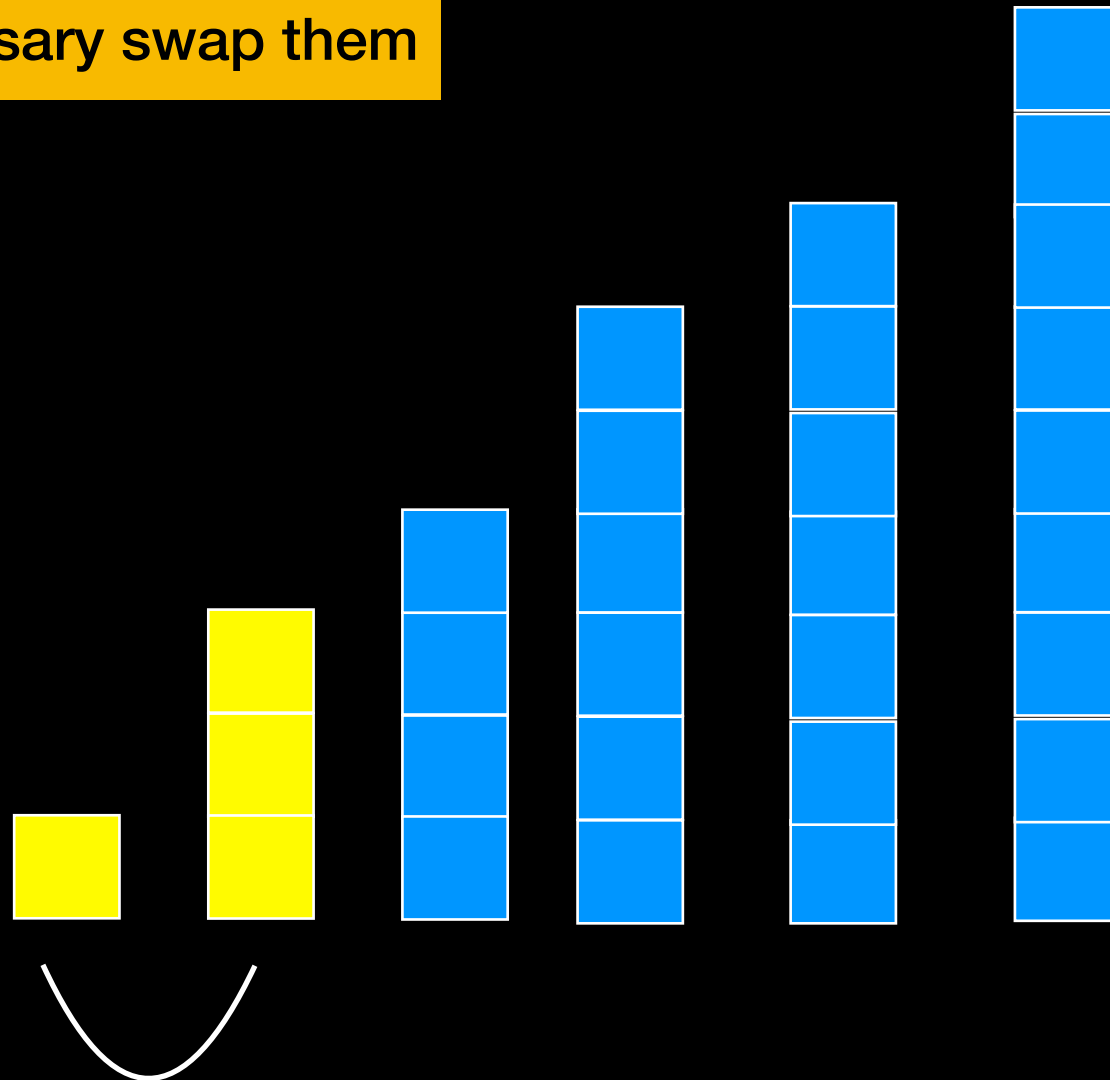
# Bubble Sort



Compare adjacent elements  
and if necessary swap them

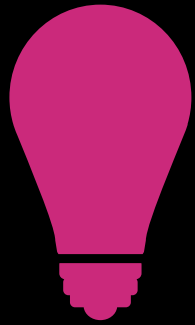


**5th Pass**





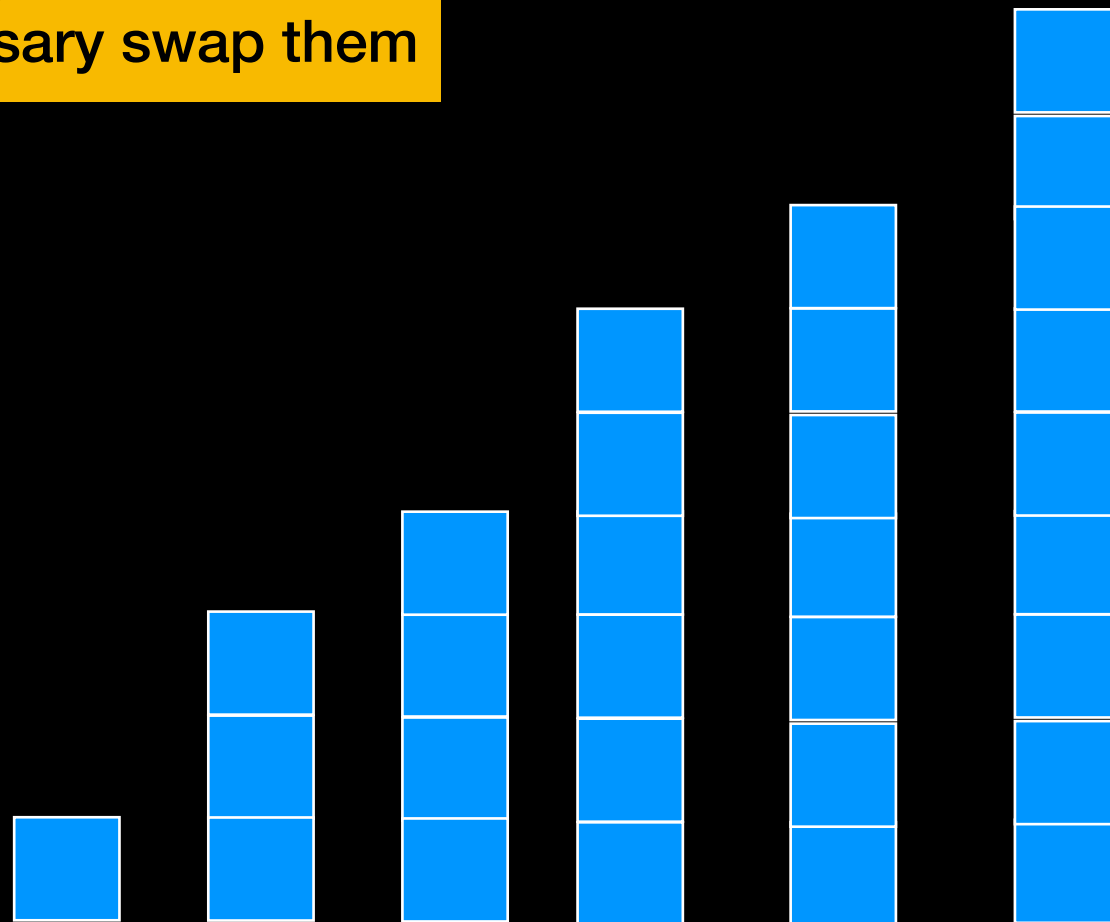
# Bubble Sort



Compare adjacent elements  
and if necessary swap them

■ Unsorted  
■ Sorted

**Done!**



# Bubble Sort Analysis

How much work?

First pass:  **$n-1$**  comparisons and **at most  $n-1$**  swaps

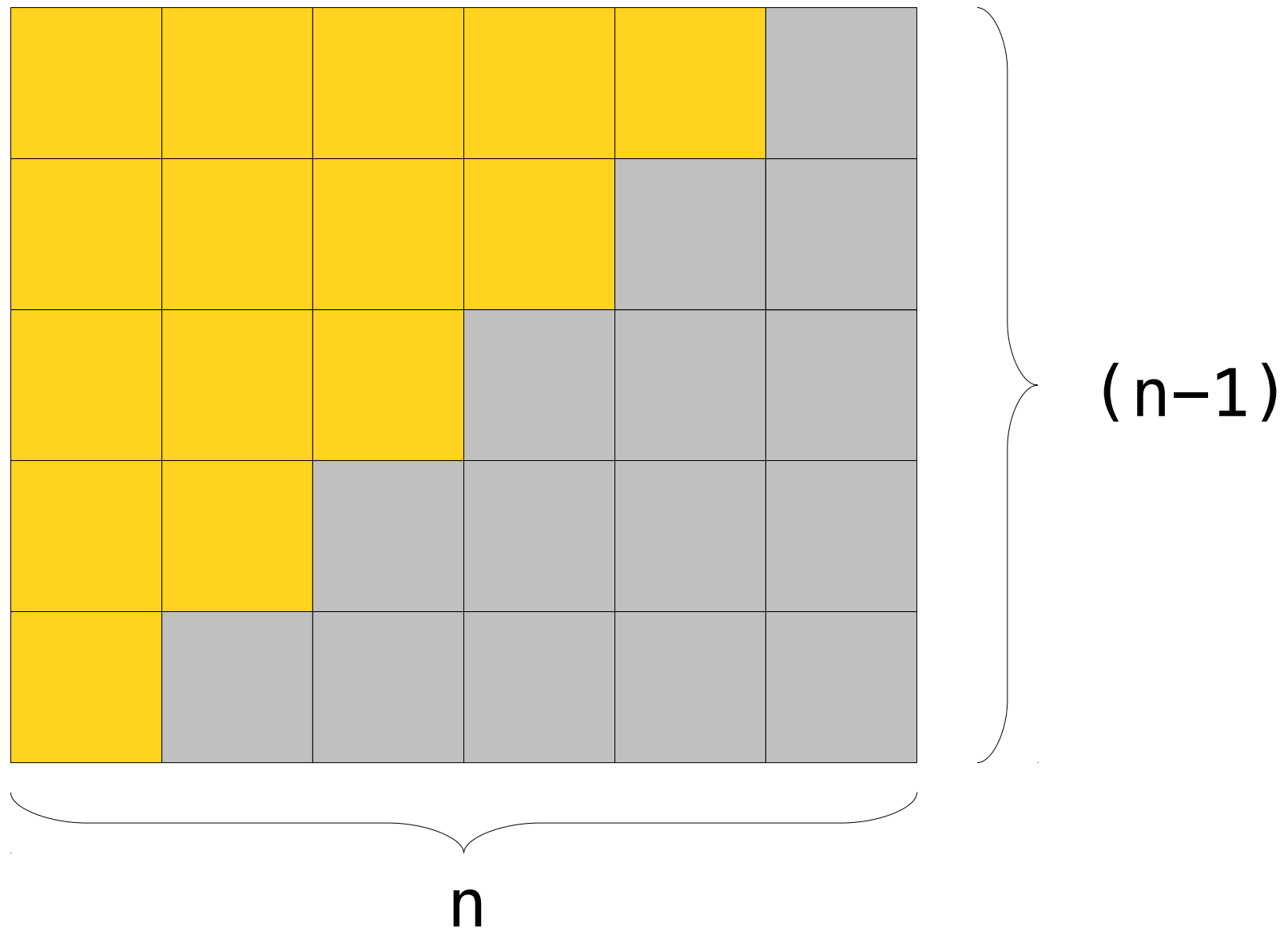
Second pass:  **$n-2$**  comparisons and **at most  $n-2$**  swaps

Third pass:  **$n-3$**  comparisons and **at most  $n-3$**  swaps

...

Total work:  **$(n-1) + (n-2) + \dots + 1$**

$$(n-1) + (n-2) + \dots + 2 + 1 = n(n-1)/2$$



# Bubble Sort Analysis

$$T(n) = n(n-1) / 2 \text{ comparisons} + n(n-1) / 2 \text{ swaps} = O(\text{ )?}$$

A swap is usually more than one operation but this simplification does not change the analysis

$$T(n) = 2( n(n-1) / 2 ) = O(\text{ )?}$$

# Bubble Sort Analysis

$$T(n) = n(n-1) / 2 \text{ comparisons} + n(n-1) / 2 \text{ swaps} = O(\text{ )?}$$

A swap is usually more than one operation but this simplification does not change the analysis

$$T(n) = 2( n(n-1) / 2 ) = O(\text{ )?}$$

$$T(n) = 2( (n^2-n) / 2 ) = O(\text{ )?}$$

# Bubble Sort Analysis

$$T(n) = n(n-1) / 2 \text{ comparisons} + n(n-1) / 2 \text{ swaps} = O(\text{ )?}$$

A swap is usually more than one operation but this simplification does not change the analysis

$$T(n) = 2( n(n-1) / 2 ) = O(\text{ )?}$$

$$T(n) = 2( (n^2-n) / 2 ) = O(\text{ )?}$$

$$T(n) = n^2-n = O(\text{ )?}$$

Ignore non-dominant terms

# Bubble Sort Analysis

$$T(n) = n(n-1) / 2 \text{ comparisons} + n(n-1) / 2 \text{ swaps} = O(\text{ })?$$

A swap is usually more than one operation but this simplification does not change the analysis

$$T(n) = 2( n(n-1) / 2 ) = O(\text{ })?$$

$$T(n) = 2( (n^2-n) / 2 ) = O(\text{ })?$$

$$T(n) = n^2 - n = O(\mathbf{n^2})$$

Bubble Sort run time is  $O(\mathbf{n^2})$

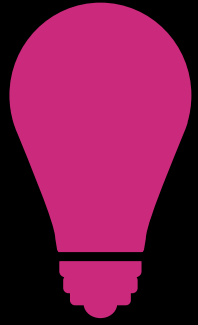
# Optimize!

Easy to check:

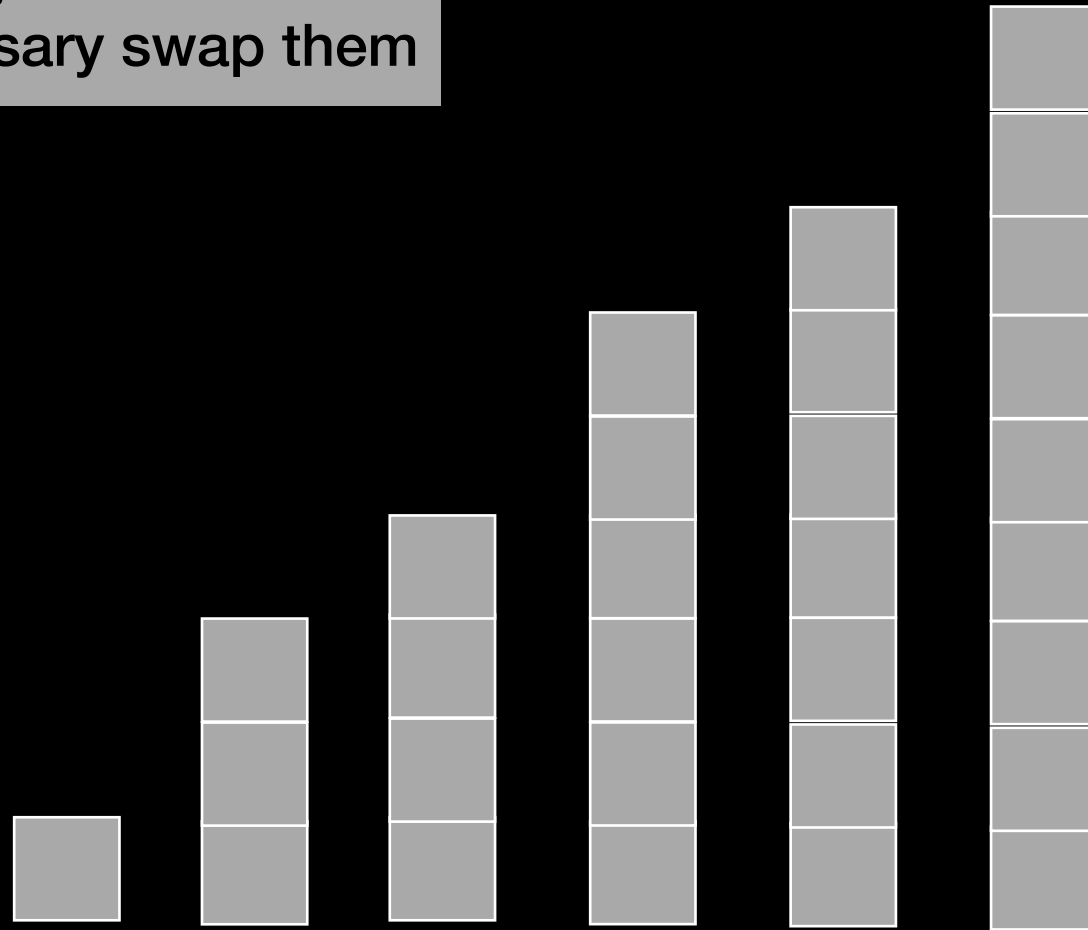
if there are no swaps in any given pass  
stop because it is sorted



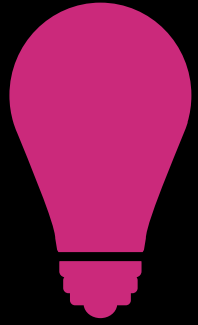
# Bubble Sort



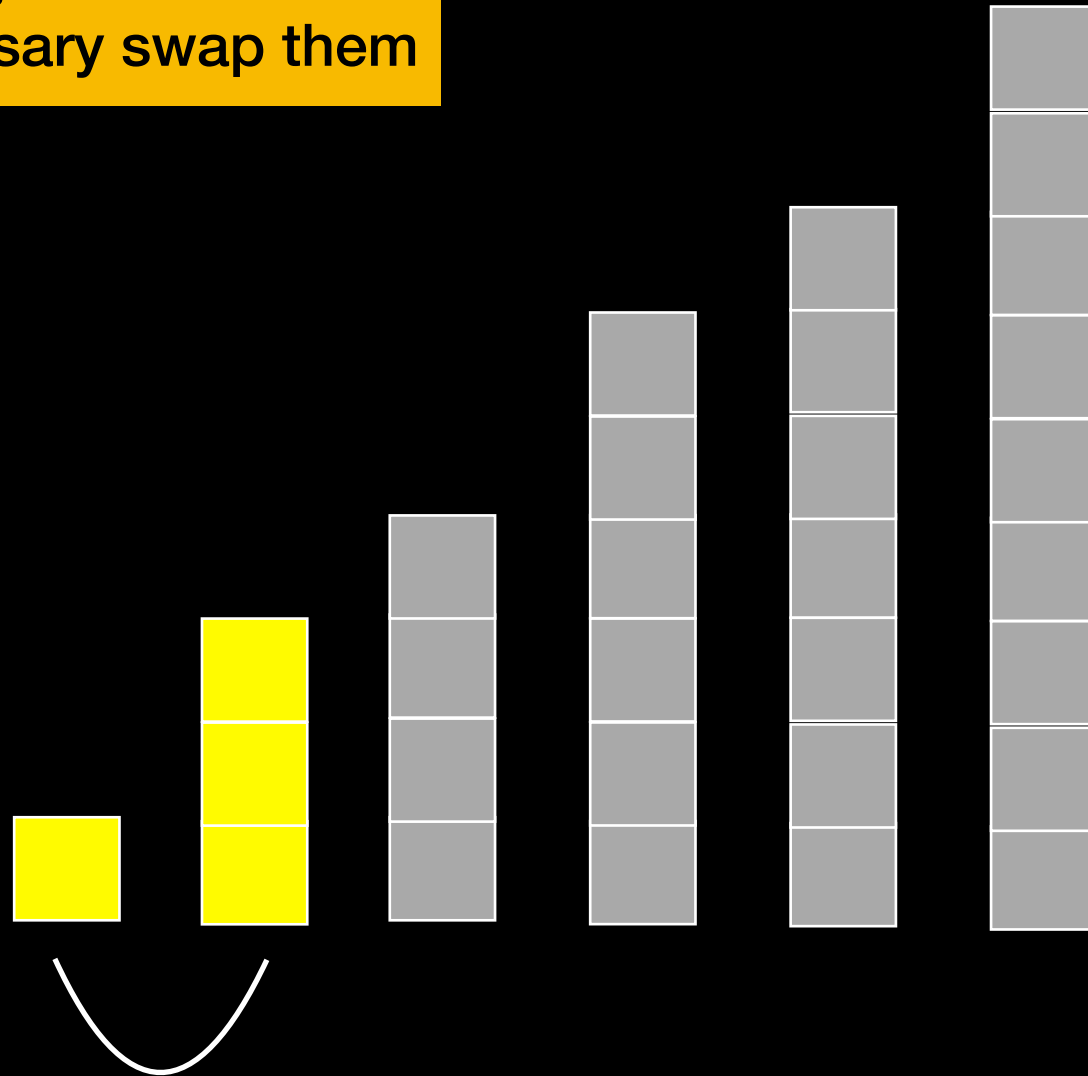
Compare adjacent elements  
and if necessary swap them



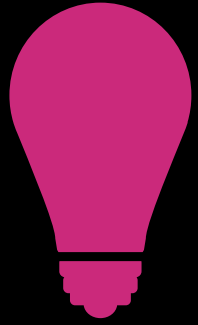
# Bubble Sort



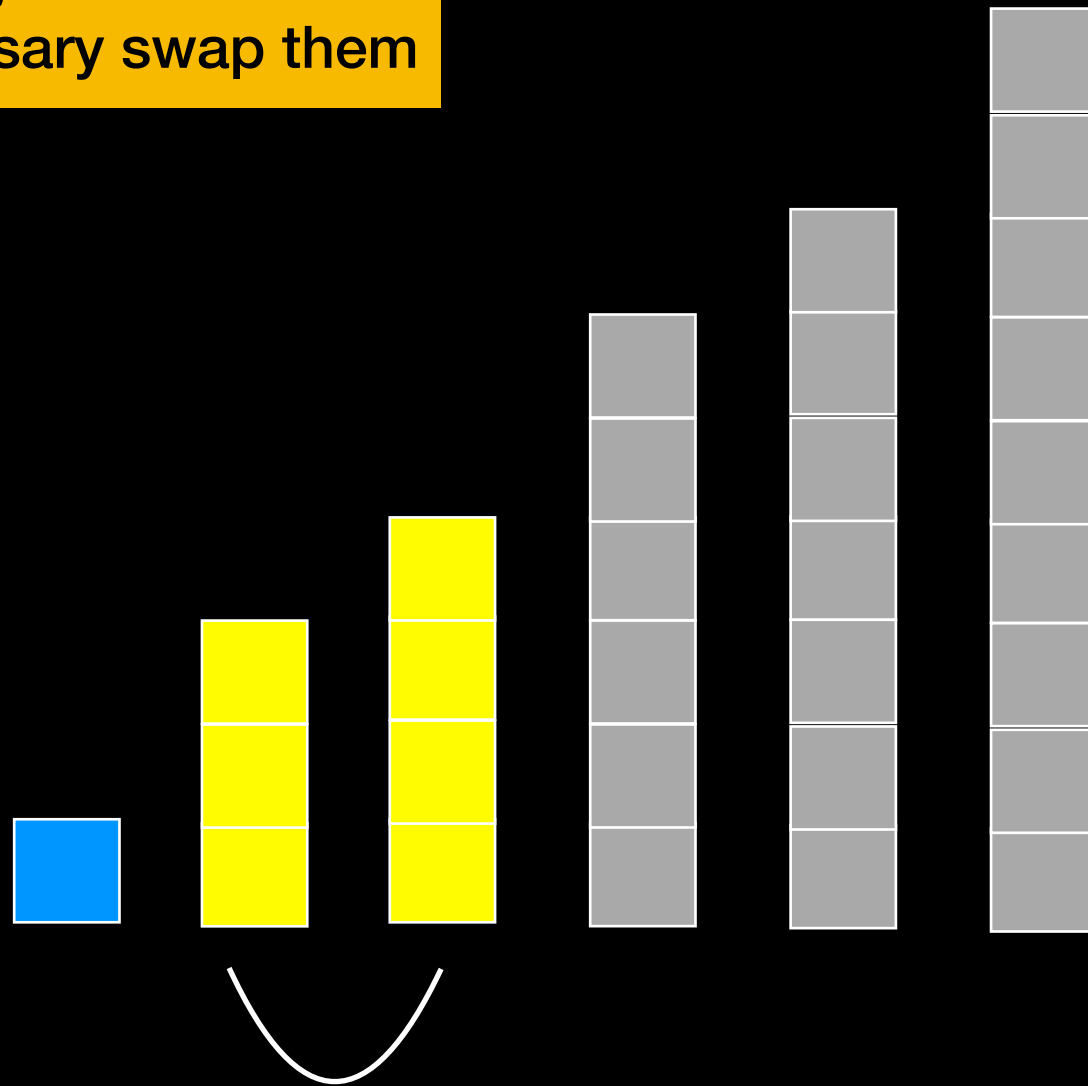
Compare adjacent elements  
and if necessary swap them



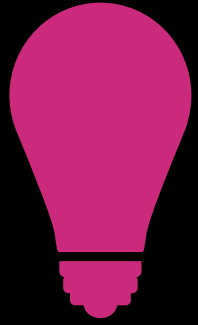
# Bubble Sort



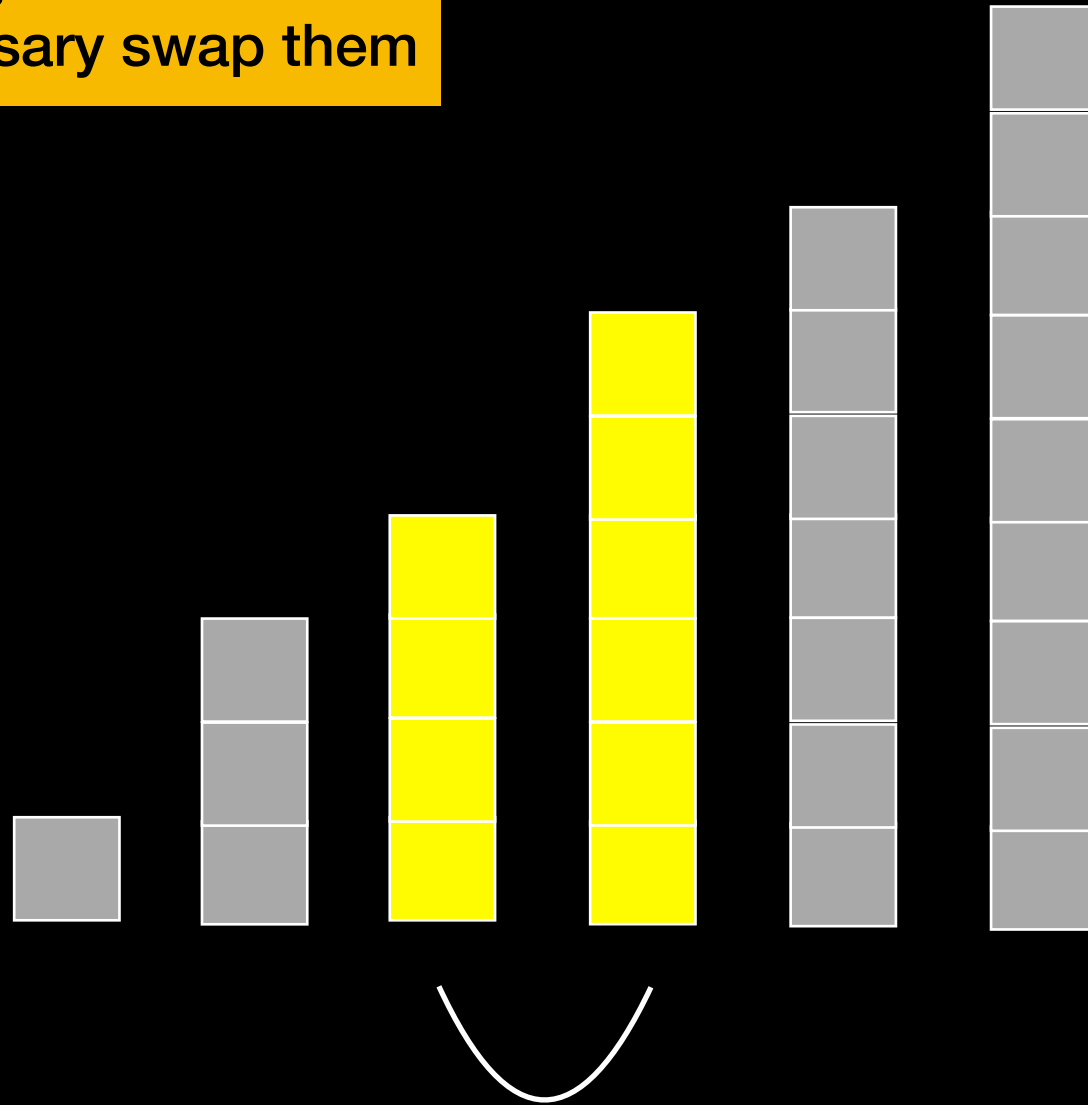
Compare adjacent elements  
and if necessary swap them



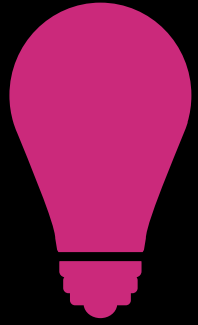
# Bubble Sort



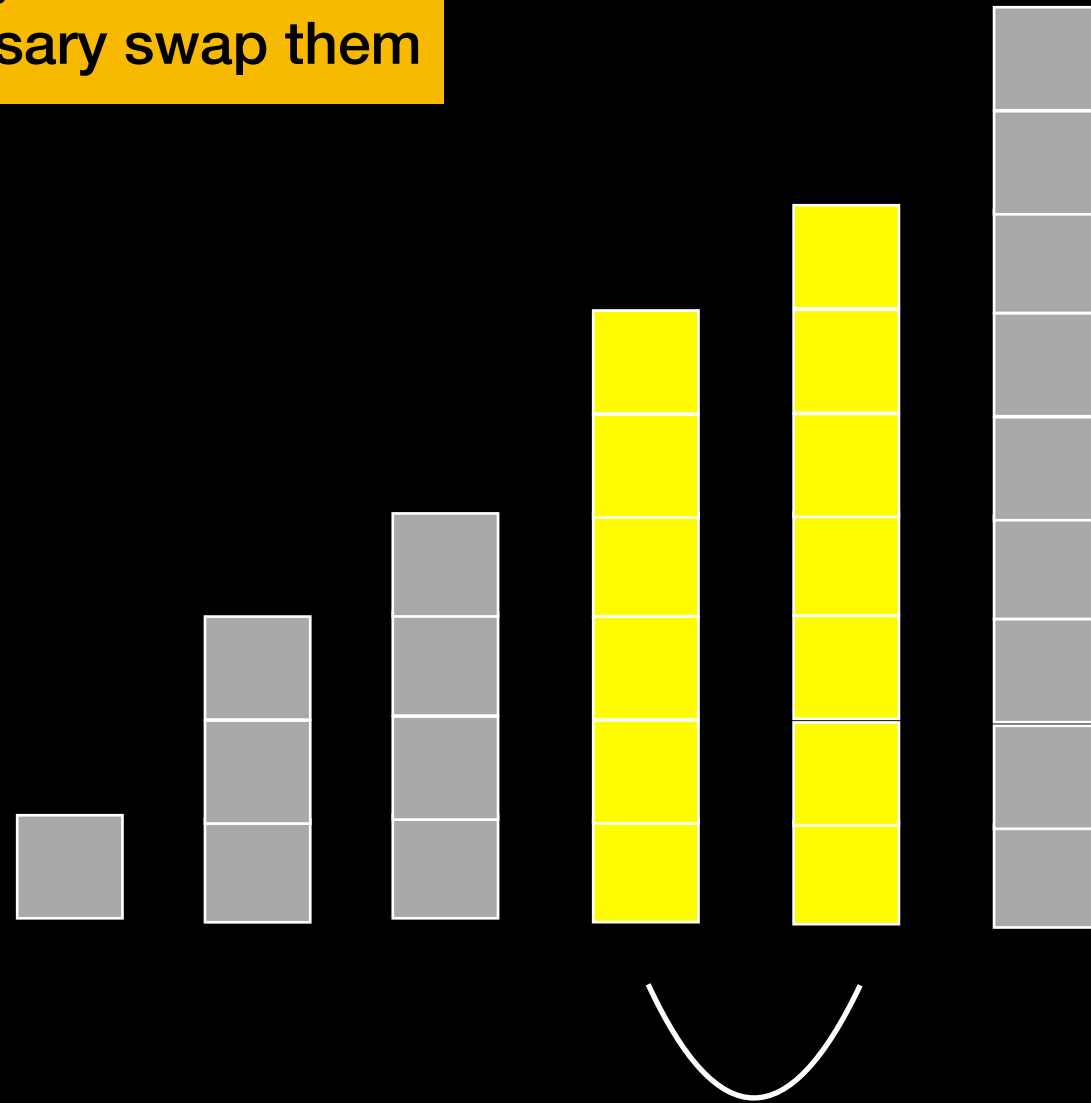
Compare adjacent elements  
and if necessary swap them



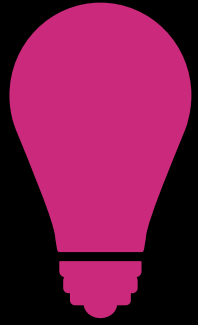
# Bubble Sort



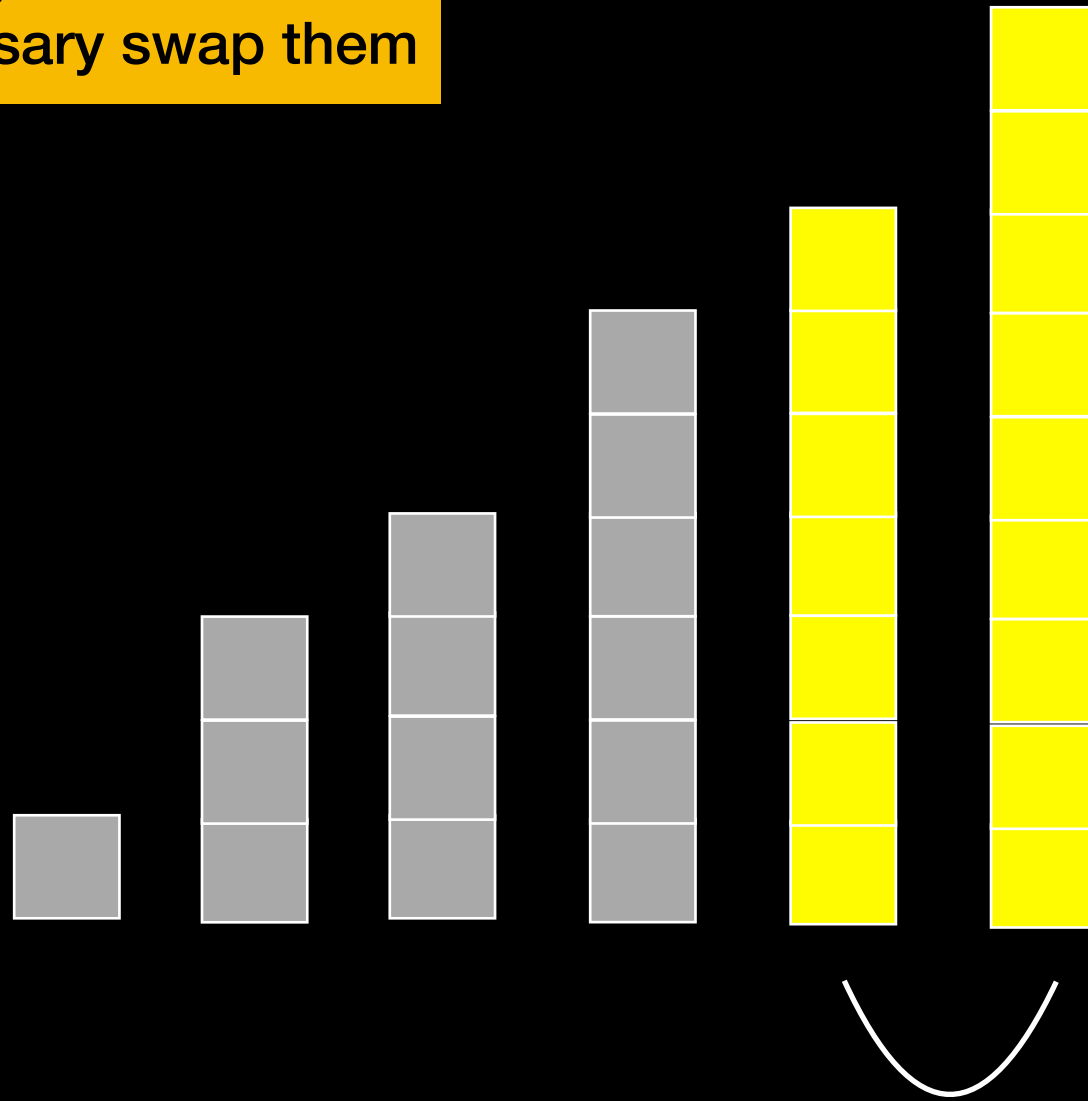
Compare adjacent elements  
and if necessary swap them



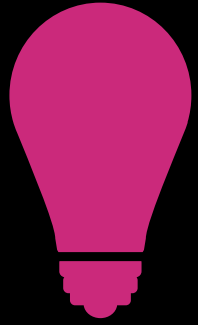
# Bubble Sort



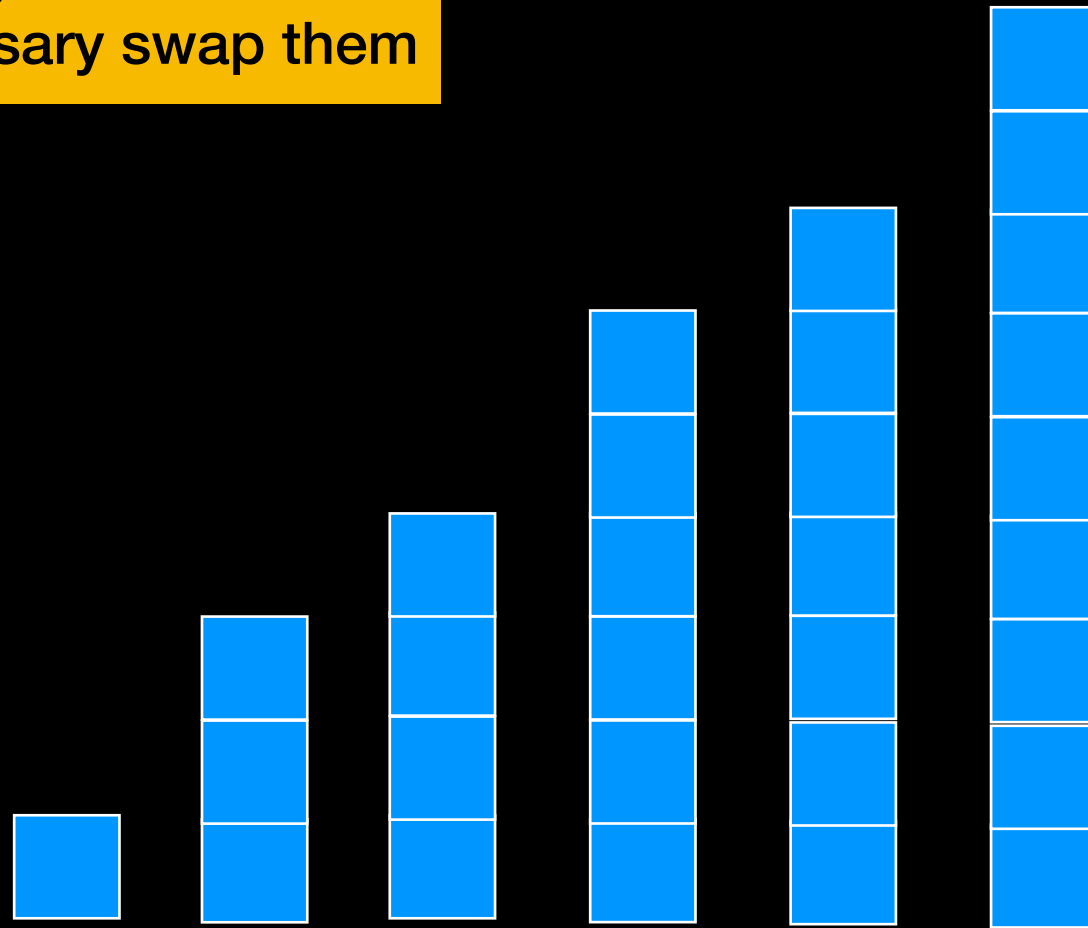
Compare adjacent elements  
and if necessary swap them



# Bubble Sort



Compare adjacent elements  
and if necessary swap them



```

template <class Comparable>
void bubbleSort(const std::vector<Comparable>& the_array)
{
    int size = the_array.size();
    bool swapped = true; // Assume unsorted
    int pass = 1;
    while (swapped && (pass < size))
    {
        // At this point, if pass > 1 the_array[size+1-pass ... size-1] is sorted
        // and all of its entries are > the entries in the_array[0 ... size-pass]
        swapped = false;
        for (int index = 0; index < size - pass; index++)
        {
            // At this point, all entries in the_array[0 ... index-1]
            // are <= the_array[index]
            if (the_array[index] > the_array[index+1])
            {
                std::swap(the_array[index], the_array[index+1]); //swap
                swapped = true; // indicates array not yet sorted
            } // end if
        } // end for
        // Assertion: the_array[0 ... size-pass-1] < the_array[size-pass]

        pass++;
    } // end while
} // end bubbleSort

```



```

template <class Comparable>
void bubbleSort(const std::vector<Comparable>& the_array)
{
    int size = the_array.size();
    bool swapped = true; // Assume unsorted
    int pass = 1;
    while (swapped && (pass < size))
    {
        // At this point, if pass > 1 the_array[size+1-pass ... size-1] is sorted
        // and all of its entries are > the entries in the_array[0 ... size-pass]
        swapped = false;
        for (int index = 0; index < size - pass; index++)
        {
            // At this point, all entries in the_array[0 ... index-1]
            // are <= the_array[index]
            if (the_array[index] > the_array[index+1])
            {
                std::swap(the_array[index], the_array[index+1]); //swap
                swapped = true; // indicates array not yet sorted
            } // end if
        } // end for
        // Assertion: the_array[0 ... size-pass-1] < the_array[size-pass]

        pass++;
    } // end while
} // end bubbleSort

```

Pass

$O(n)$

$O(n)$

$O(n^2)$

# Bubble Sort Analysis

Execution time DOES depend on initial arrangement of data

Worst case:  $O(n^2)$  comparisons and data moves

Best case:  $O(n)$  comparisons and data moves

Stable

If array is already sorted bubble sort will stop after first pass and no swaps => good choice for **small n** and data likely **somewhat sorted**

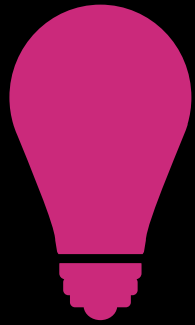
Raise your hand if you had  
Bubble Sort

<https://www.youtube.com/watch?v=lyZQPjUT5B4>



# Insertion Sort

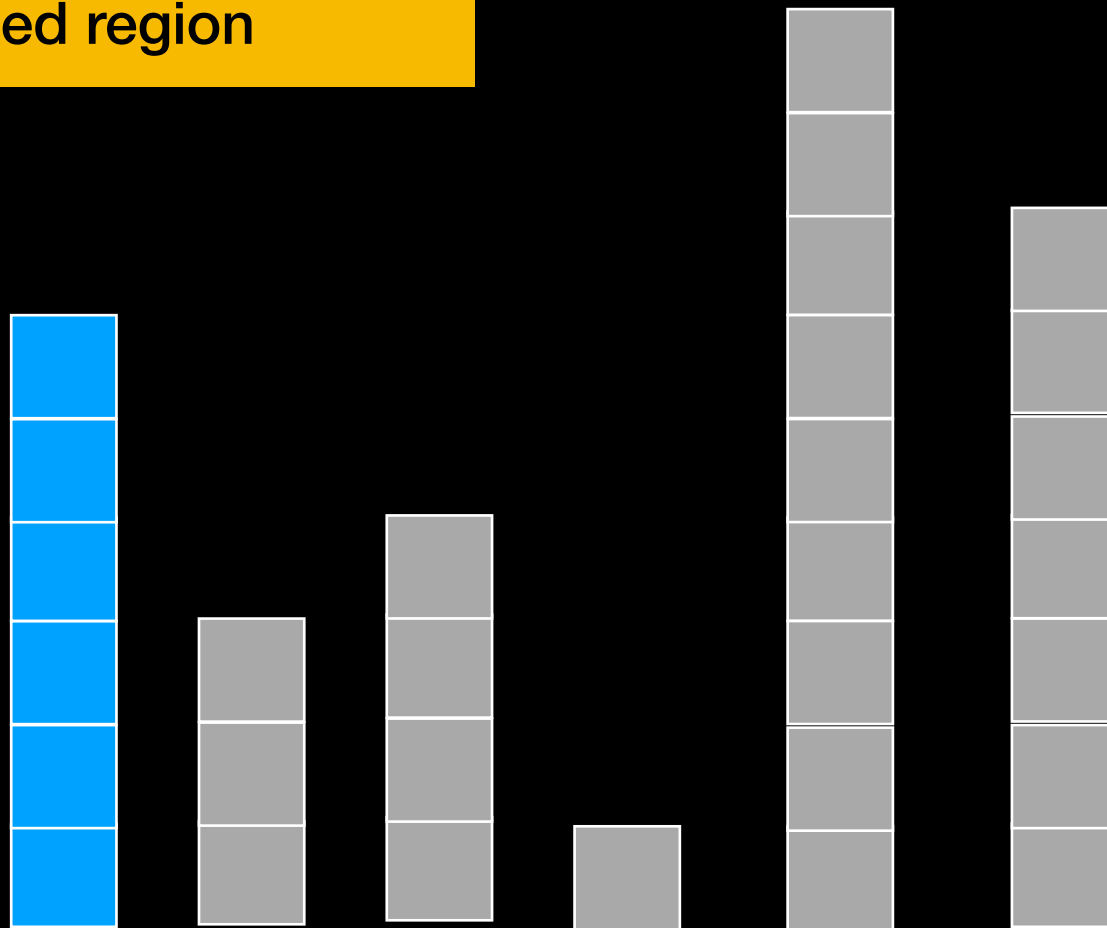
# Insertion Sort



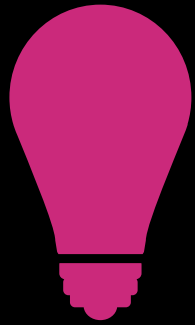
Pick first element in unsorted region and put it in right place in sorted region

■ Unsorted  
■ Sorted

**1st Pass**



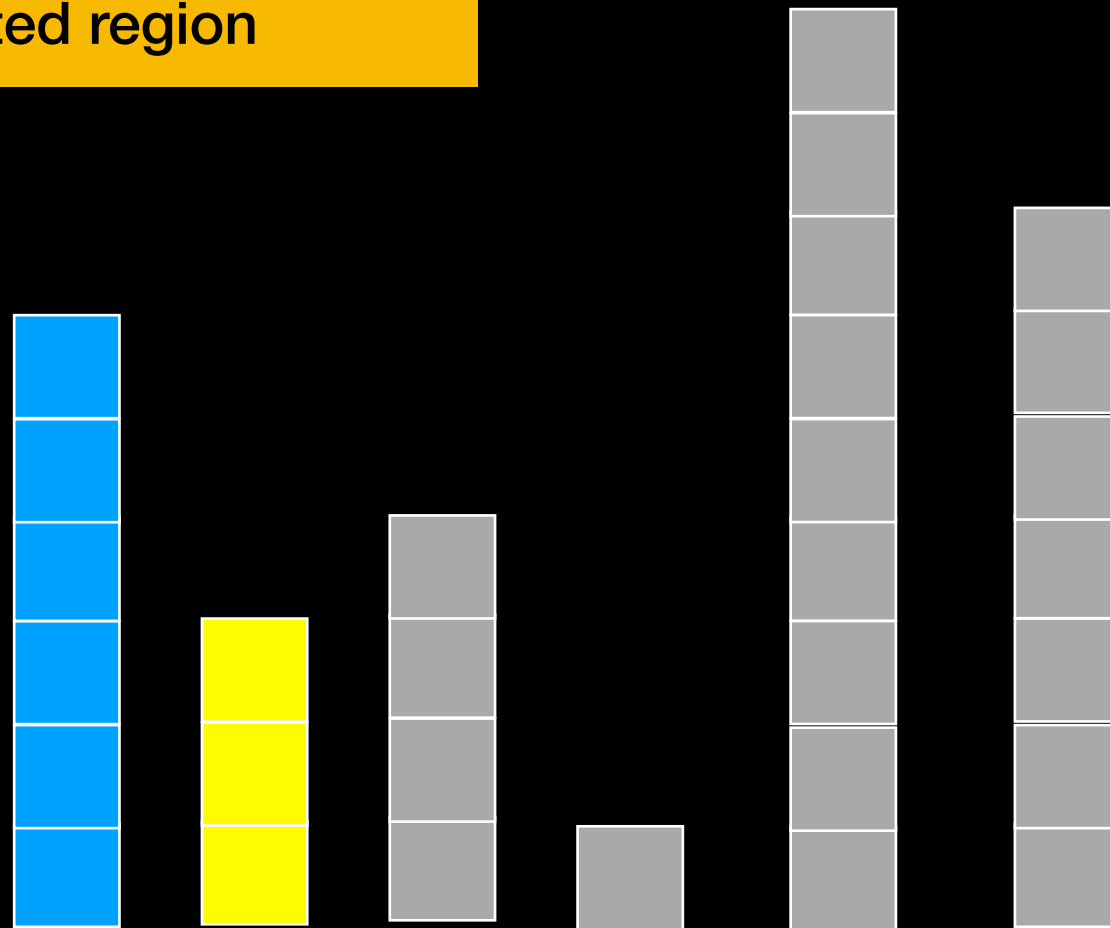
# Insertion Sort



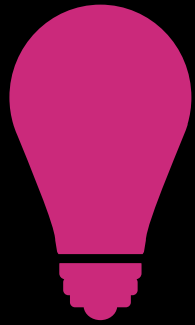
Pick first element in unsorted region and put it in right place in sorted region

■ Unsorted  
■ Sorted

**1st Pass**



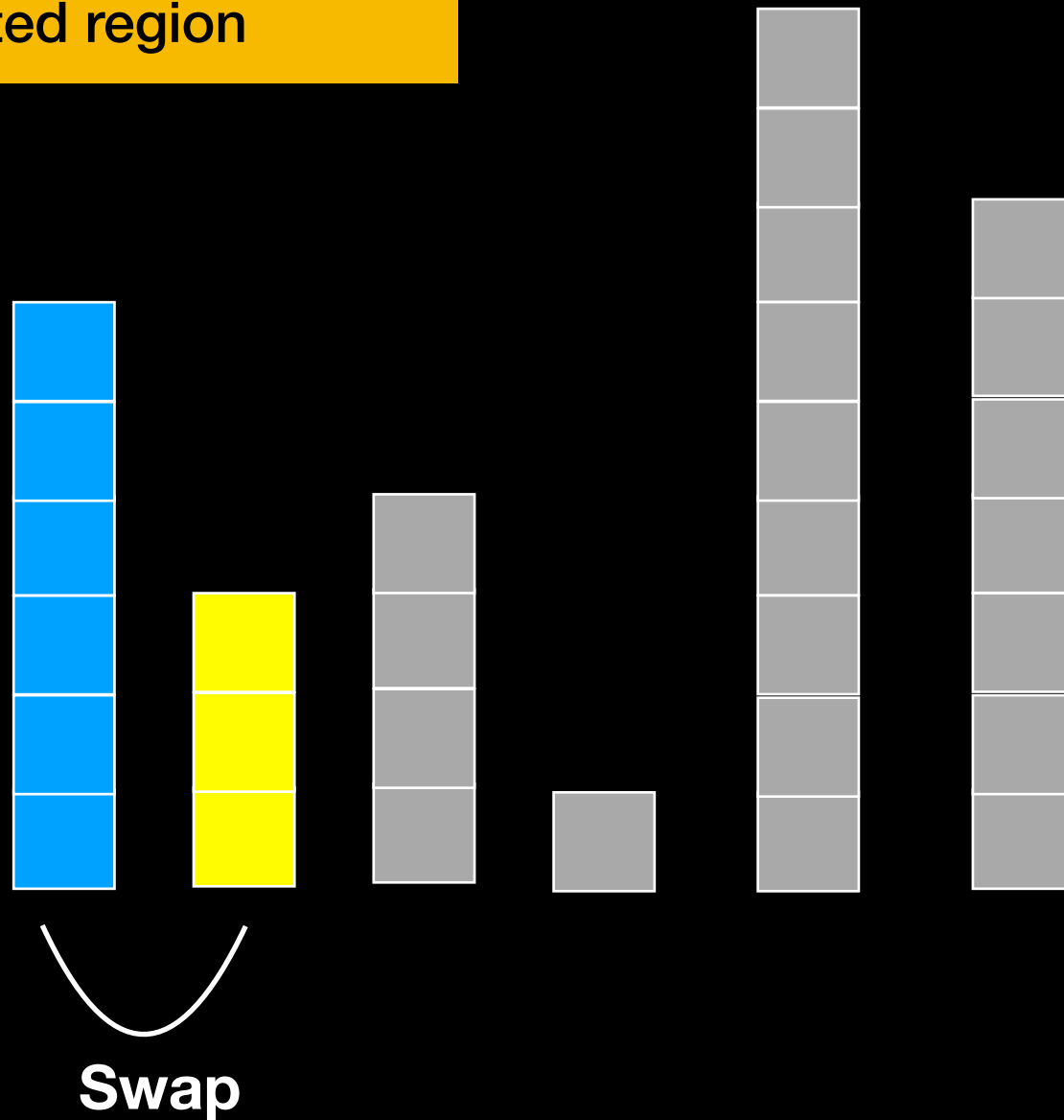
# Insertion Sort



Pick first element in unsorted region and put it in right place in sorted region

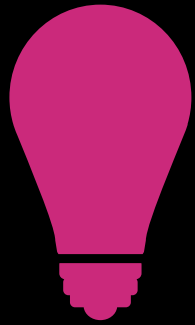
■ Unsorted  
■ Sorted

**1st Pass**





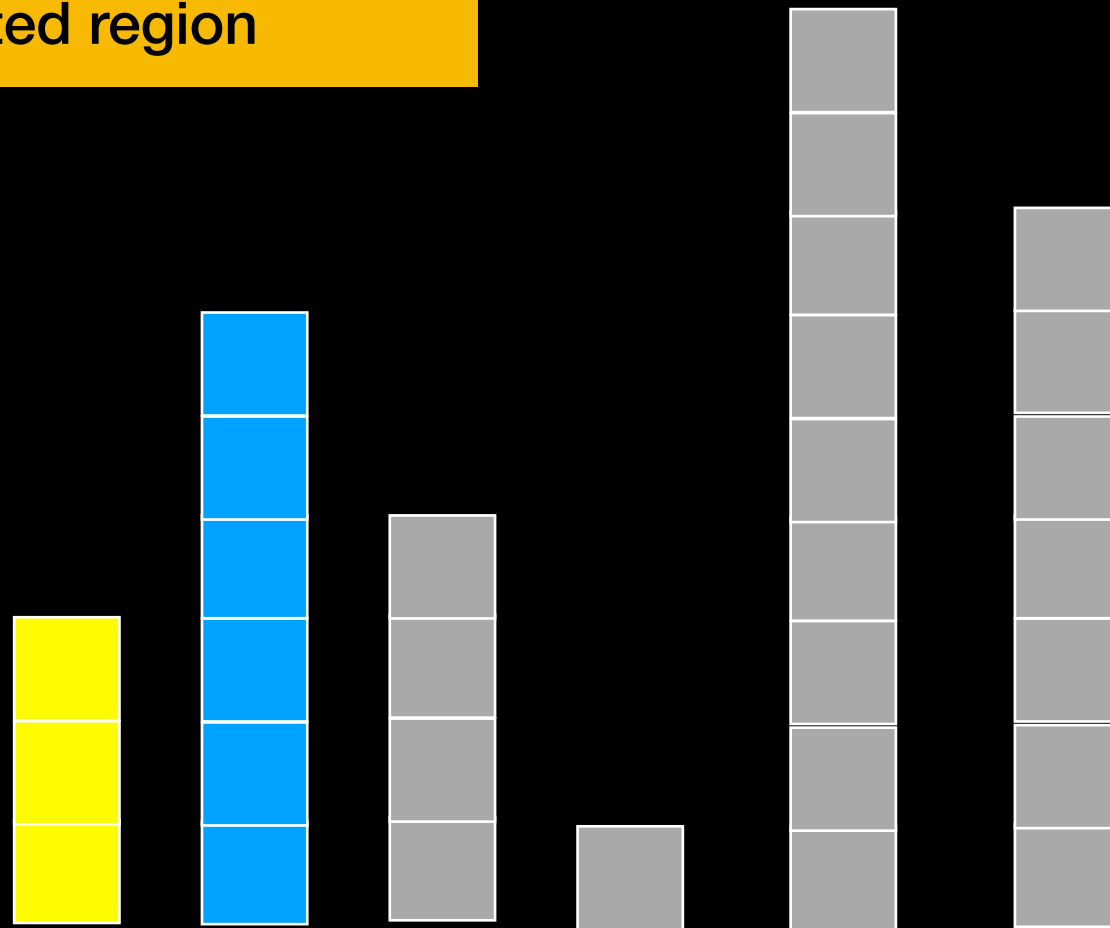
# Insertion Sort



Pick first element in unsorted region and put it in right place in sorted region

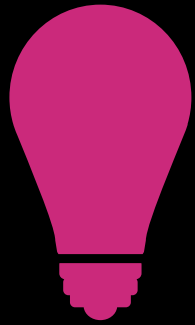
■ Unsorted  
■ Sorted

**1st Pass**

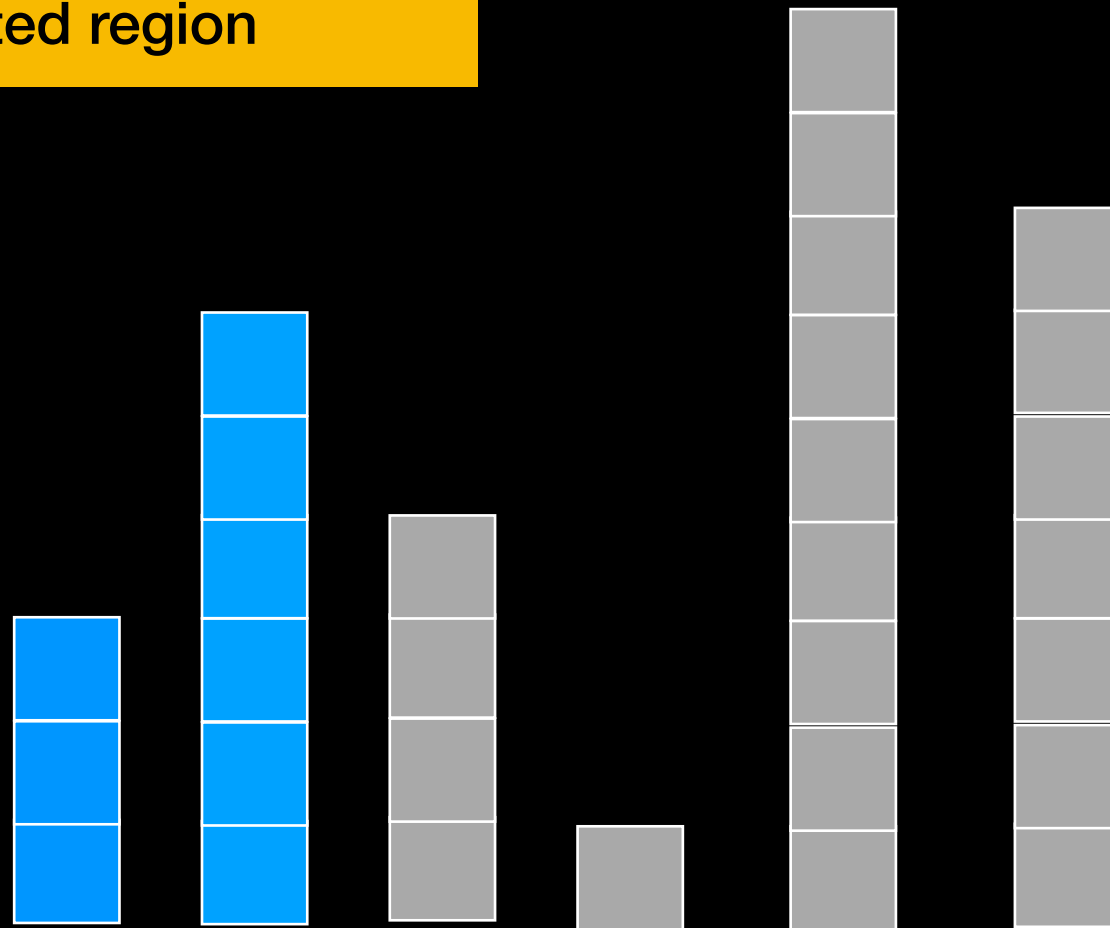


# Insertion Sort

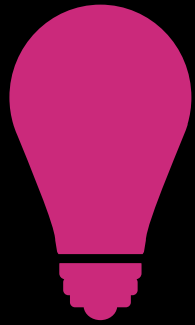
■ Unsorted  
■ Sorted



Pick first element in unsorted region and put it in right place in sorted region



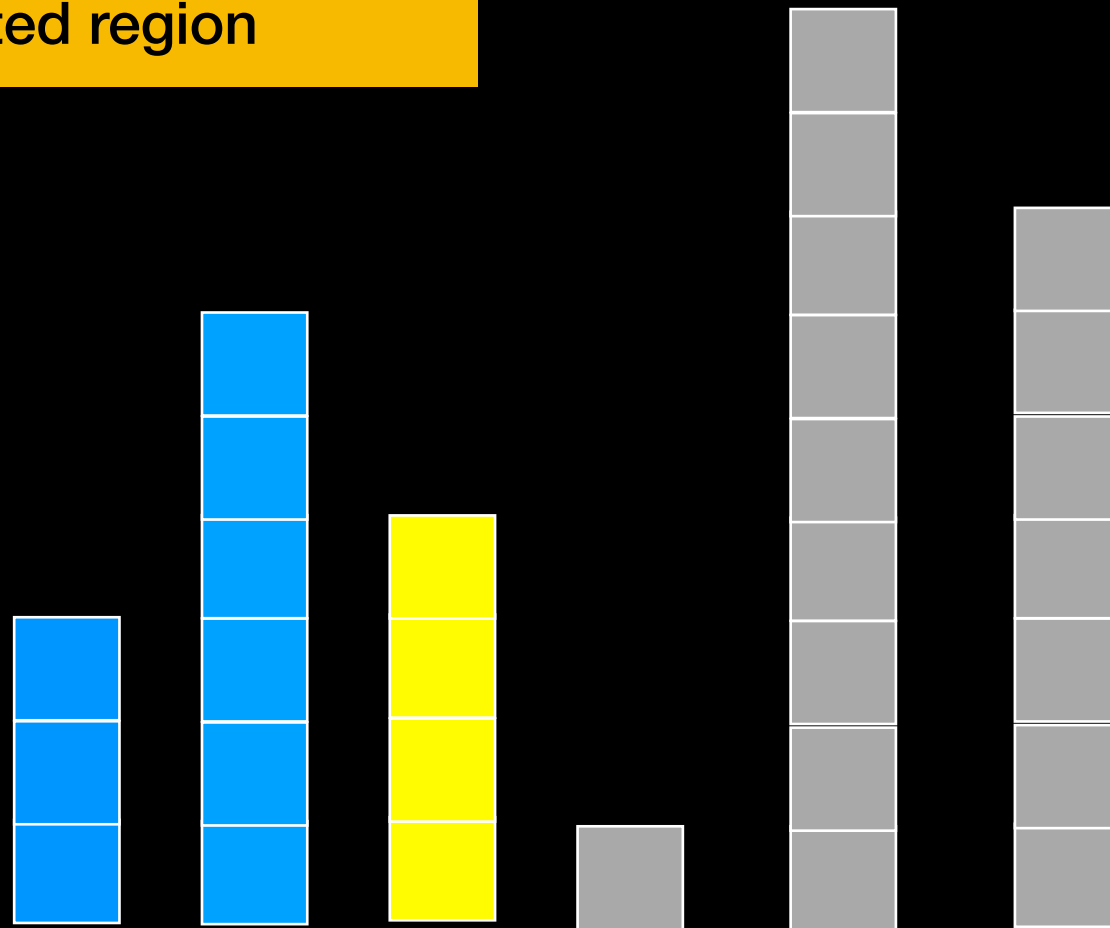
# Insertion Sort



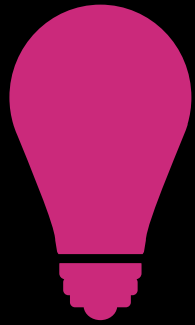
Pick first element in unsorted region and put it in right place in sorted region

■ Unsorted  
■ Sorted

**2nd Pass**



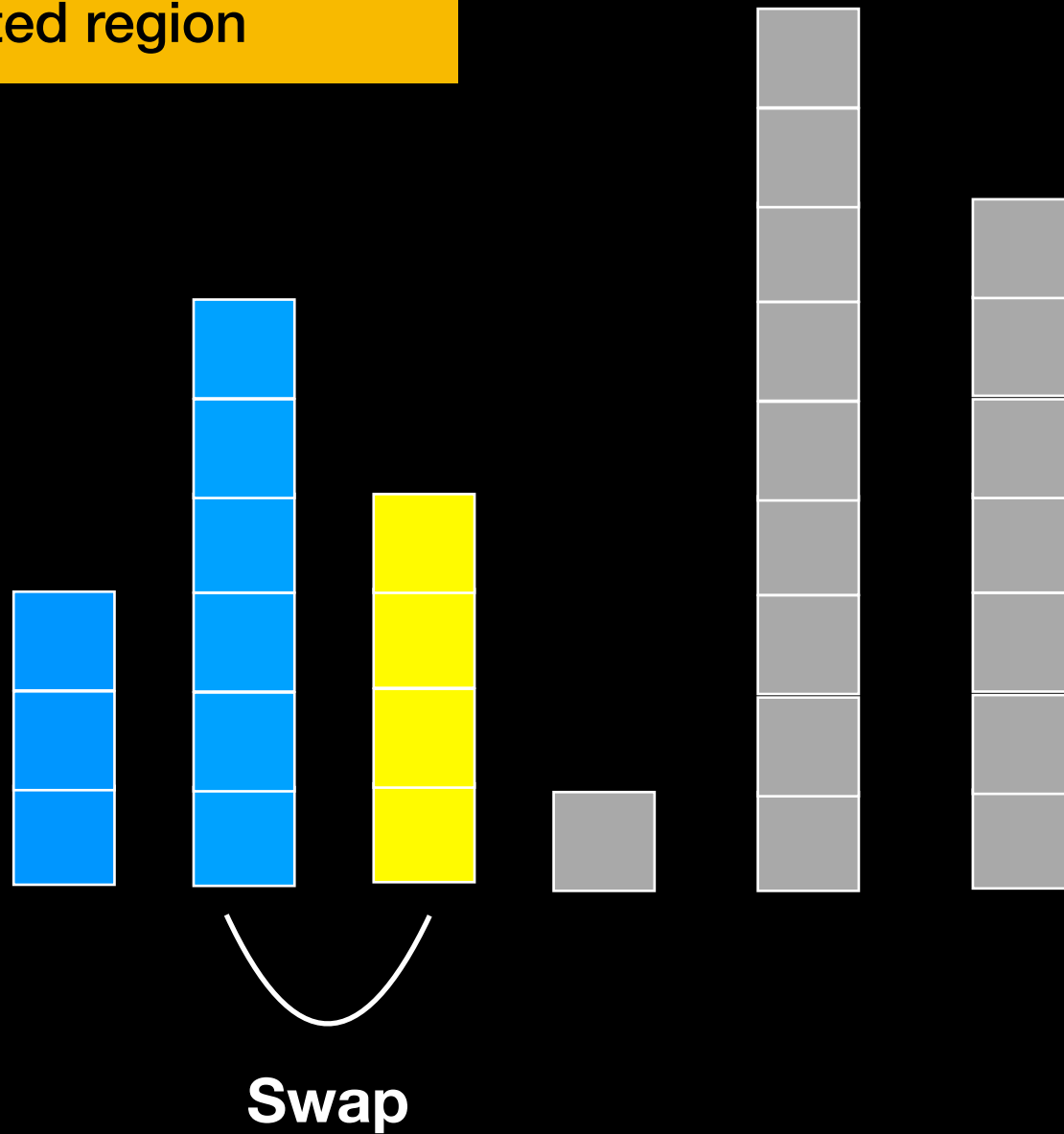
# Insertion Sort



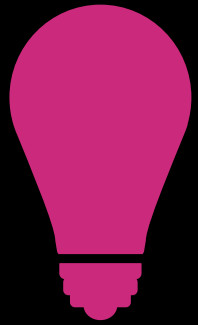
Pick first element in unsorted region and put it in right place in sorted region

■ Unsorted  
■ Sorted

**2nd Pass**



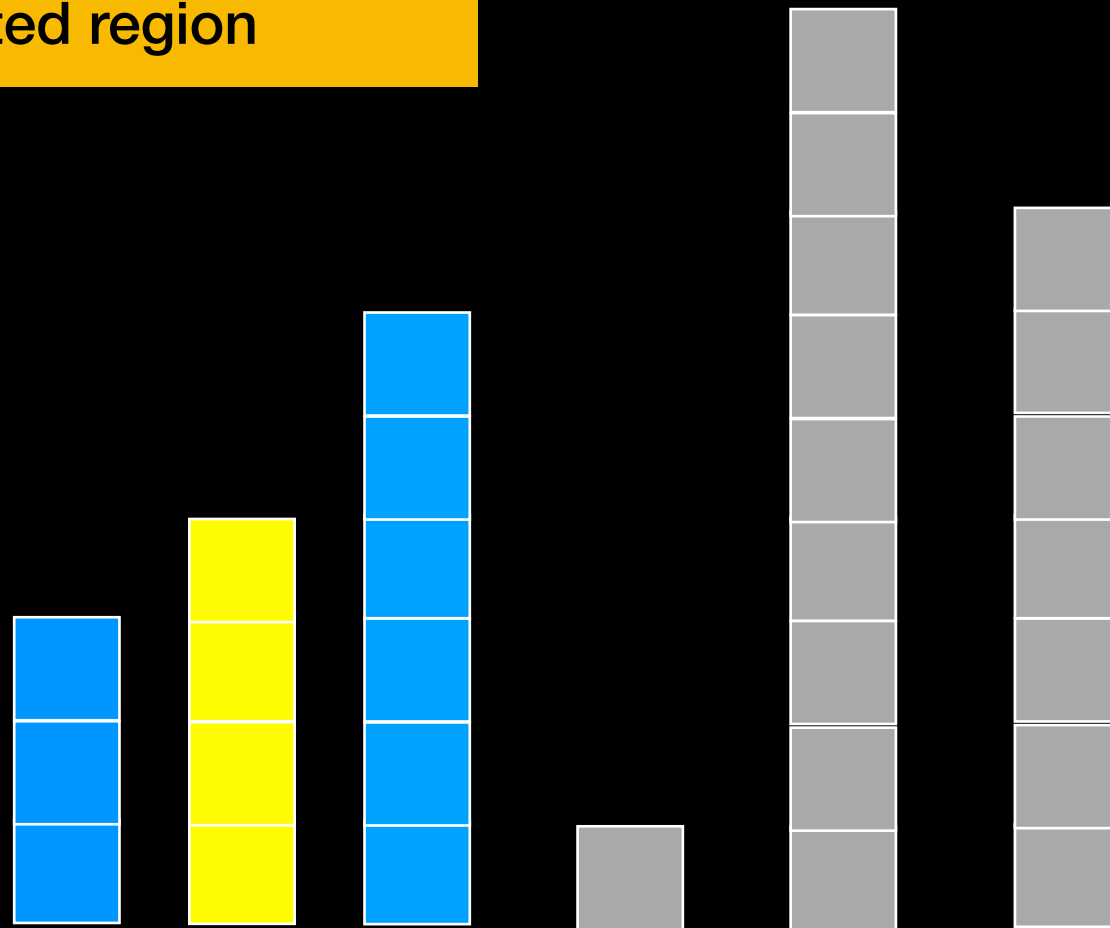
# Insertion Sort



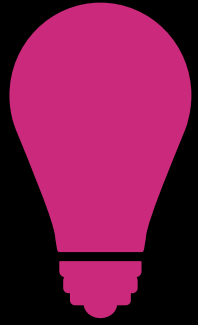
Pick first element in unsorted region and put it in right place in sorted region

■ Unsorted  
■ Sorted

**2nd Pass**



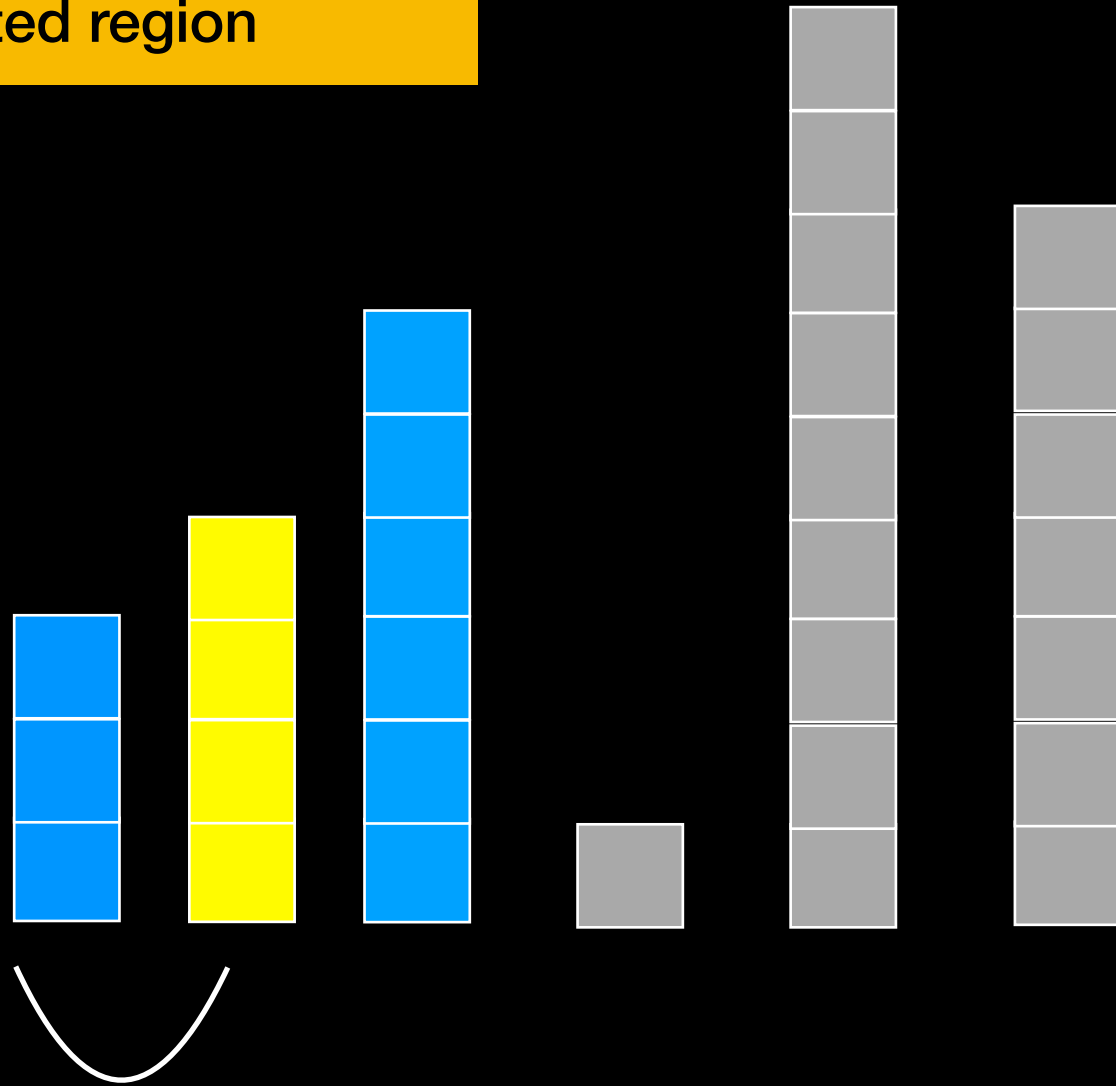
# Insertion Sort



Pick first element in unsorted region and put it in right place in sorted region

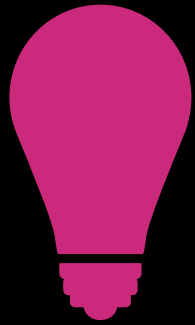
■ Unsorted  
■ Sorted

**2nd Pass**

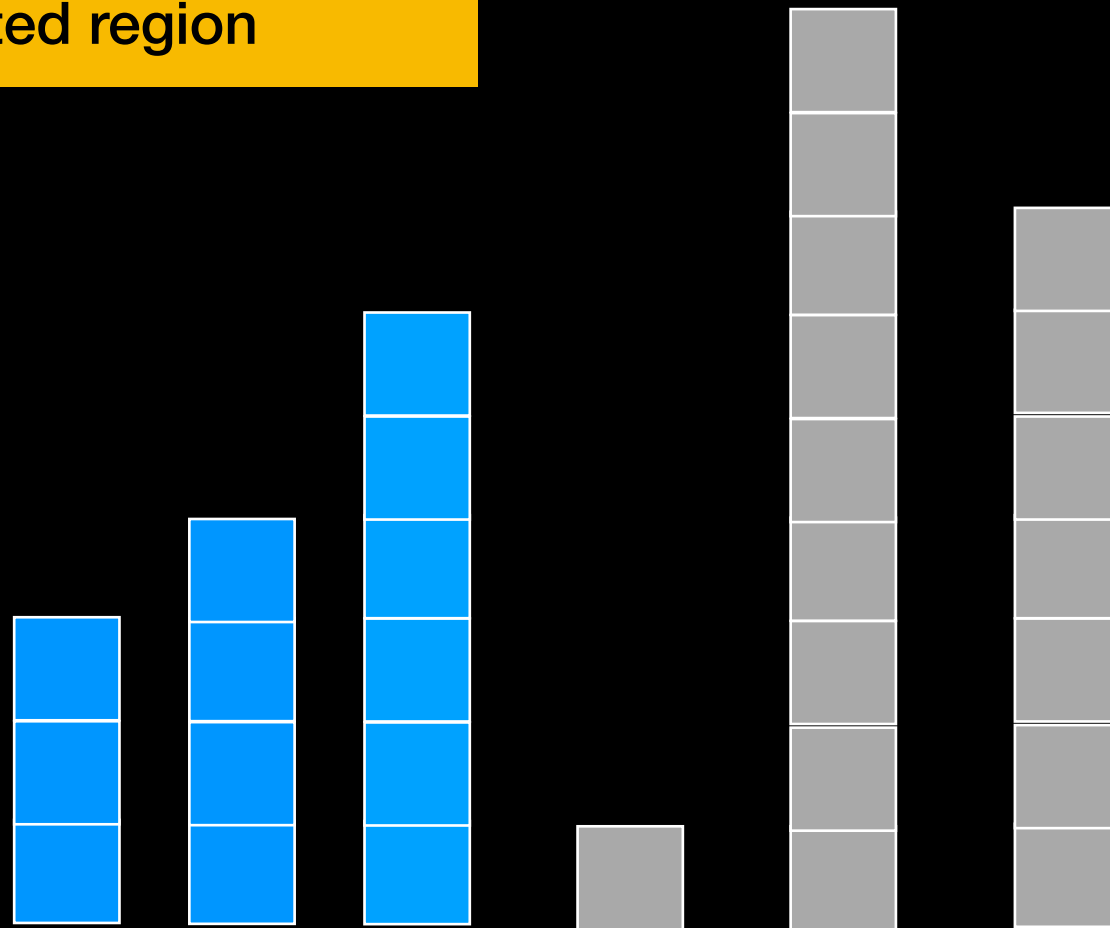


# Insertion Sort

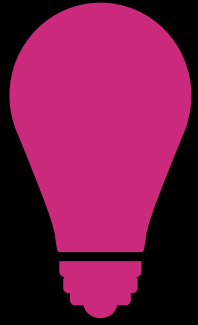
■ Unsorted  
■ Sorted



Pick first element in unsorted region and put it in right place in sorted region



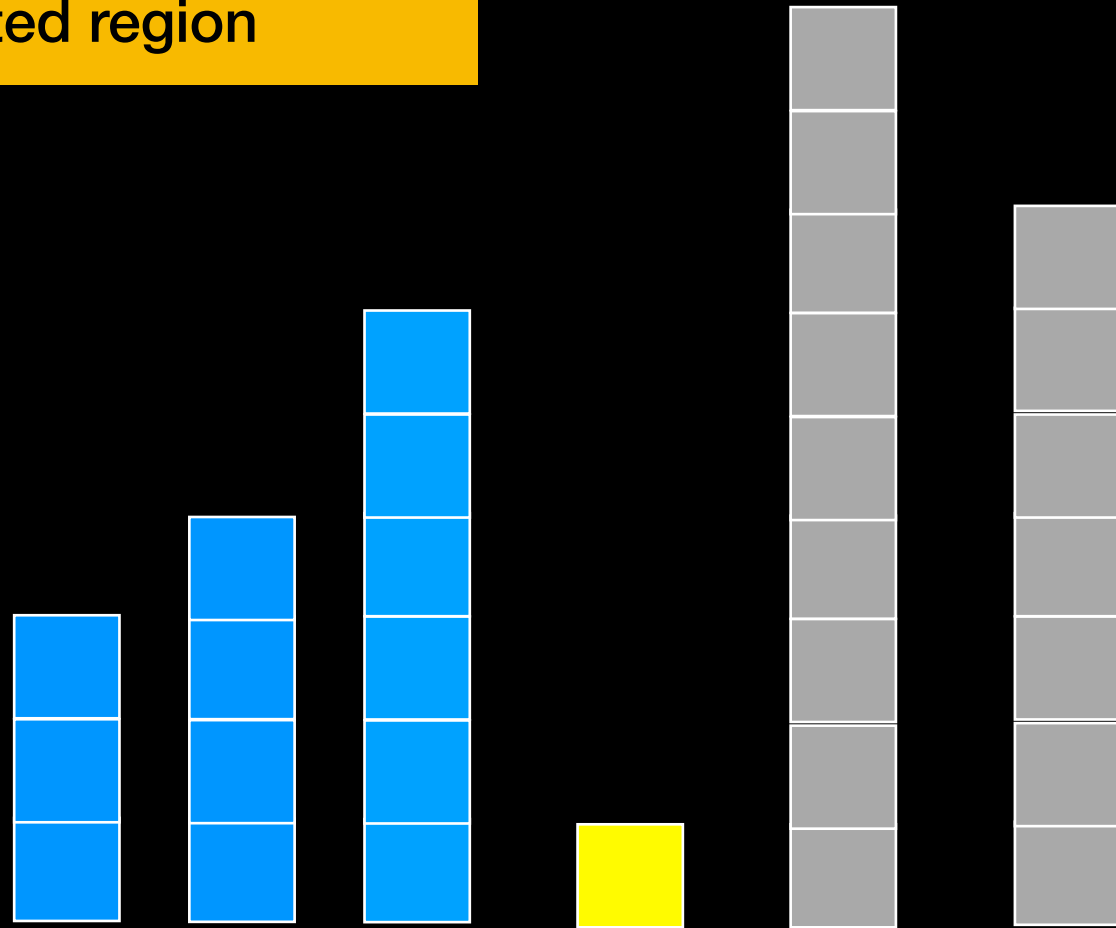
# Insertion Sort



Pick first element in unsorted region and put it in right place in sorted region

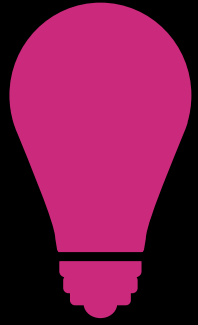
■ Unsorted  
■ Sorted

**3rd Pass**





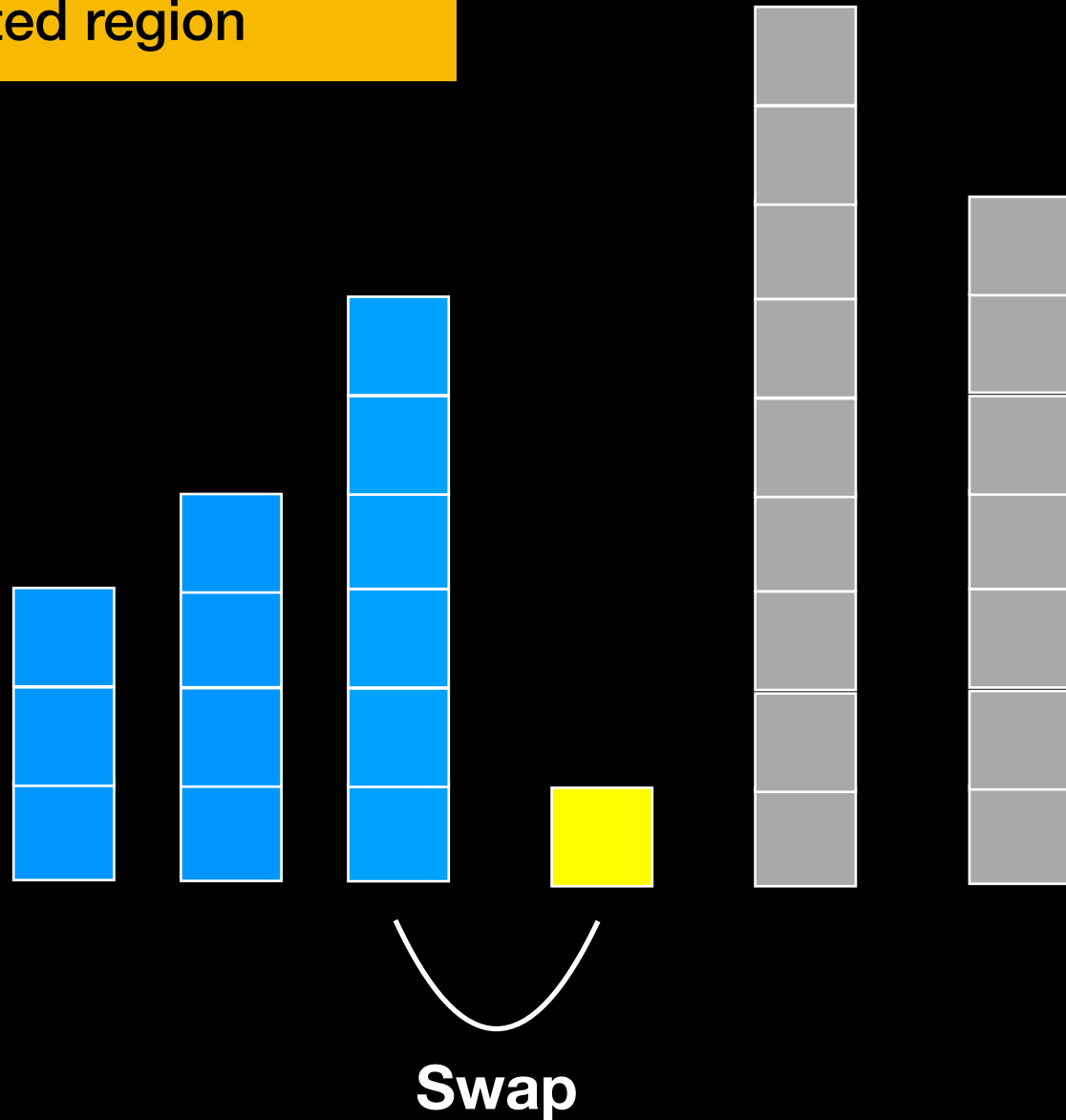
# Insertion Sort



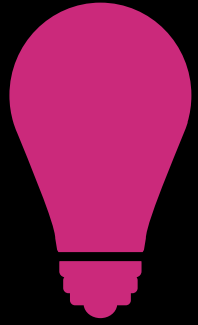
Pick first element in unsorted region and put it in right place in sorted region

■ Unsorted  
■ Sorted

**3rd Pass**



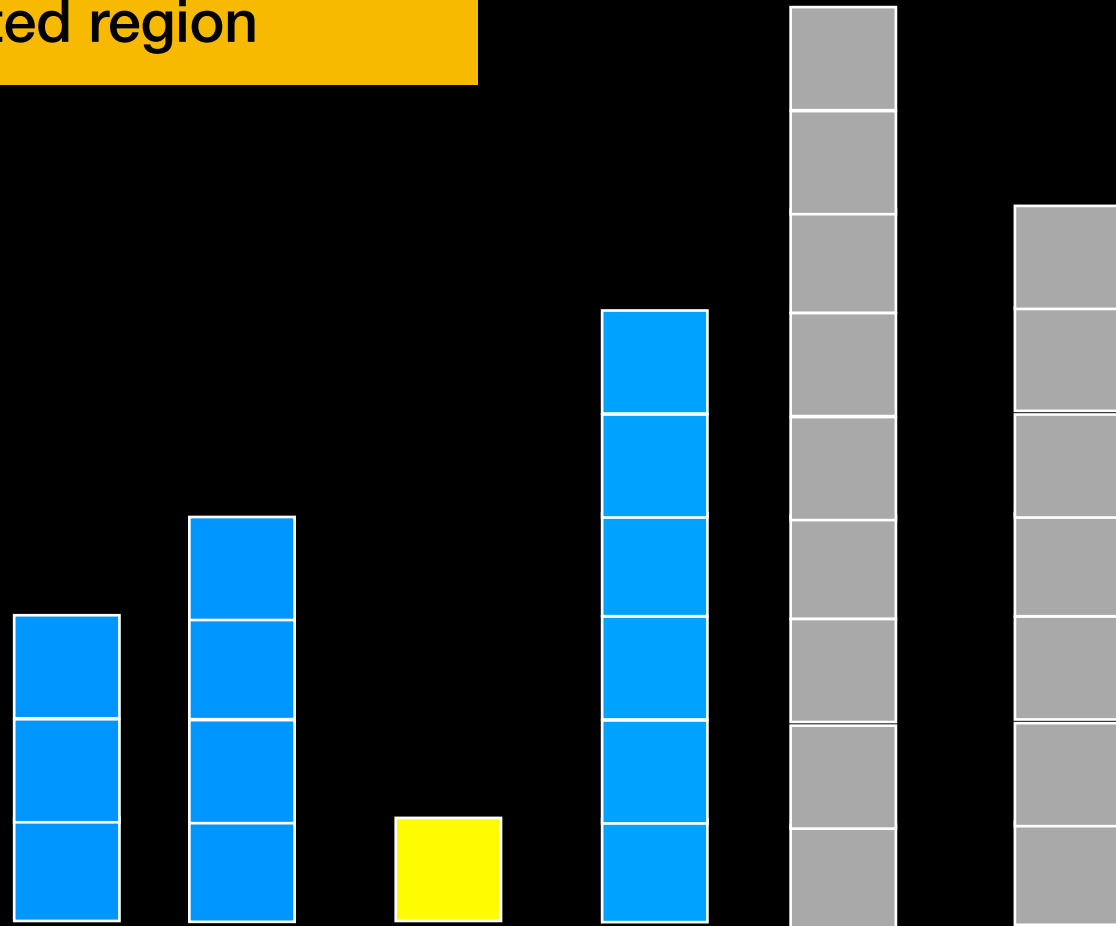
# Insertion Sort



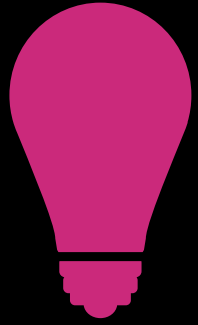
Pick first element in unsorted region and put it in right place in sorted region

■ Unsorted  
■ Sorted

**3rd Pass**



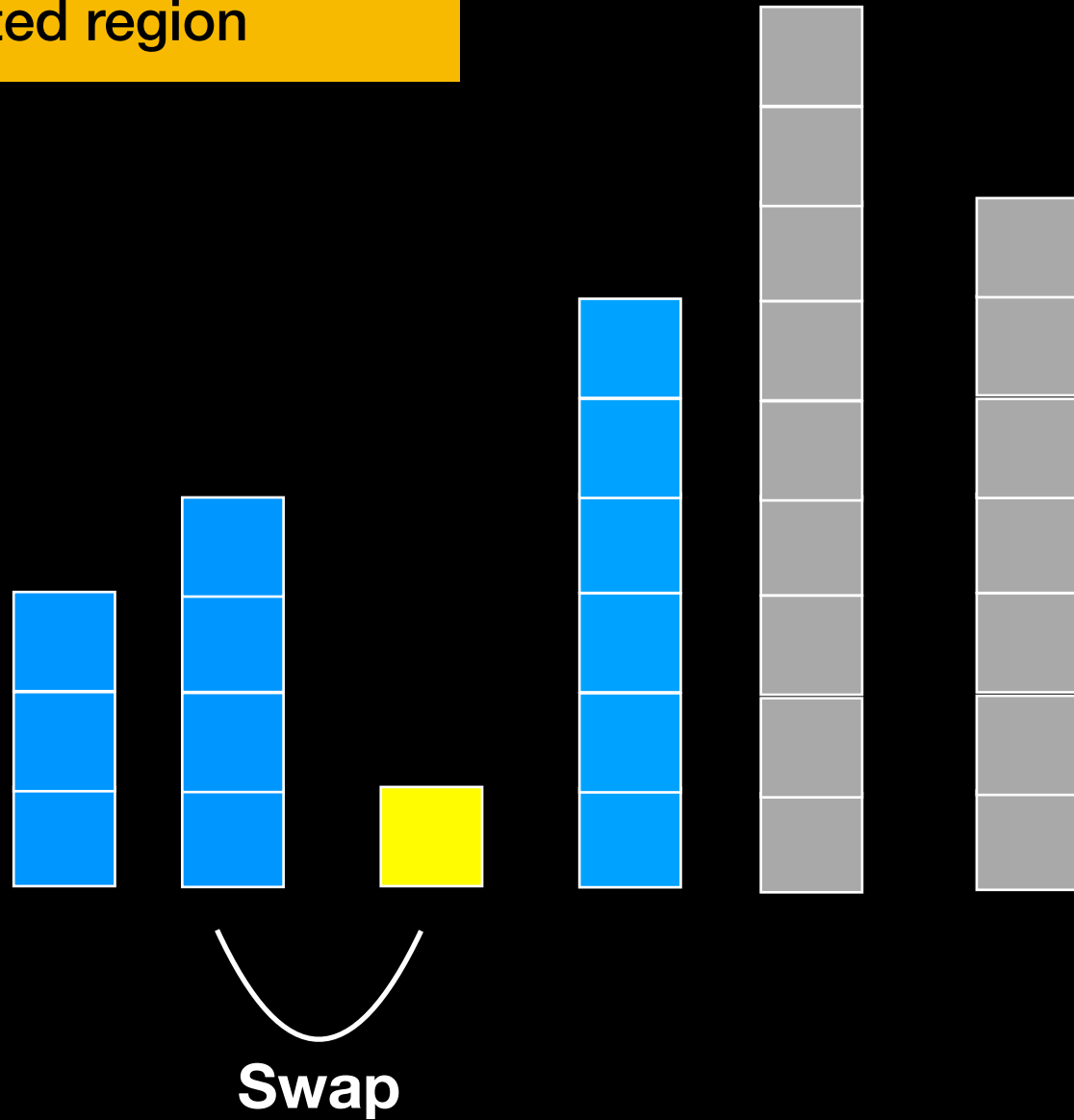
# Insertion Sort



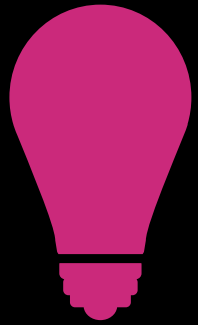
Pick first element in unsorted region and put it in right place in sorted region

■ Unsorted  
■ Sorted

**3rd Pass**



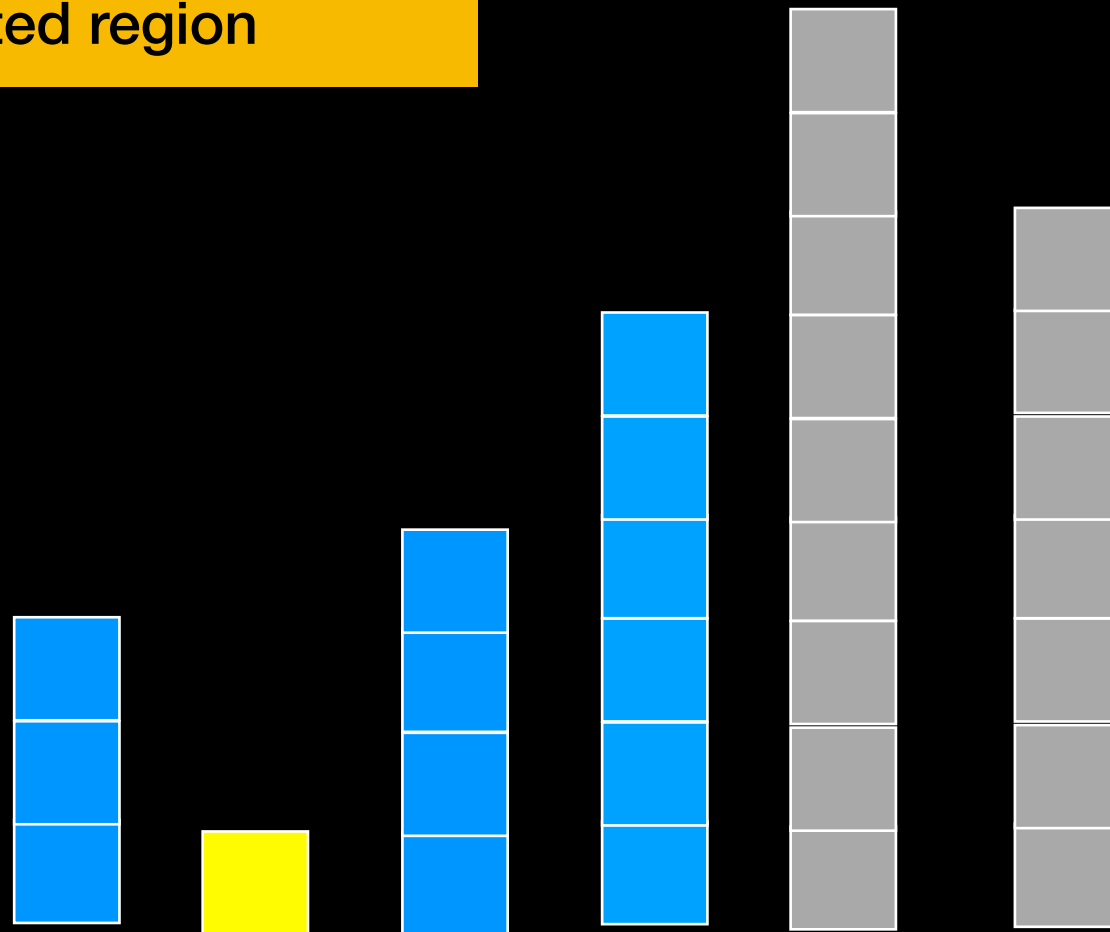
# Insertion Sort



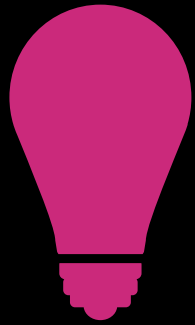
Pick first element in unsorted region and put it in right place in sorted region

■ Unsorted  
■ Sorted

**3rd Pass**



# Insertion Sort

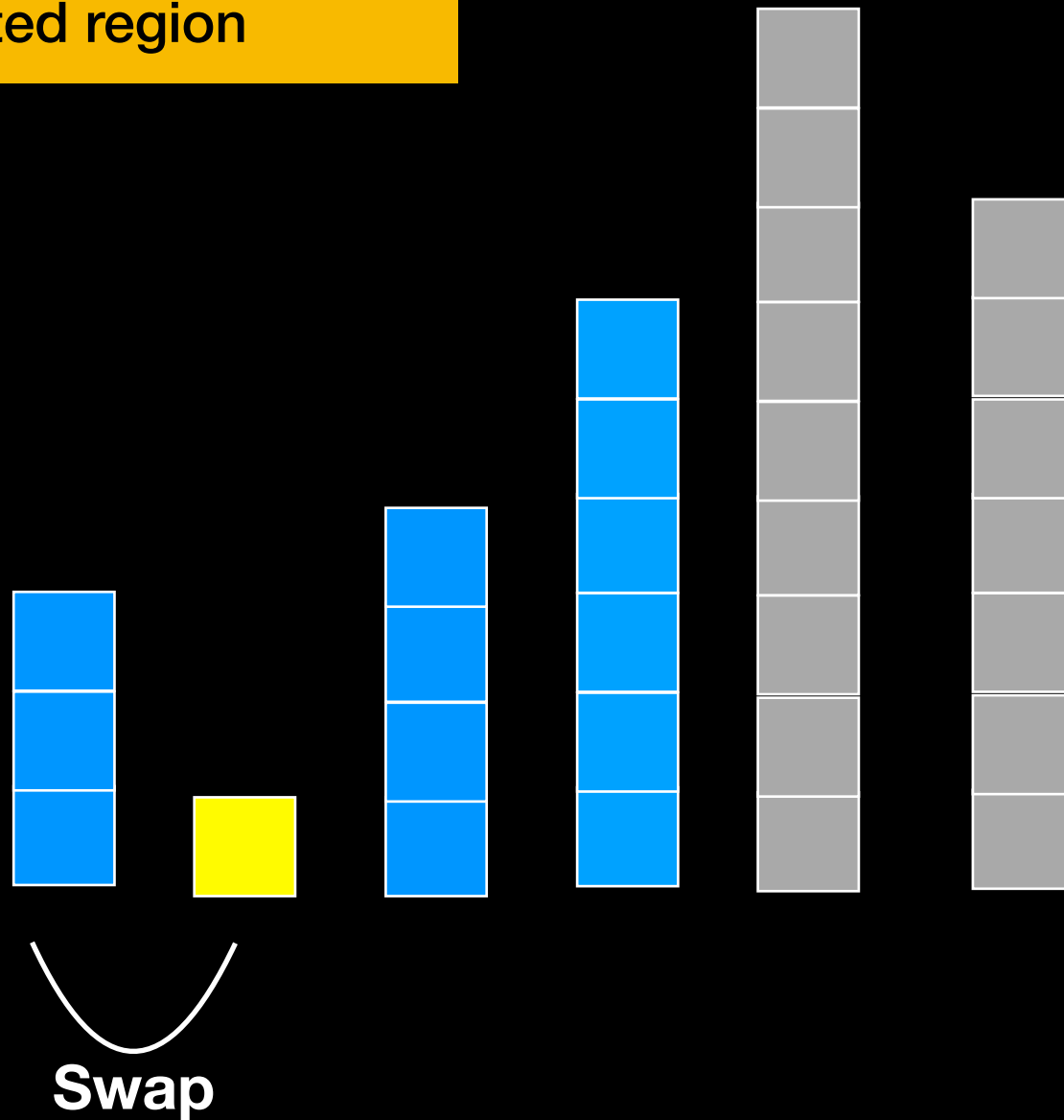


Pick first element in unsorted region and put it in right place in sorted region

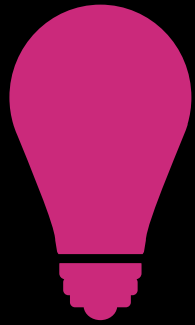
Legend:

- Unsorted (grey square)
- Sorted (blue square)

**3rd Pass**



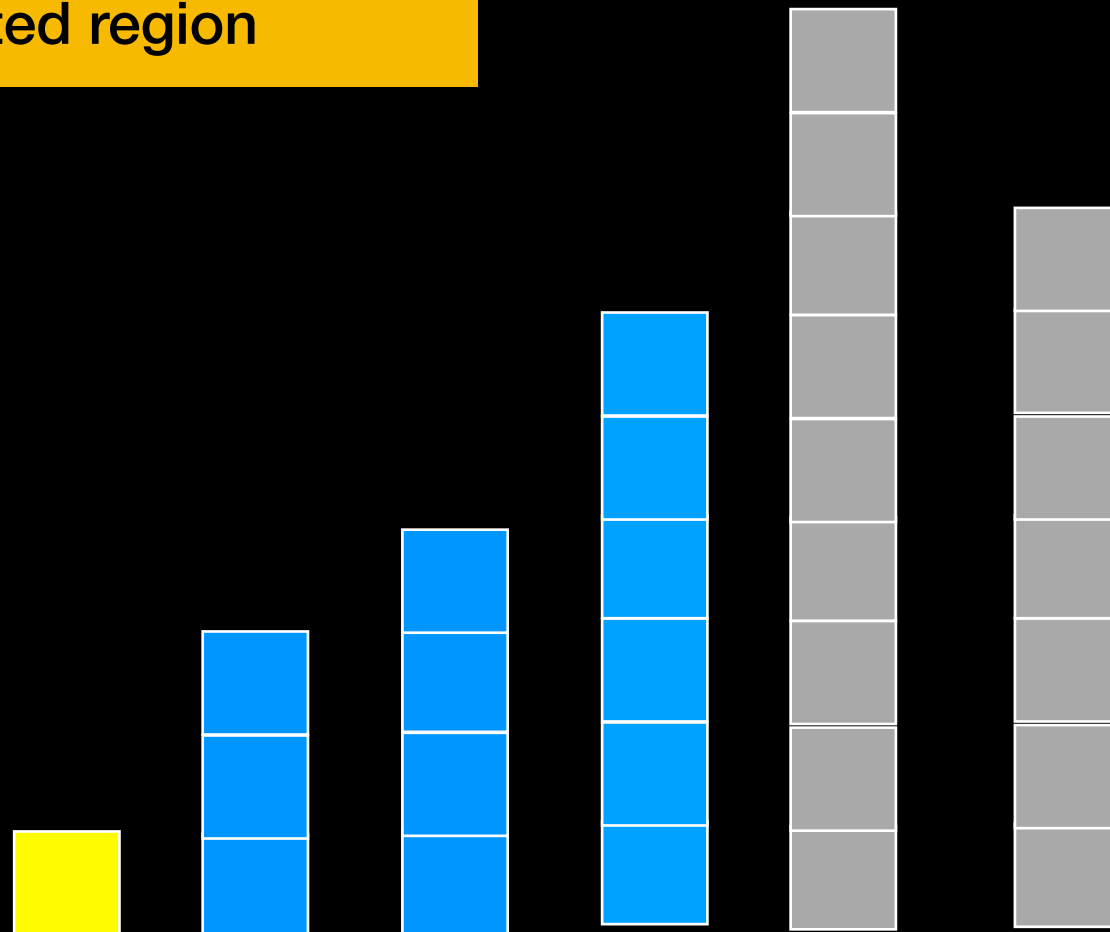
# Insertion Sort



Pick first element in unsorted region and put it in right place in sorted region

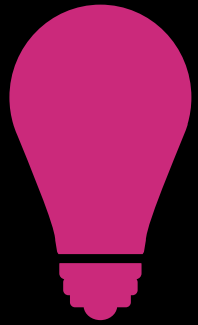
■ Unsorted  
■ Sorted

**3rd Pass**

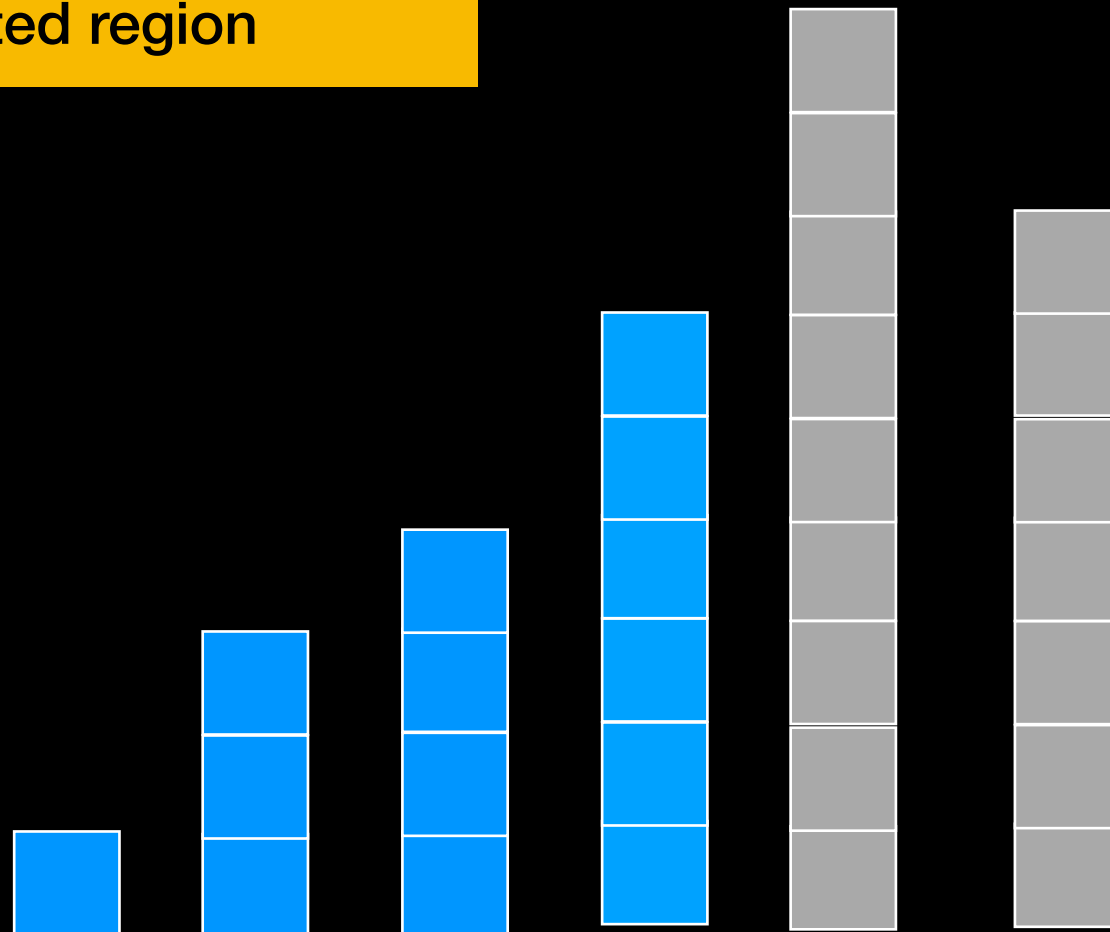


# Insertion Sort

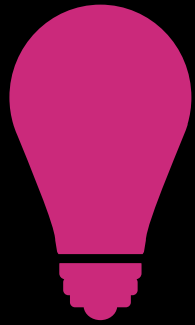
■ Unsorted  
■ Sorted



Pick first element in unsorted region and put it in right place in sorted region



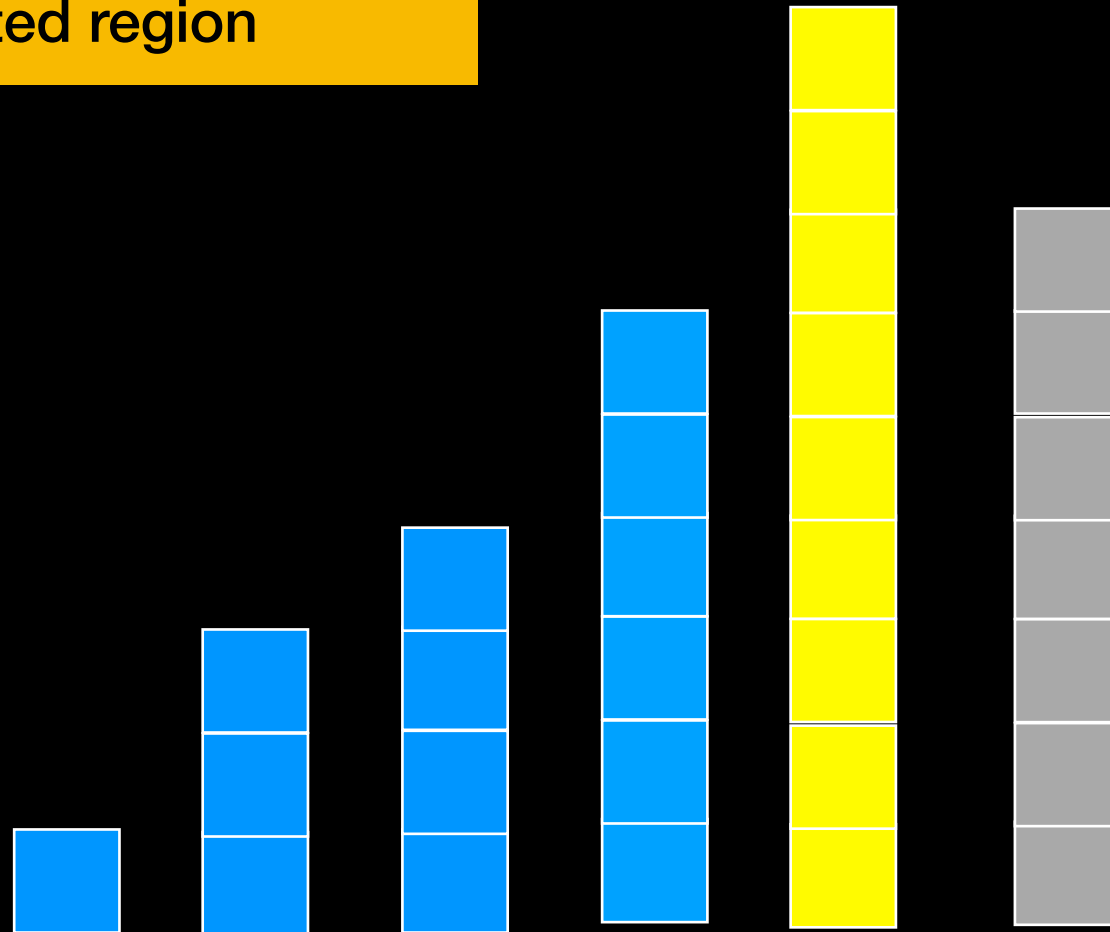
# Insertion Sort



Pick first element in unsorted region and put it in right place in sorted region

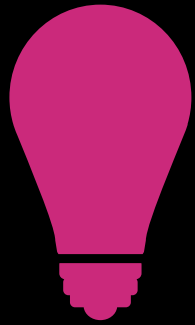
■ Unsorted  
■ Sorted

**4th Pass**





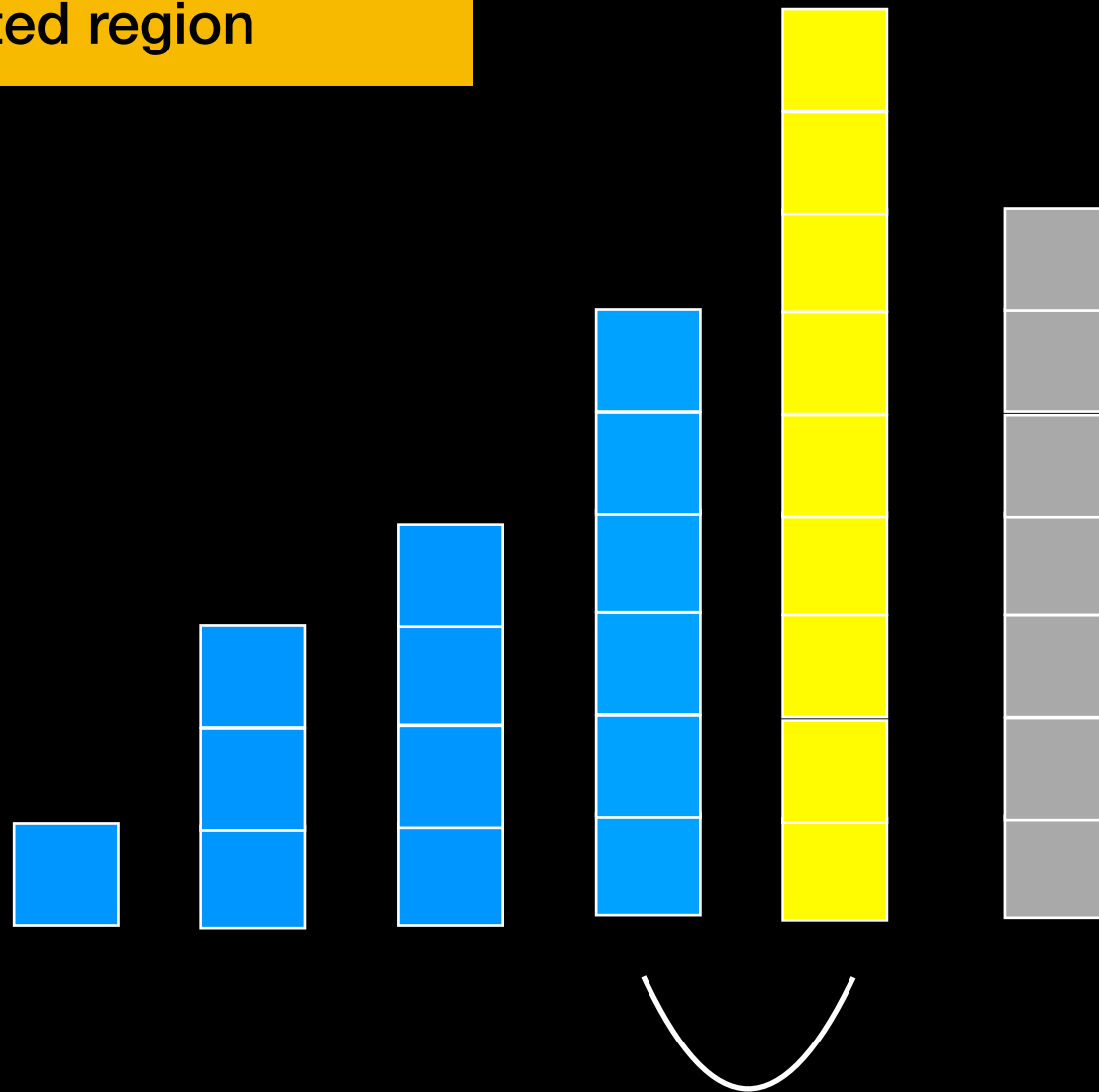
# Insertion Sort



Pick first element in unsorted region and put it in right place in sorted region

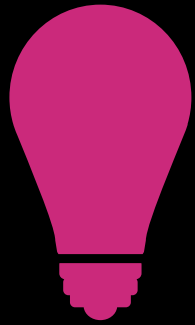
■ Unsorted  
■ Sorted

**4th Pass**

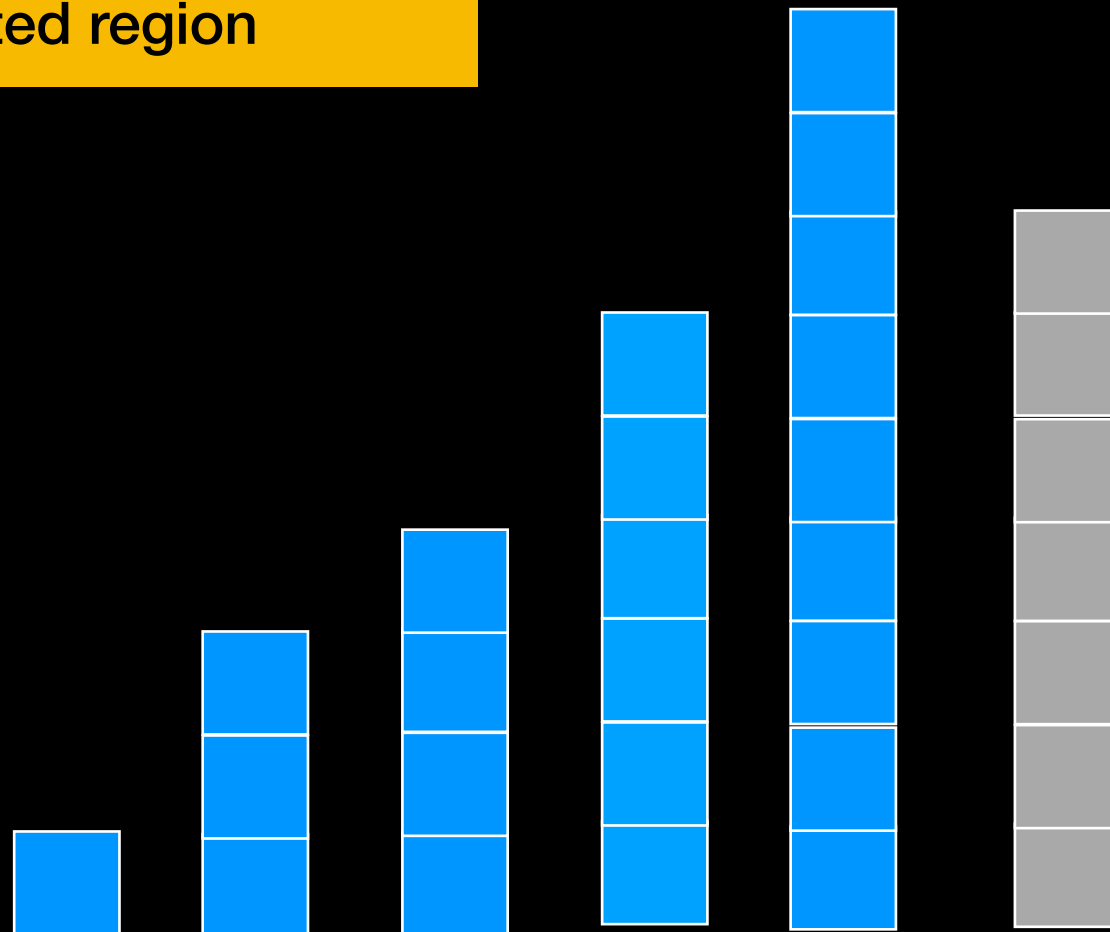


# Insertion Sort

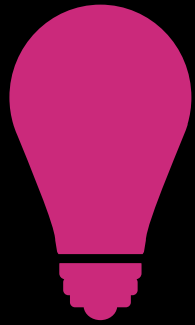
■ Unsorted  
■ Sorted



Pick first element in unsorted region and put it in right place in sorted region



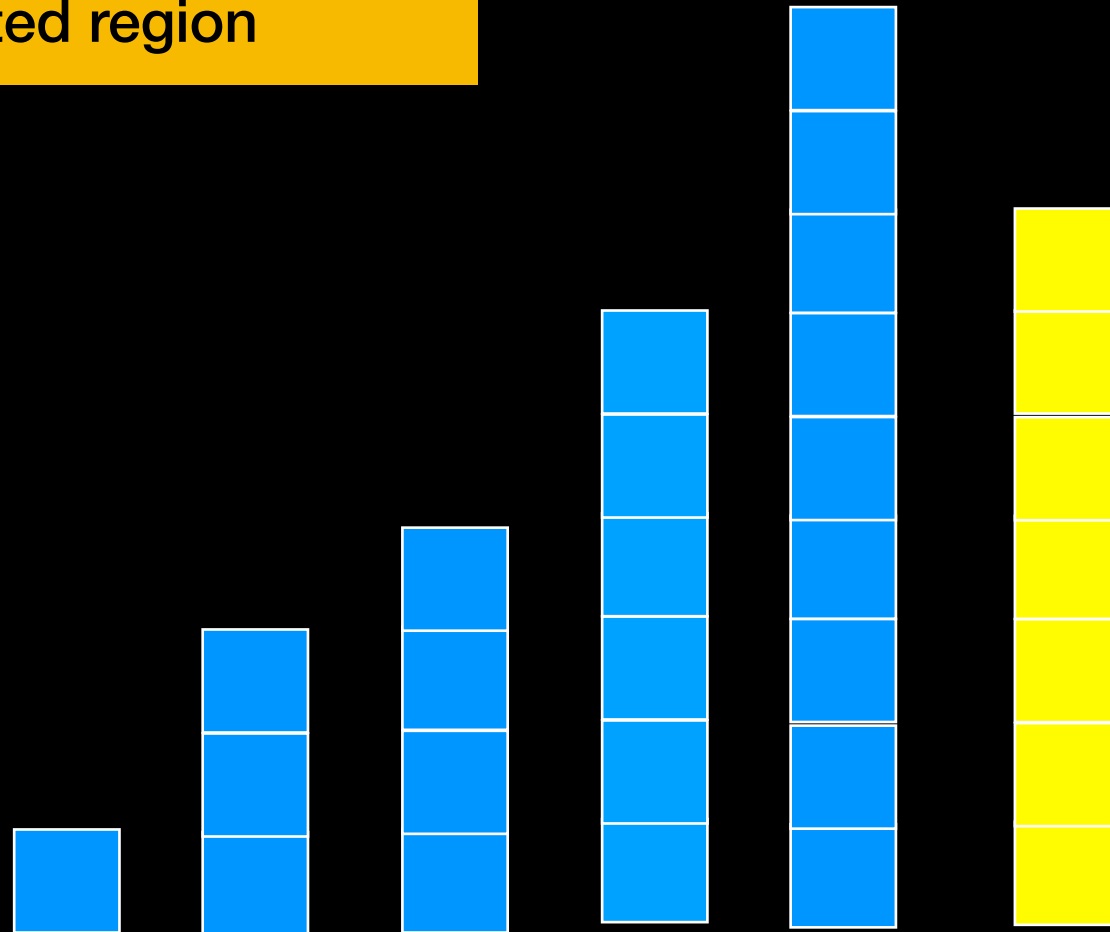
# Insertion Sort



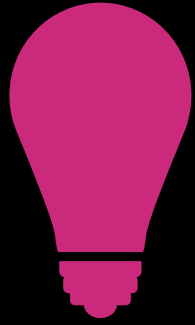
Pick first element in unsorted region and put it in right place in sorted region

■ Unsorted  
■ Sorted

**5th Pass**



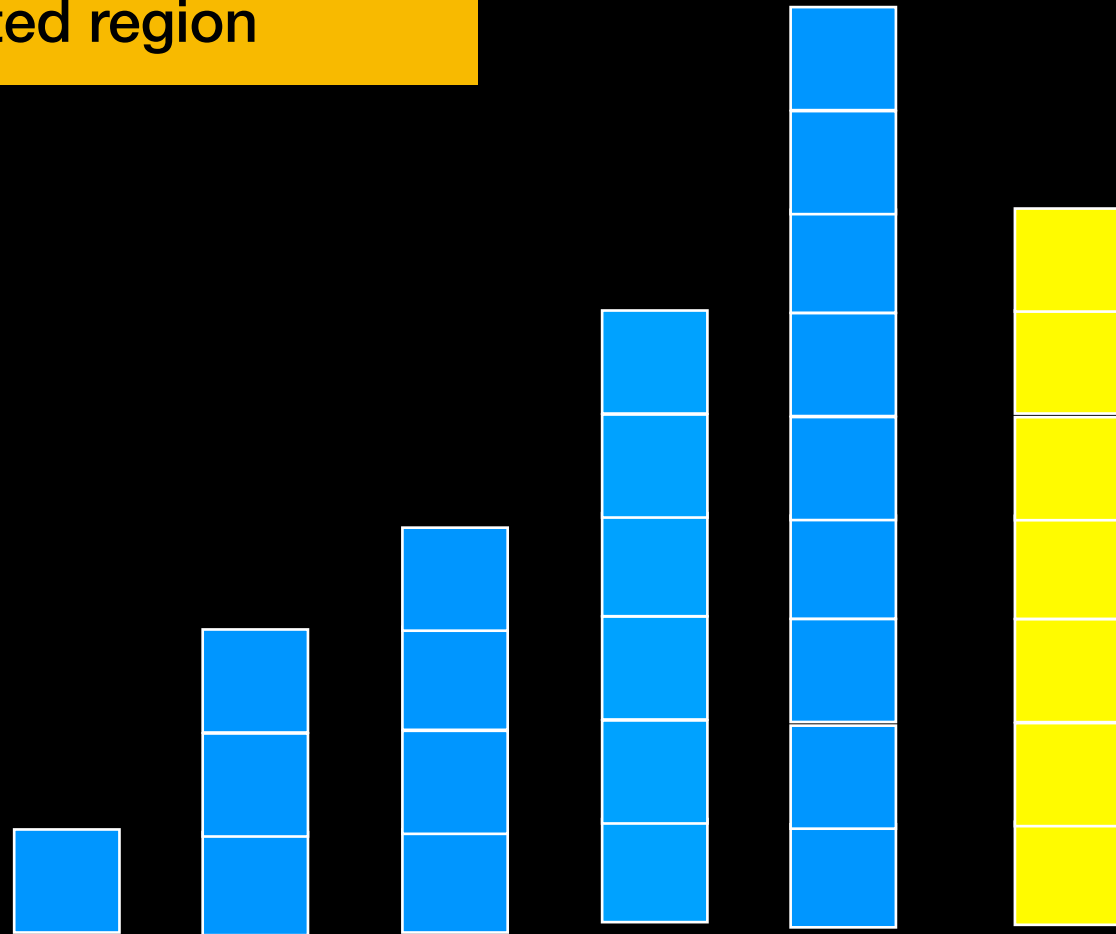
# Insertion Sort



Pick first element in unsorted region and put it in right place in sorted region

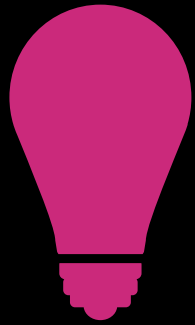
■ Unsorted  
■ Sorted

**5th Pass**



Swap

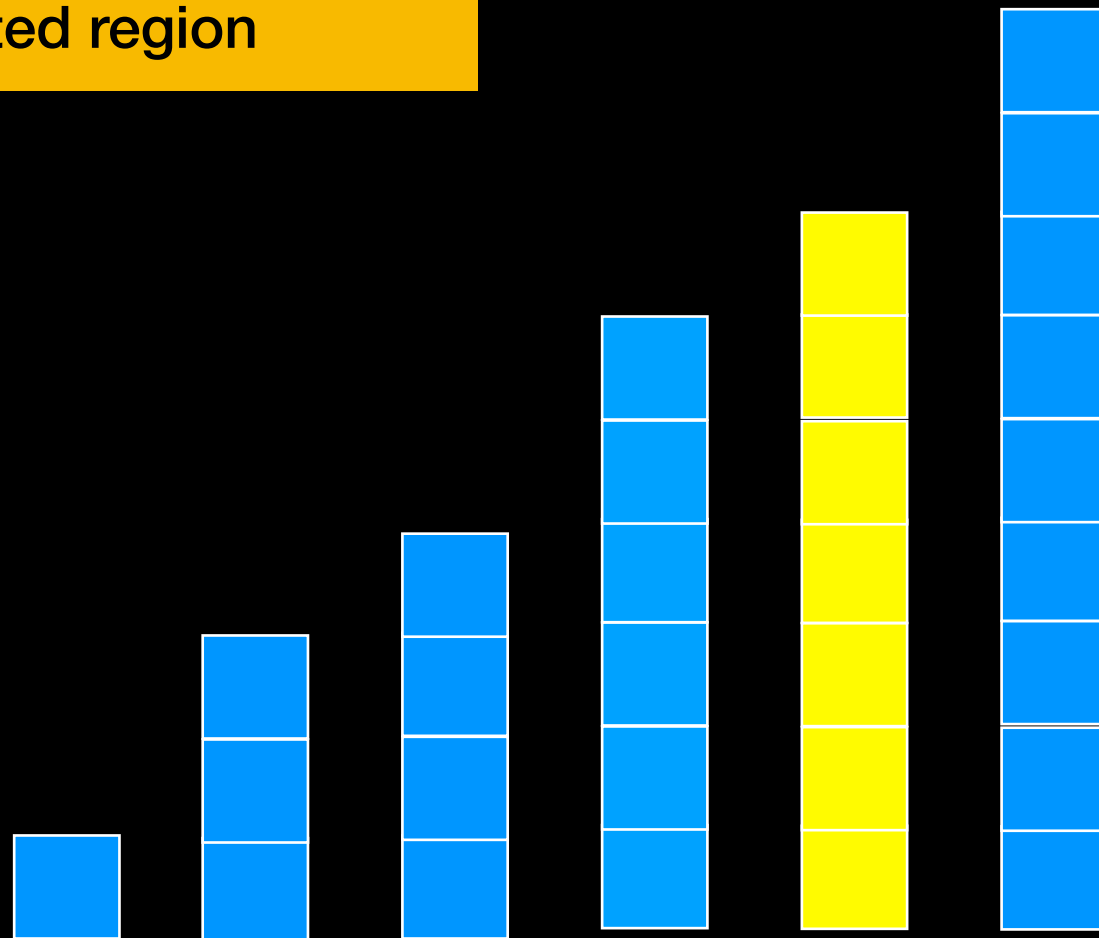
# Insertion Sort



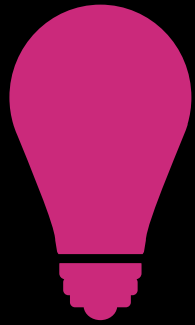
Pick first element in unsorted region and put it in right place in sorted region

■ Unsorted  
■ Sorted

**5th Pass**



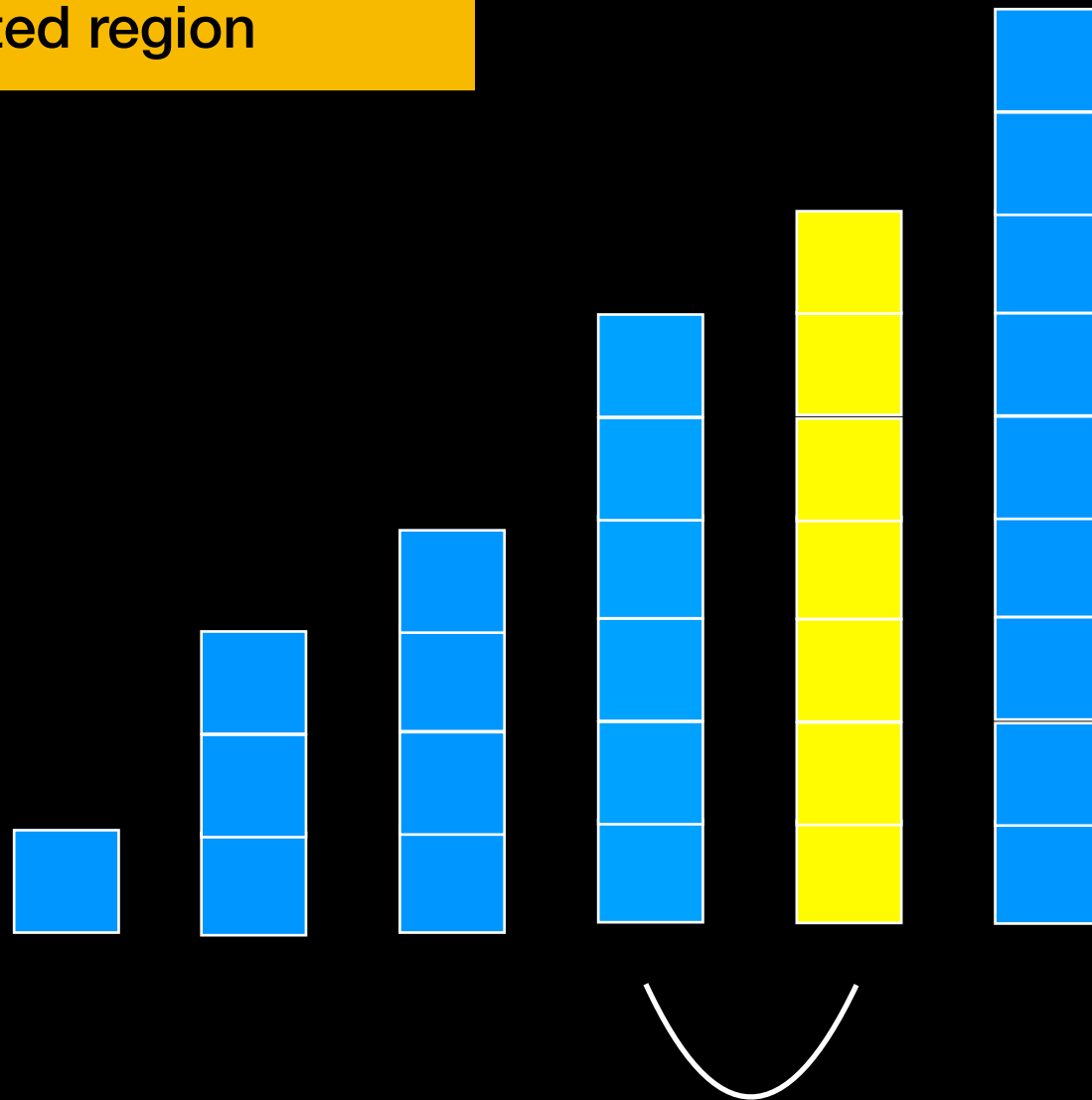
# Insertion Sort



Pick first element in unsorted region and put it in right place in sorted region

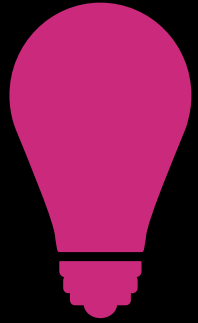
■ Unsorted  
■ Sorted

**5th Pass**

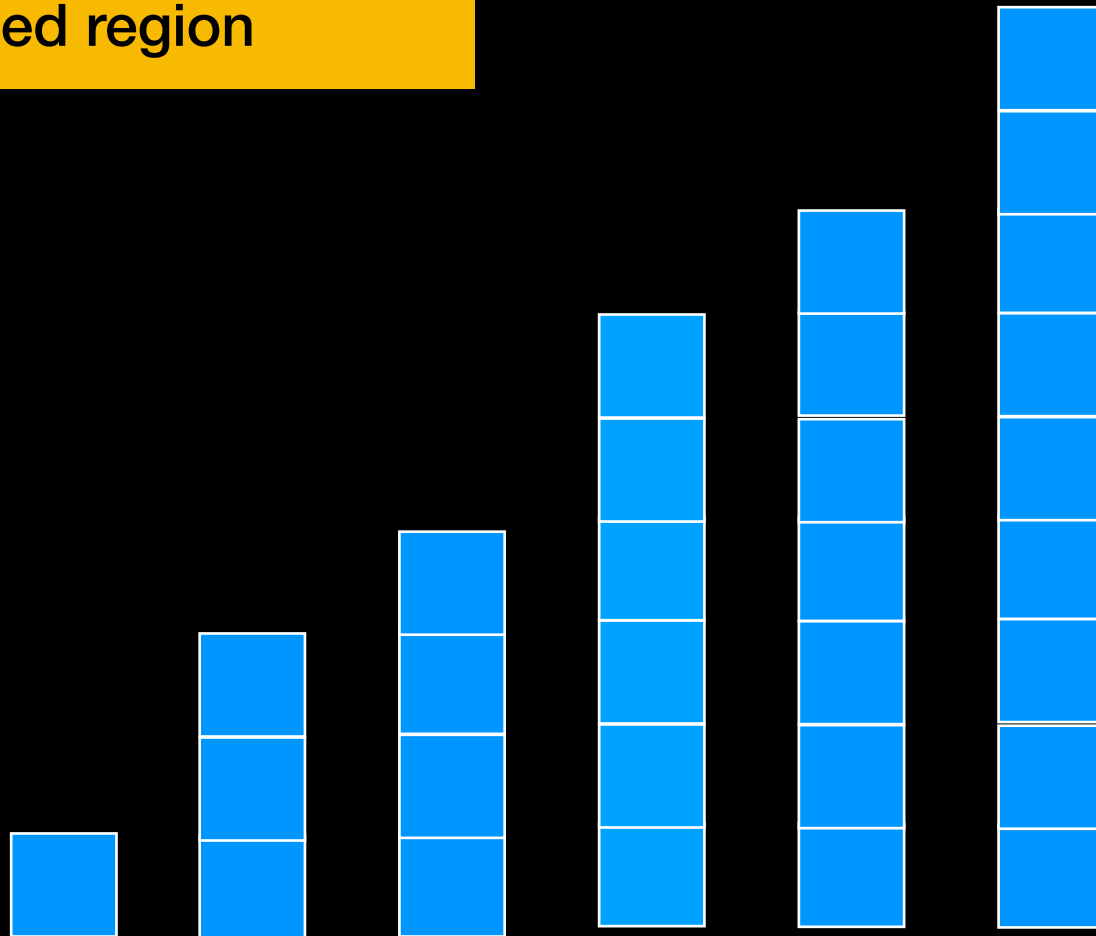


# Insertion Sort

■ Unsorted  
■ Sorted



Pick first element in unsorted region and put it in right place in sorted region



# Insertion Sort Analysis

How much work?

First pass: **1** comparison and **at most 1** swap

Second pass: **at most 2** comparisons and **at most 2** swaps

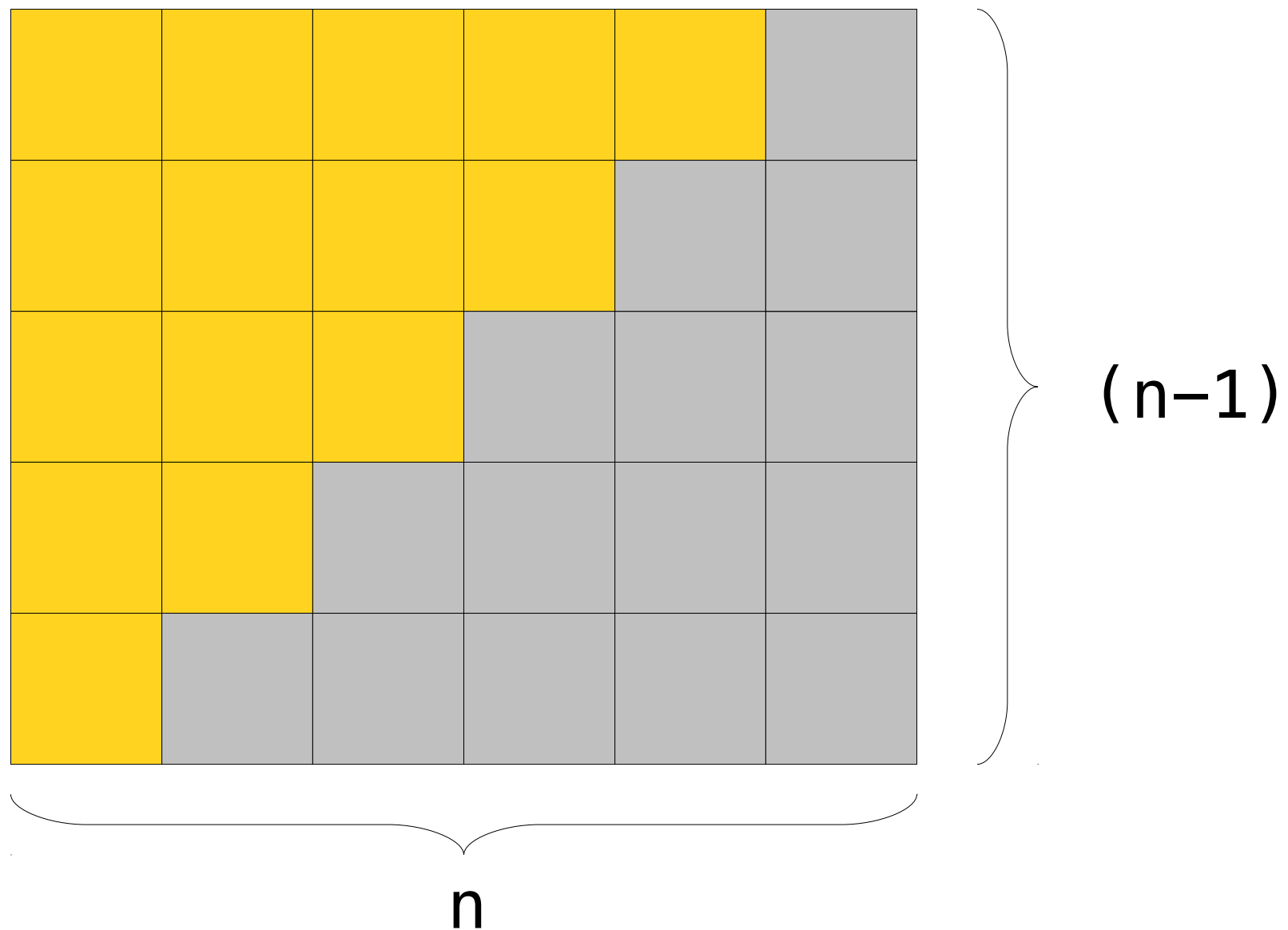
Third pass: **at most 3** comparisons and **at most 3** swaps

...

Total work:  **$1 + 2 + 3 + \dots + (n-1)$**



$$1 + 2 + \dots + (n-2) + (n-1) = n(n-1)/2$$



# Insertion Sort Analysis

$$T(n) = n(n-1) / 2 \text{ comparisons} + n(n-1) / 2 \text{ swaps} = O(\text{ )?}$$

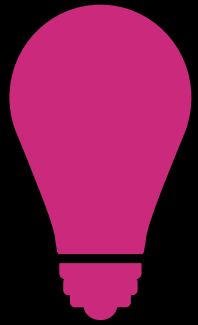
$$T(n) = 2( (n^2-n) / 2 ) = O(\text{ )?}$$

$$T(n) = n^2 - n = O(\mathbf{n^2})$$

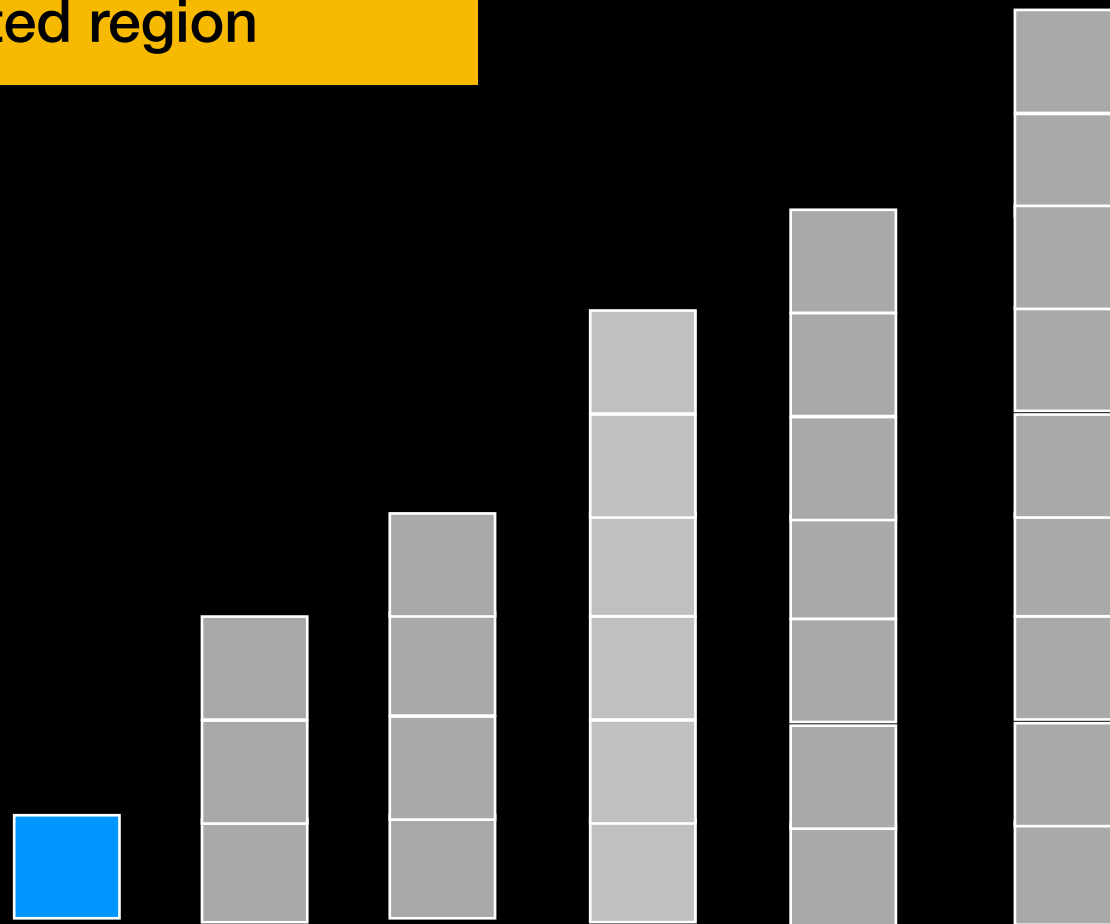
Insertion Sort run time is  $O(\mathbf{n^2})$

# Insertion Sort

■ Unsorted  
■ Sorted

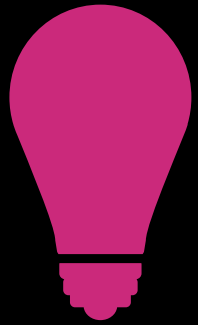


Pick first element in unsorted region and put it in right place in sorted region

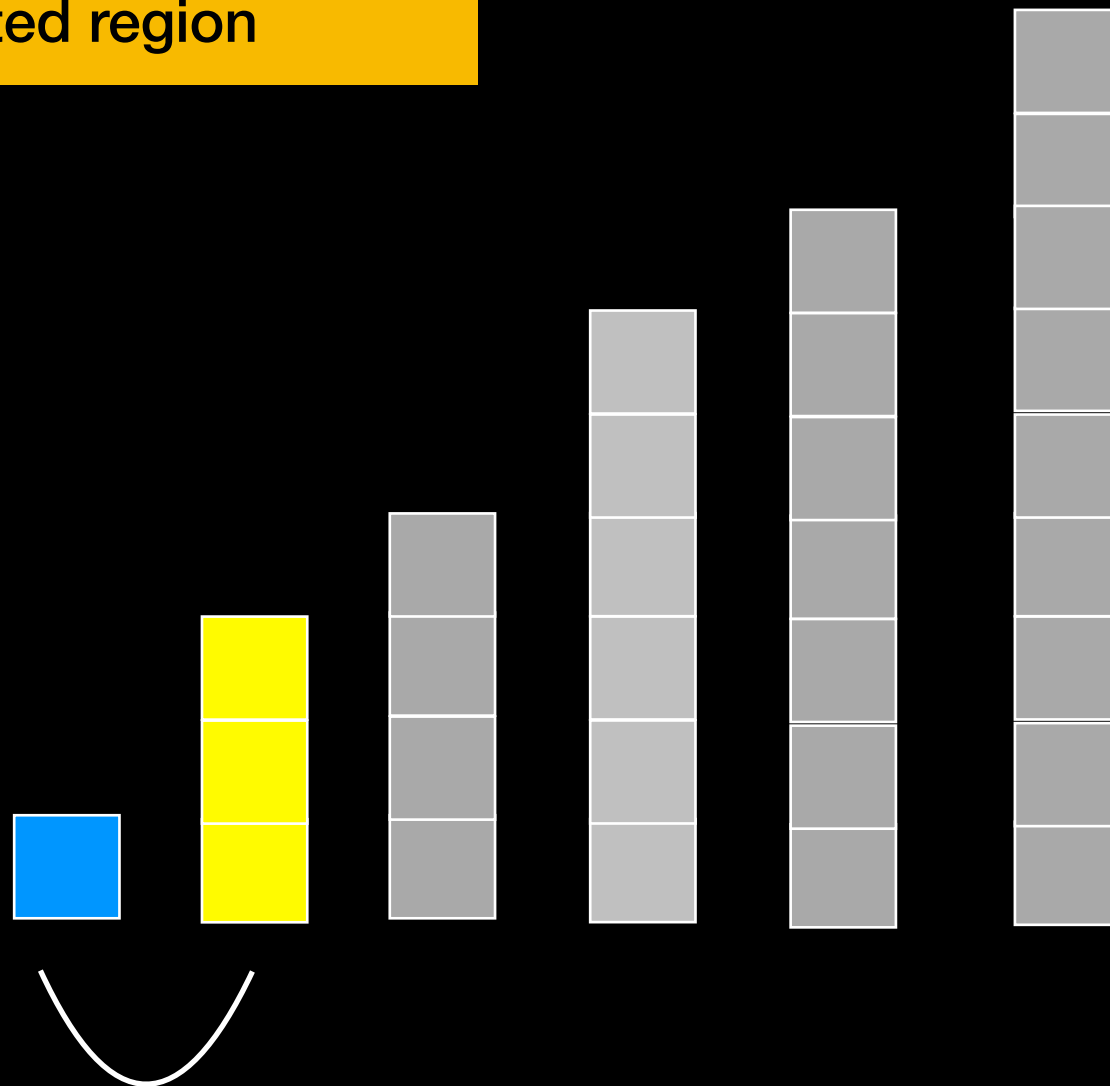


# Insertion Sort

■ Unsorted  
■ Sorted

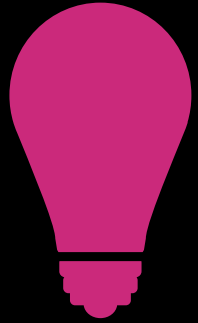


Pick first element in unsorted region and put it in right place in sorted region

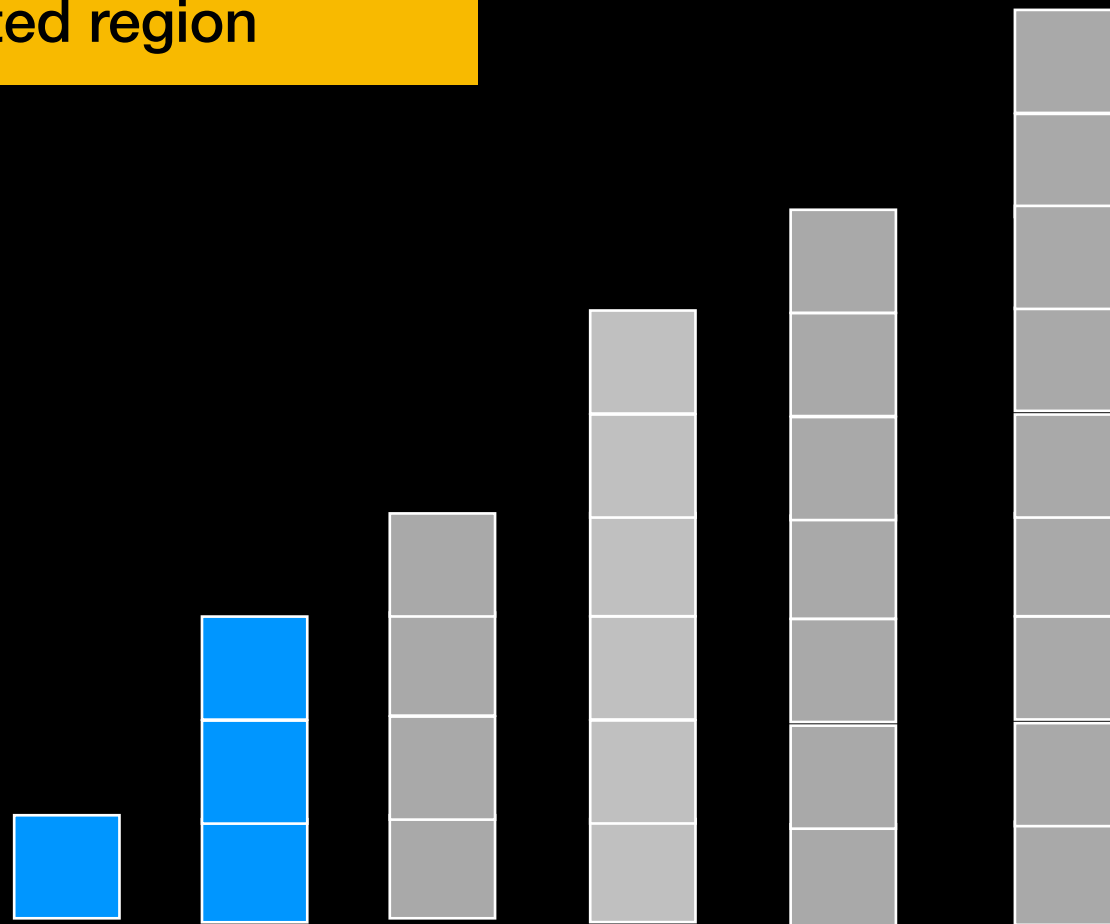


# Insertion Sort

■ Unsorted  
■ Sorted

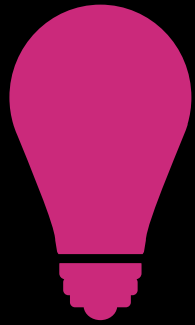


Pick first element in unsorted region and put it in right place in sorted region

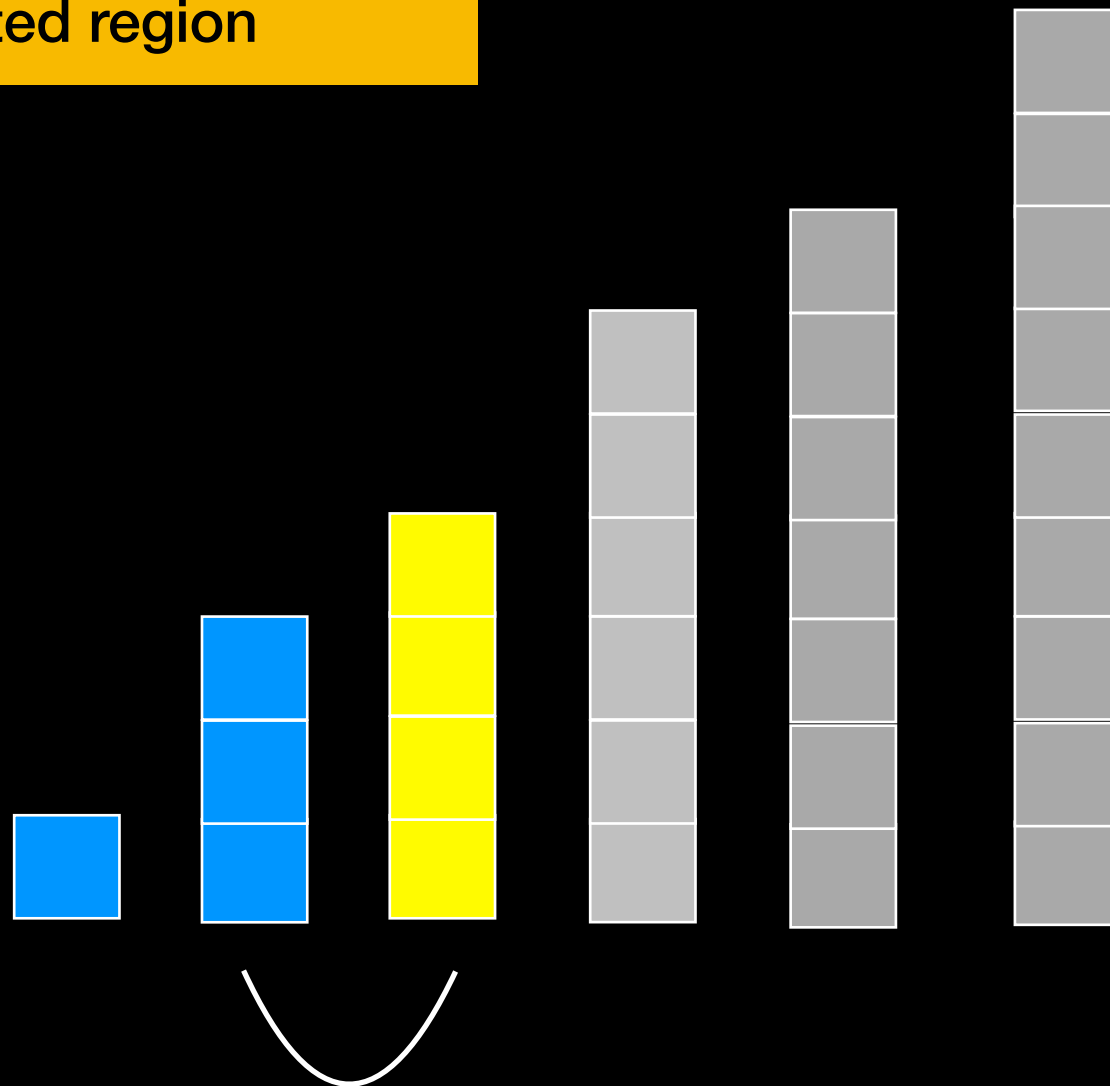


# Insertion Sort

■ Unsorted  
■ Sorted

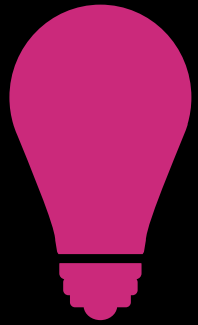


Pick first element in unsorted region and put it in right place in sorted region

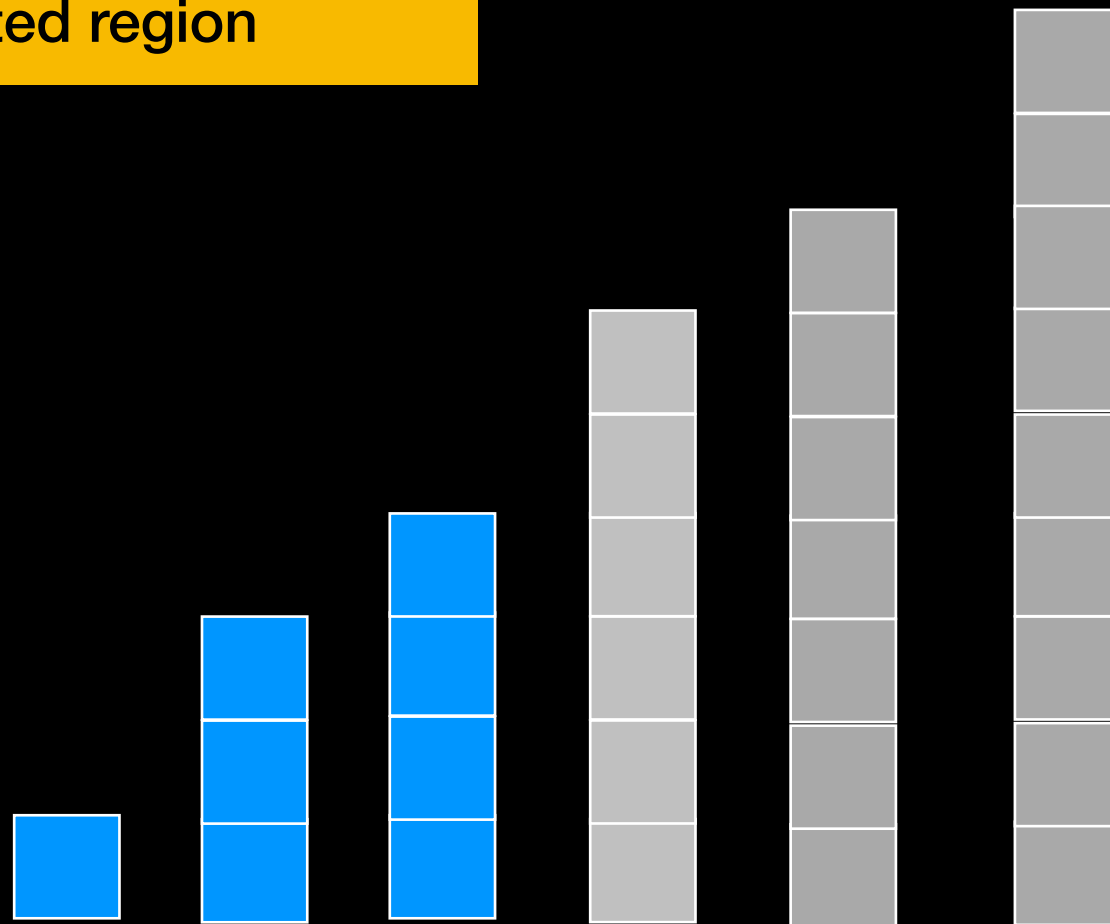


# Insertion Sort

■ Unsorted  
■ Sorted

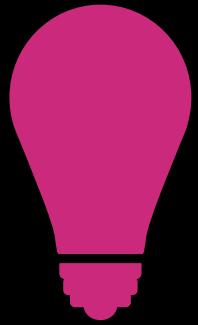


Pick first element in unsorted region and put it in right place in sorted region

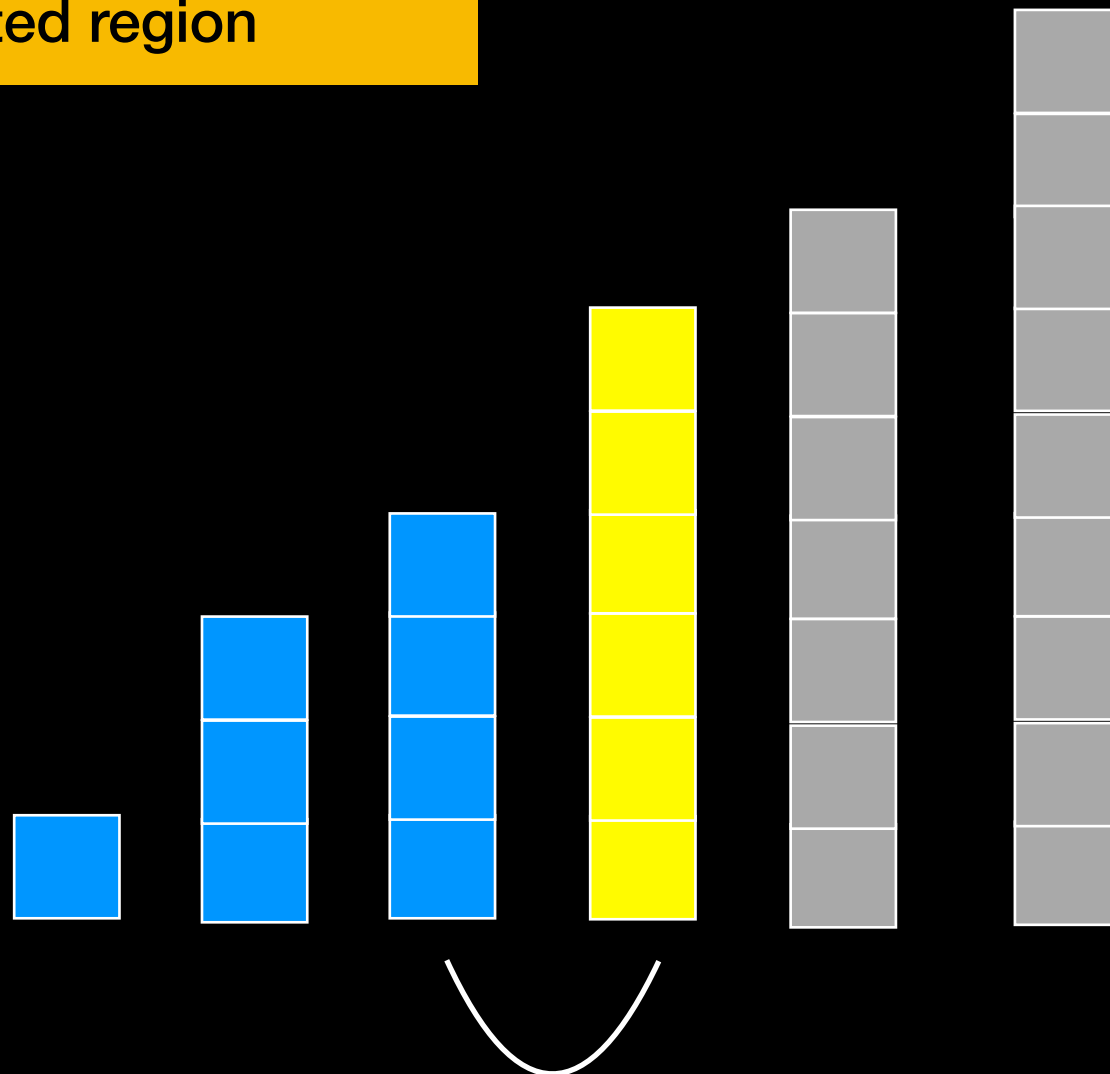


# Insertion Sort

■ Unsorted  
■ Sorted



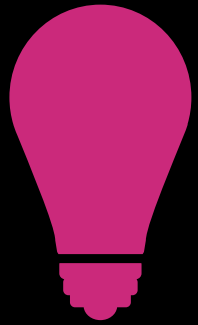
Pick first element in unsorted region and put it in right place in sorted region



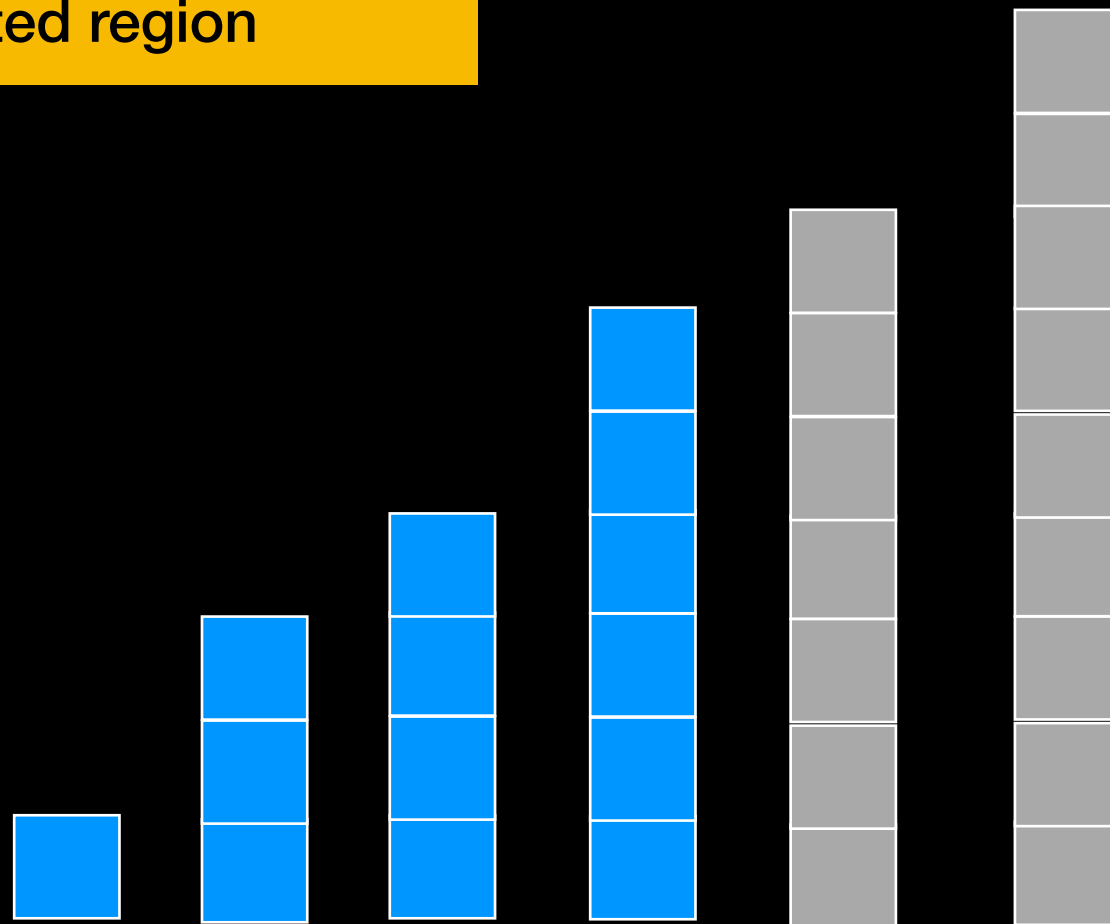


# Insertion Sort

■ Unsorted  
■ Sorted

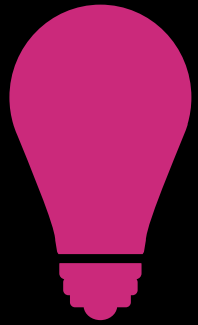


Pick first element in unsorted region and put it in right place in sorted region

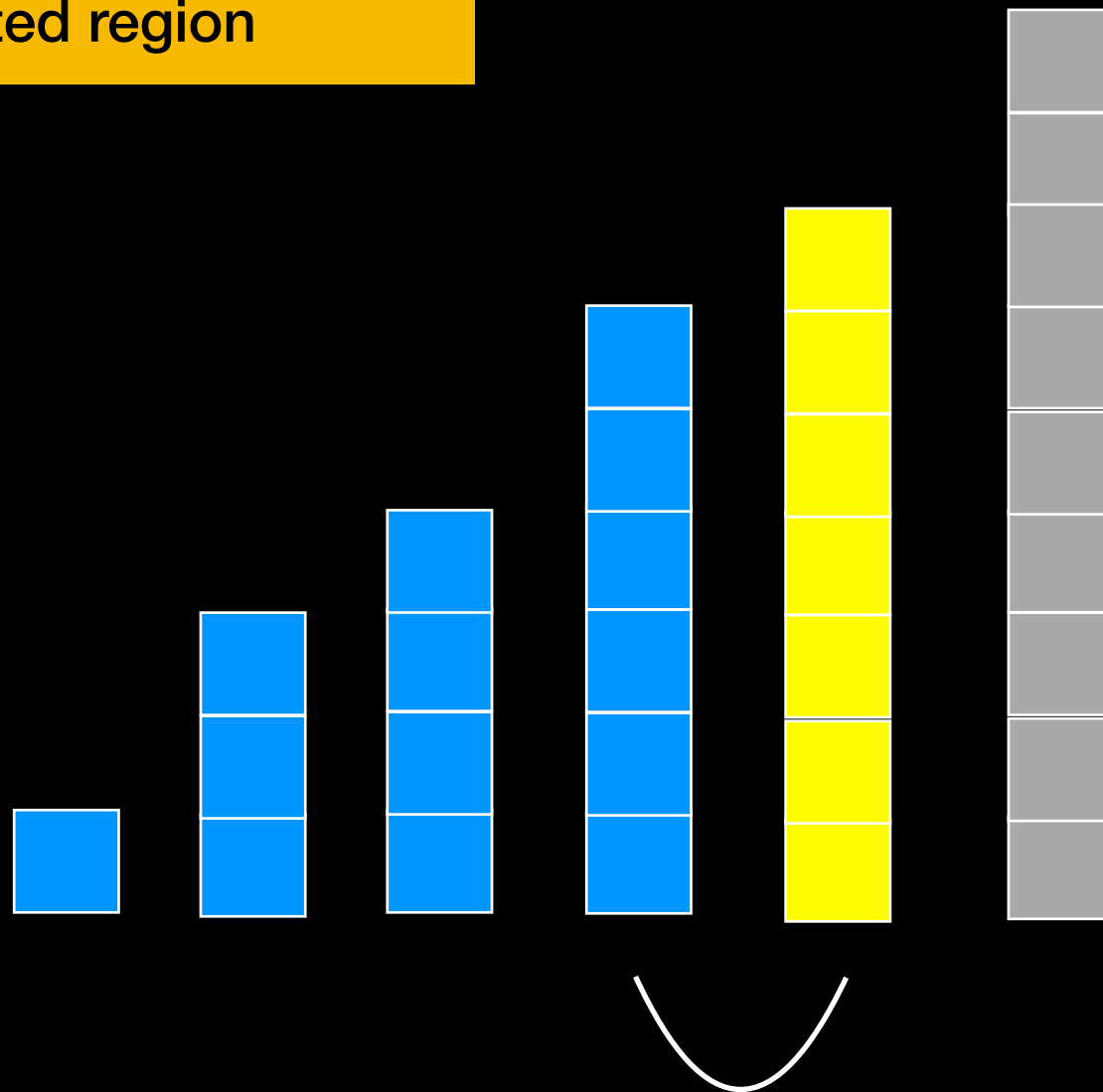


# Insertion Sort

■ Unsorted  
■ Sorted

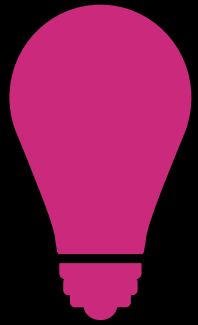


Pick first element in unsorted region and put it in right place in sorted region

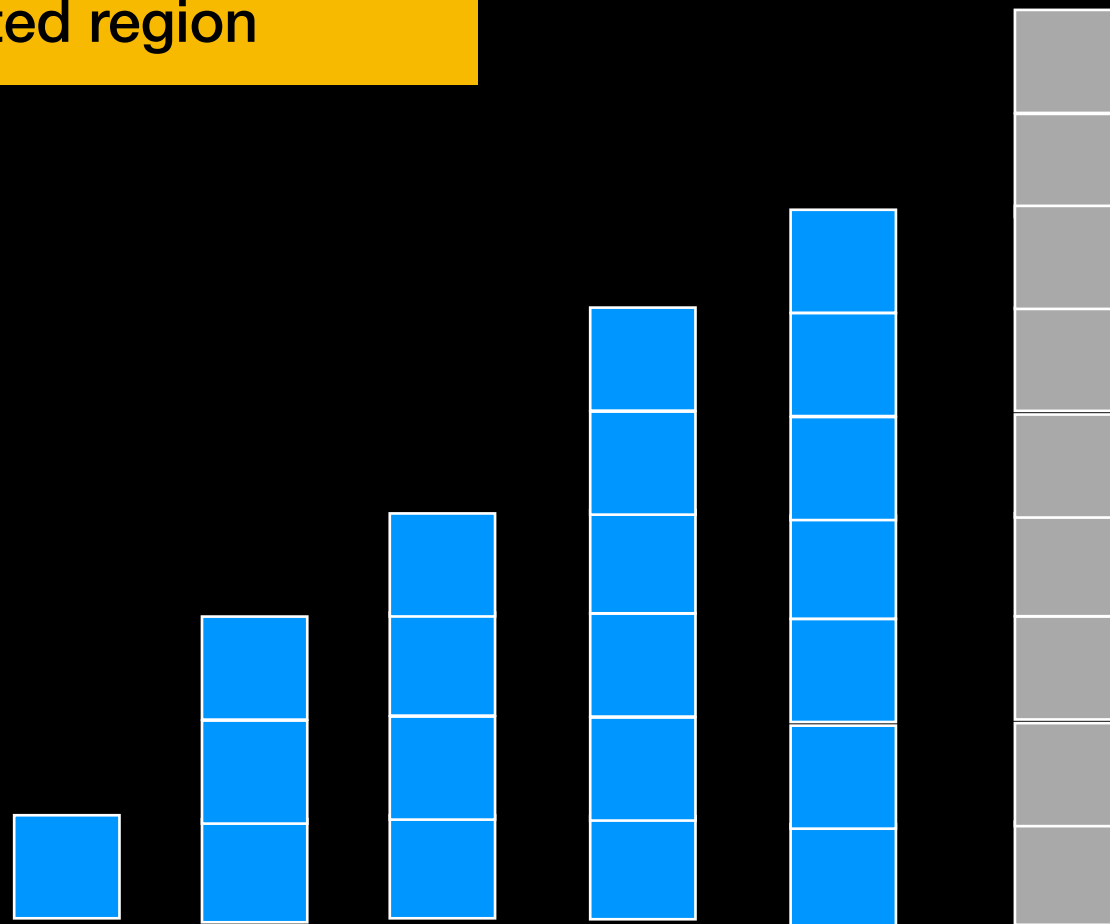


# Insertion Sort

■ Unsorted  
■ Sorted

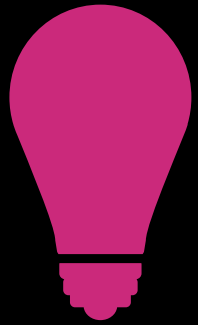


Pick first element in unsorted region and put it in right place in sorted region

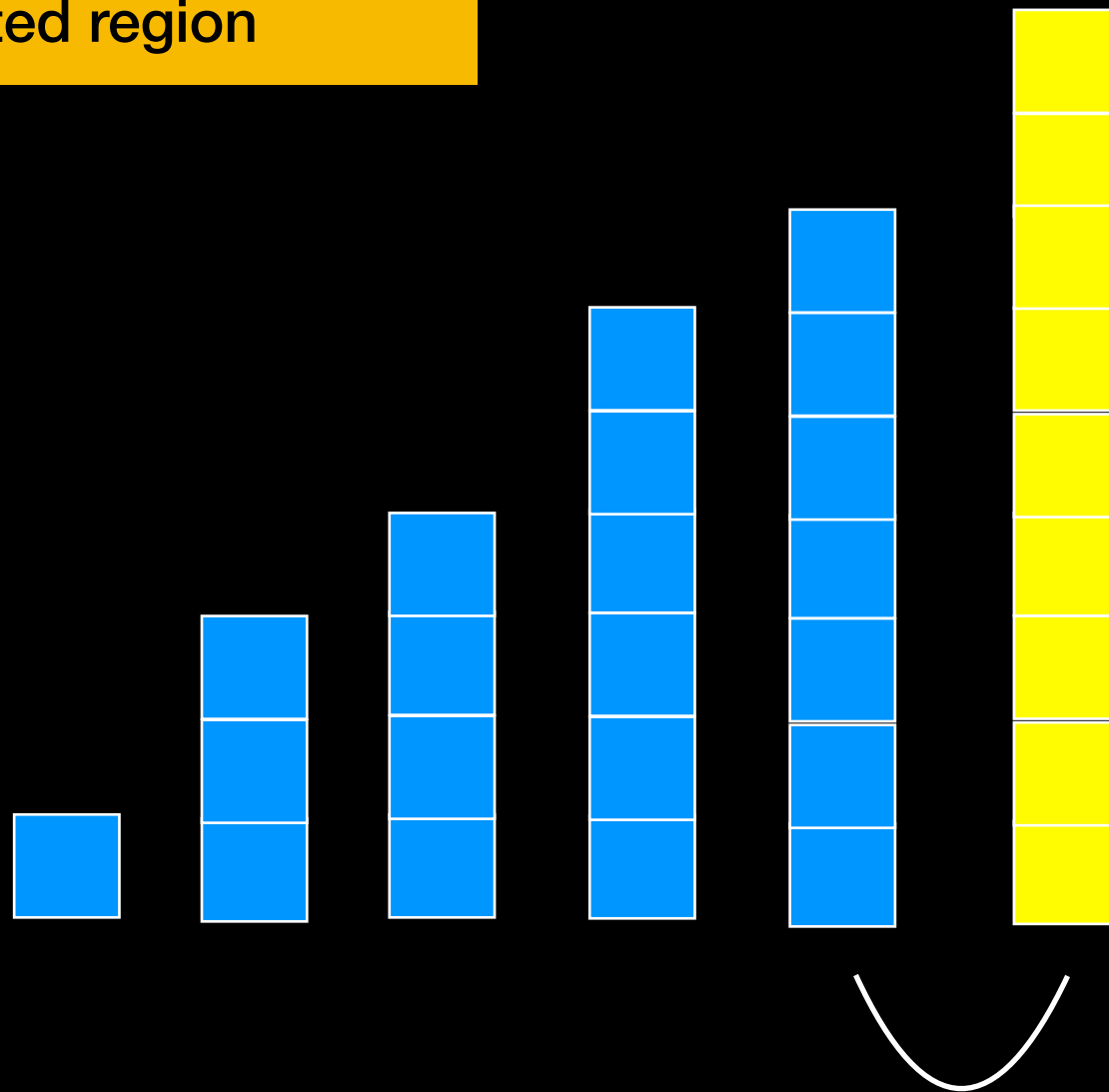


# Insertion Sort

■ Unsorted  
■ Sorted

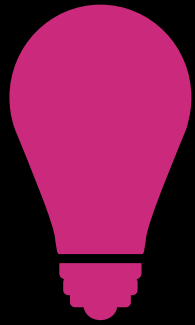


Pick first element in unsorted region and put it in right place in sorted region

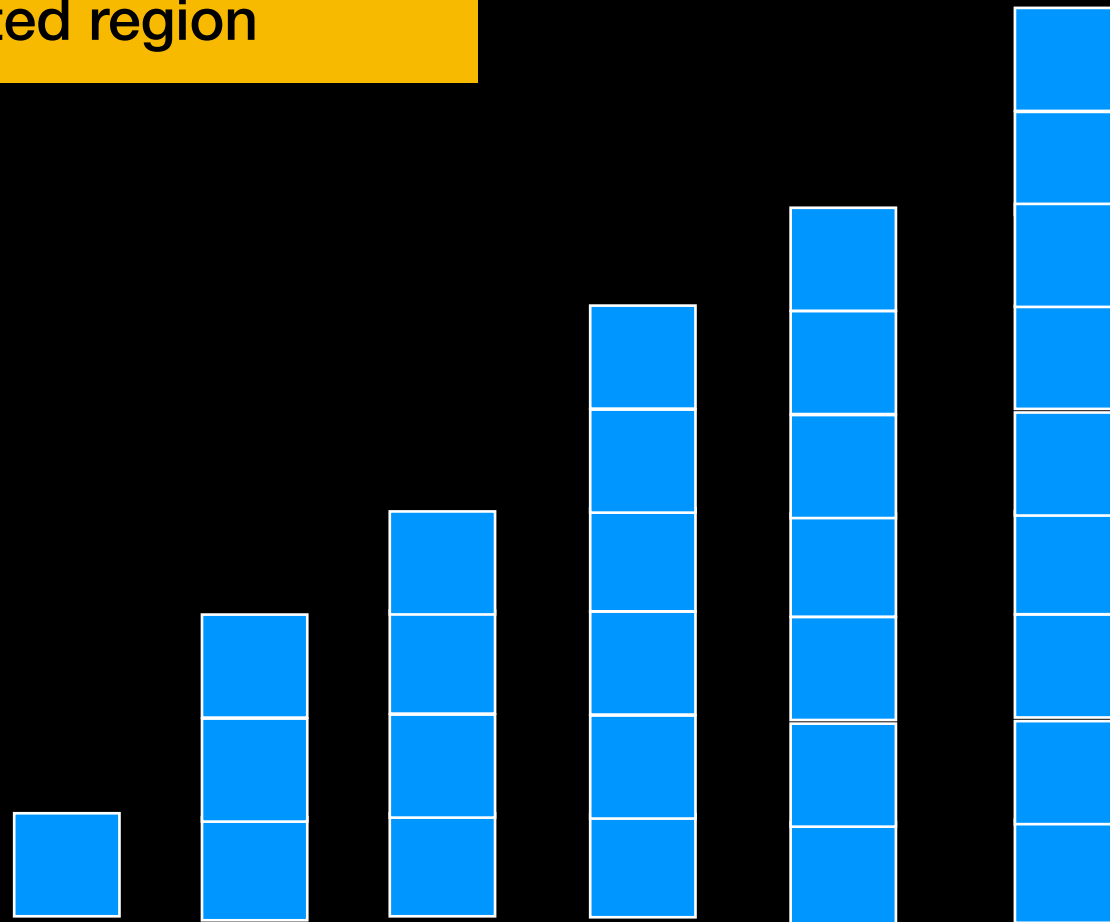


# Insertion Sort

■ Unsorted  
■ Sorted



Pick first element in unsorted region and put it in right place in sorted region



# Insertion Sort Analysis

Execution time DOES depend on initial arrangement of data

Worst case:  $O(n^2)$  comparisons and data moves

Best case:  $O(n)$  comparisons and data moves

Stable

If array is already sorted Insertion sort will do only  $n$  comparisons and no swaps => good choice for **small  $n$**  and data likely **somewhat sorted**

```

template <class Comparable>
void insertionSort(const std::vector<Comparable>& the_array)
{
    int size = the_array.size();
    // unsorted = first index of the unsorted region,
    // Initially, sorted region is the_array[0],
    // unsorted region is the_array[1 ... size-1].
    // In general, sorted region is the_array[0 ... unsorted-1],
    // unsorted region the_array[unsorted ... size-1]
    for (int unsorted = 1; unsorted < size; unsorted++)
    {
        // At this point, the_array[0 ... unsorted-1] is sorted.
        // Keep swapping item to be inserted currently at the_array[unsorted]
        // with items at lower indices as long as its value is >
        int current = unsorted; //the index of the item currently being inserted
        while ((current > 0) && (the_array[current - 1] > the_array[current]))
        {
            std::swap(the_array[current], the_array[current - 1]); // swap
            current--;
        } // end while
    } // end for
} // end insertionSort

```

```

template <class Comparable>
void insertionSort(const std::vector<Comparable>& the_array)
{
    int size = the_array.size();
    // unsorted = first index of the unsorted region,
    // Initially, sorted region is the_array[0],
    // unsorted region is the_array[1 ... size-1].
    // In general, sorted region is the_array[0 ... unsorted-1],
    // unsorted region the_array[unsorted ... size-1]
    Pass for (int unsorted = 1; unsorted < size; unsorted++)
O(n) {
        // At this point, the_array[0 ... unsorted-1] is sorted.
        // Keep swapping item to be inserted currently at the_array[unsorted]
        // with items at lower indices as long as its value is >
        O(n) int current = unsorted; //the index of the item currently being inserted
        while ((current > 0) && (the_array[current - 1] > the_array[current]))
        {
            std::swap(the_array[current], the_array[current - 1]); // swap
            current--;
        } // end while
    } // end for
} // end insertionSort

```

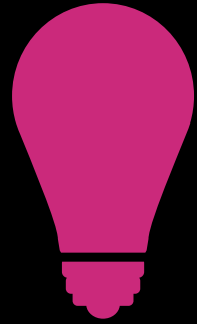
**O( n<sup>2</sup> )**



Raise your hand if you had  
Insertion Sort

# What we have so far

	Worst Case	Best Case
Selection Sort	$O(n^2)$	$O(n^2)$
Bubble Sort	$O(n^2)$	$O(n)$
Insertion Sort	$O(n^2)$	$O(n)$

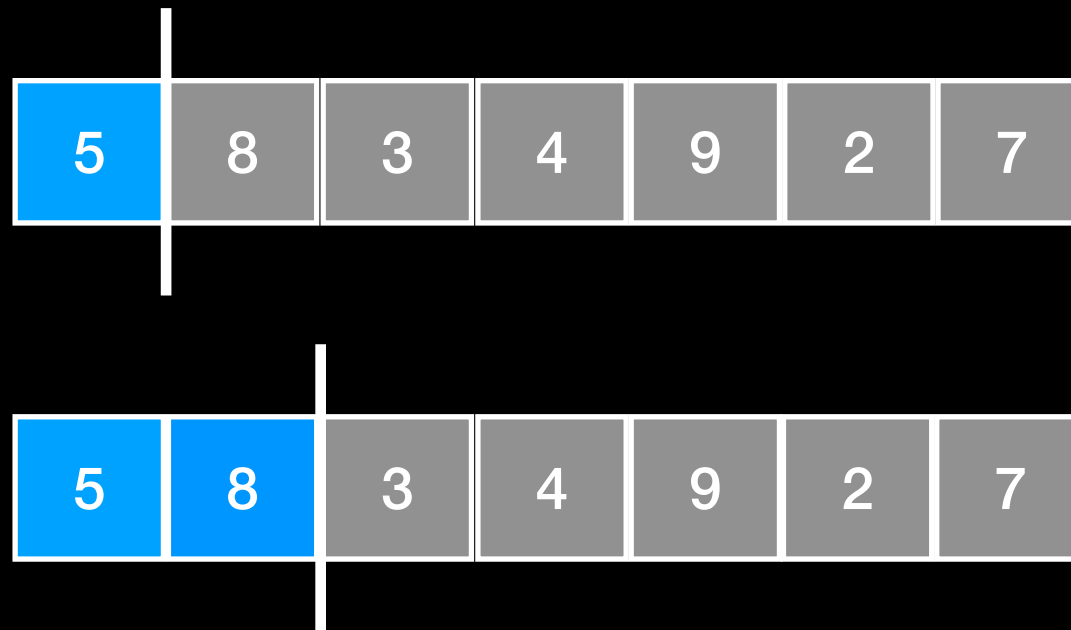


Pick first element in unsorted region and put it in right place in sorted region

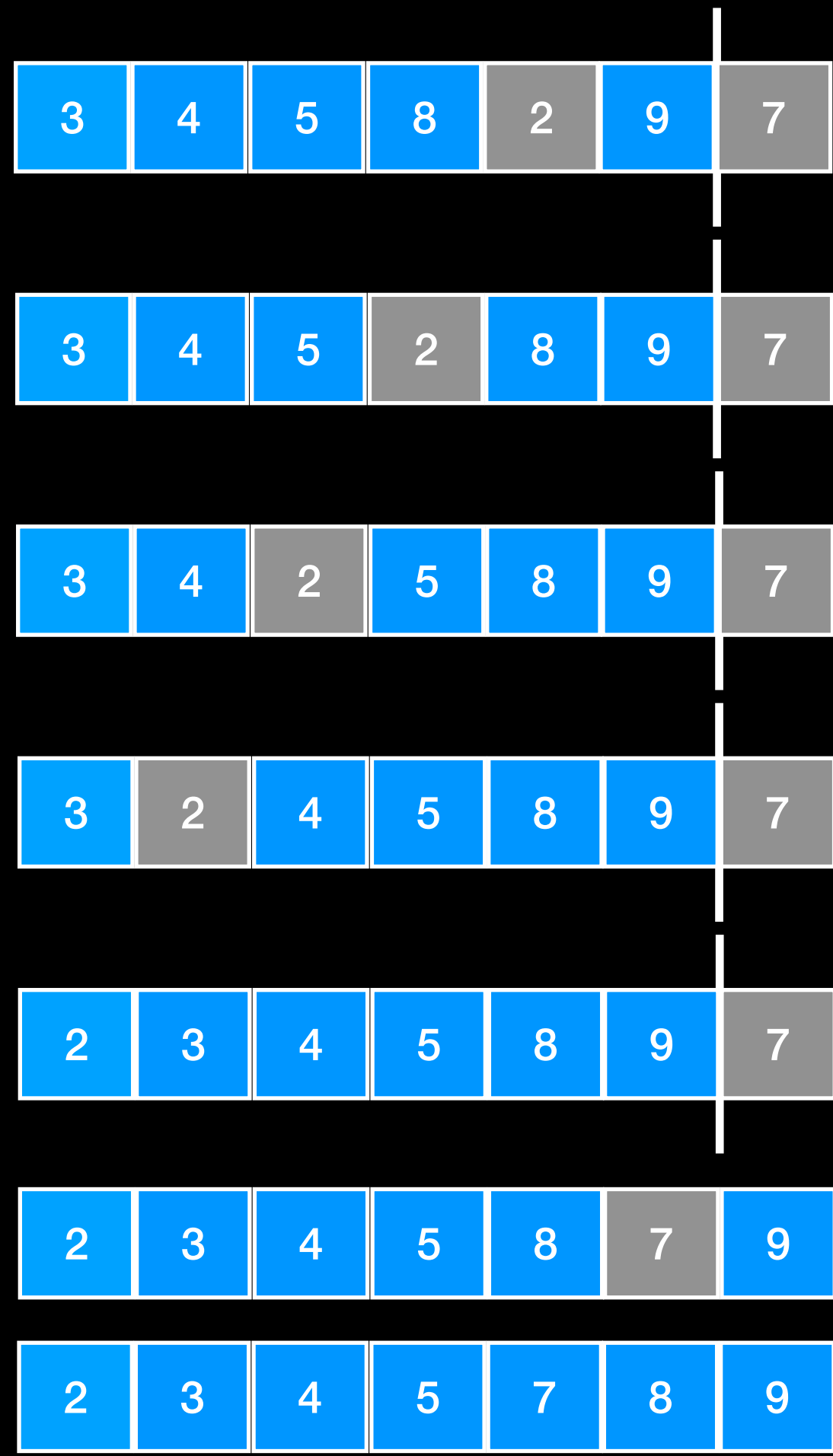
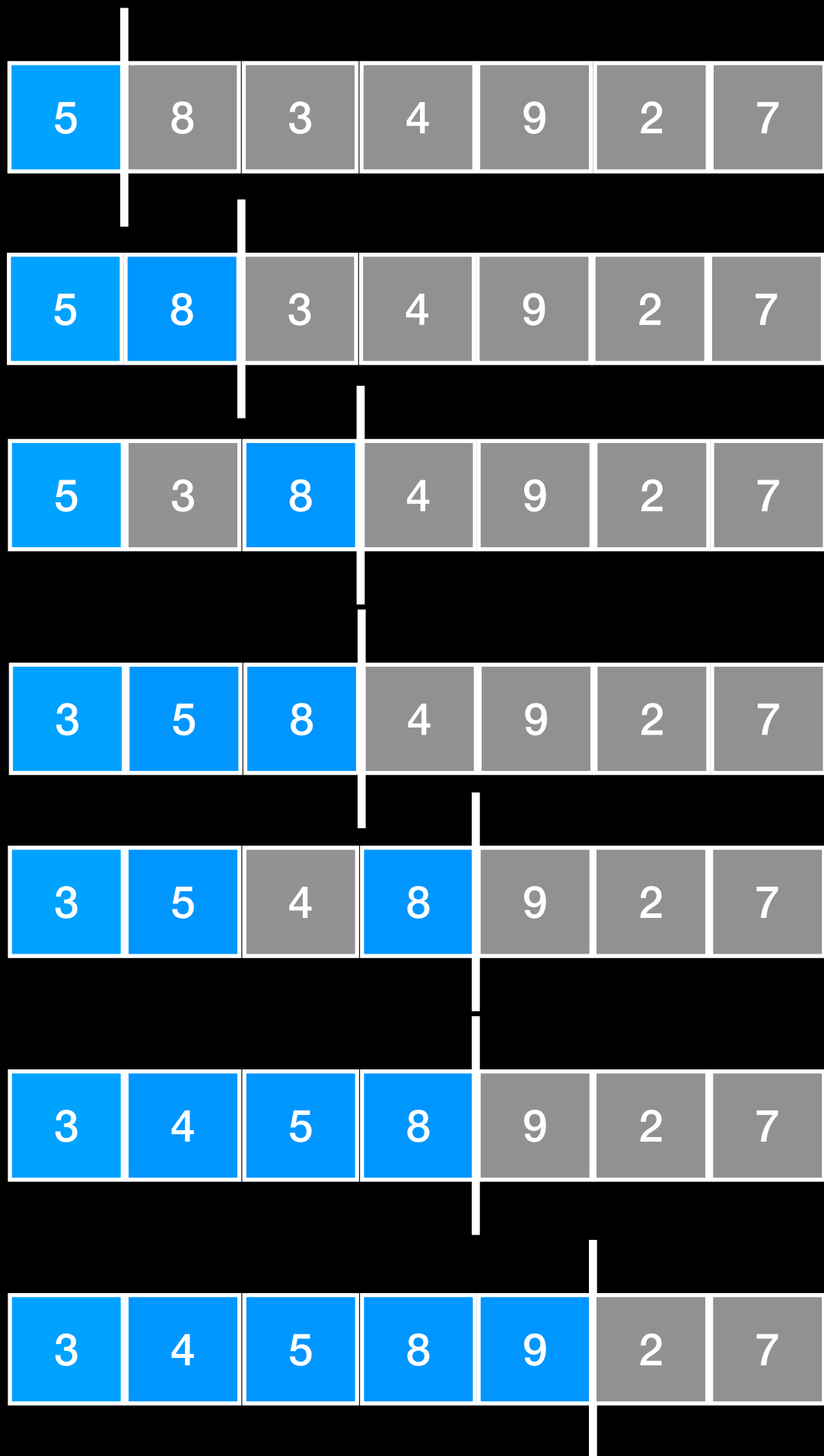
# Lecture Activity

Sort the array using **Insertion Sort**

















Show the entire array after each comparison/swap operation and at each step mark clearly the division between the sorted and unsorted portions of the array



**Lecture Assignment on Gradescope**  
**Login and submit NOW!!!**



<https://www.toptal.com/developers/sorting-algorithms>

 <b>Play All</b>	 Insertion	 Selection	 Bubble
 Random			
 Nearly Sorted			
 Reversed			

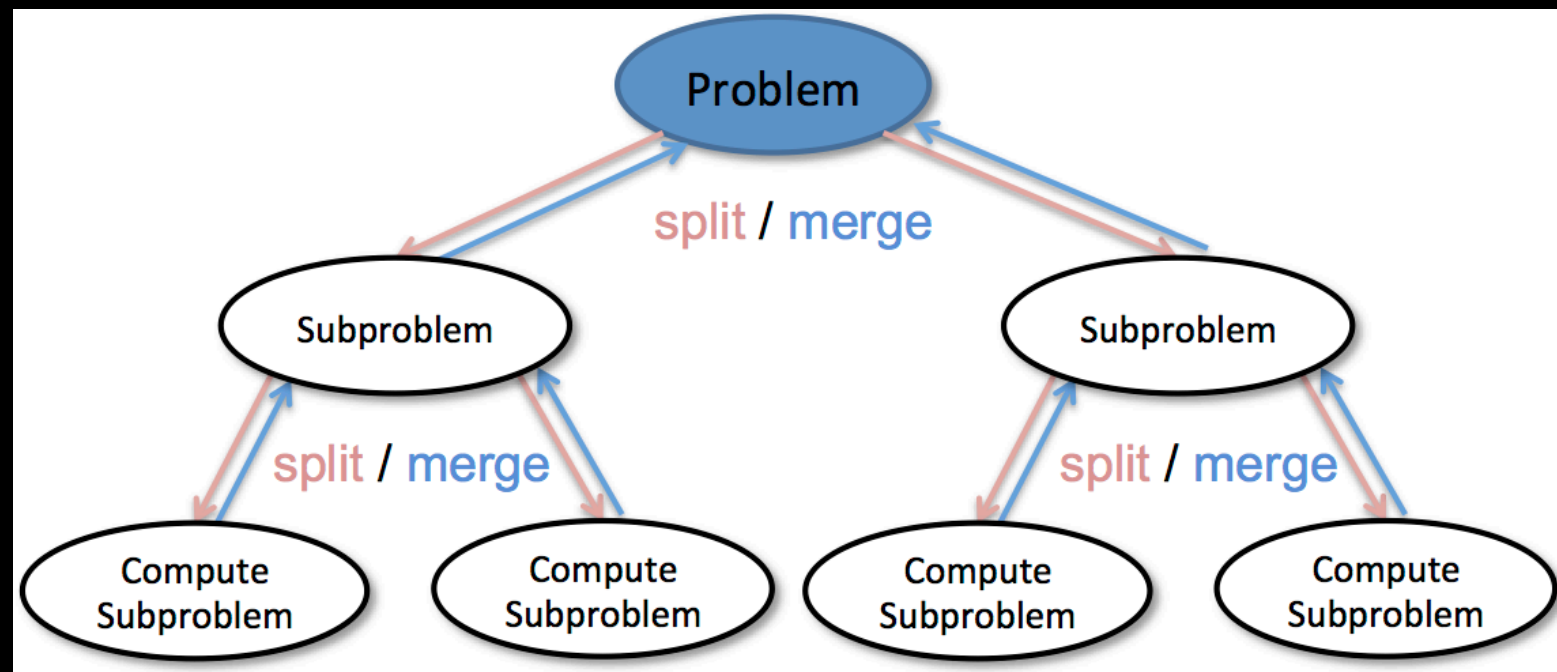
# What we have so far

	Worst Case	Best Case
Selection Sort	$O(n^2)$	$O(n^2)$
Bubble Sort	$O(n^2)$	$O(n)$
Insertion Sort	$O(n^2)$	$O(n)$

Can we do better?

# Can we do better?

## Divide and Conquer!!!





# Merge Sort

# Understanding $O(n^2)$

100	14	3	43	200	274	523	108	76	195	599	158	2	260	11	64	932	5
-----	----	---	----	-----	-----	-----	-----	----	-----	-----	-----	---	-----	----	----	-----	---

$T(n)$

# Understanding $O(n^2)$

100	14	3	43	200	274	523	108	76	195	599	158	2	260	11	64	932	5
-----	----	---	----	-----	-----	-----	-----	----	-----	-----	-----	---	-----	----	----	-----	---

$T(n)$

100	14	3	43	200	274	523	108	76
-----	----	---	----	-----	-----	-----	-----	----

195	599	158	2	260	11	64	932	5
-----	-----	-----	---	-----	----	----	-----	---

# Understanding $O(n^2)$

100	14	3	43	200	274	523	108	76	195	599	158	2	260	11	64	932	5
-----	----	---	----	-----	-----	-----	-----	----	-----	-----	-----	---	-----	----	----	-----	---

$T(n)$

100	14	3	43	200	274	523	108	76
-----	----	---	----	-----	-----	-----	-----	----

$T(1/2n)$

195	599	158	2	260	11	64	932	5
-----	-----	-----	---	-----	----	----	-----	---

$T(1/2n)$

# Understanding $O(n^2)$

100	14	3	43	200	274	523	108	76	195	599	158	2	260	11	64	932	5
-----	----	---	----	-----	-----	-----	-----	----	-----	-----	-----	---	-----	----	----	-----	---

$T(n)$

100	14	3	43	200	274	523	108	76
-----	----	---	----	-----	-----	-----	-----	----

$T(1/2n)$

195	599	158	2	260	11	64	932	5
-----	-----	-----	---	-----	----	----	-----	---

$T(1/2n)$

$$(n/2)^2 = n^2/4$$

# Understanding $O(n^2)$

100	14	3	43	200	274	523	108	76	195	599	158	2	260	11	64	932	5
-----	----	---	----	-----	-----	-----	-----	----	-----	-----	-----	---	-----	----	----	-----	---

$T(n)$

100	14	3	43	200	274	523	108	76
-----	----	---	----	-----	-----	-----	-----	----

195	599	158	2	260	11	64	932	5
-----	-----	-----	---	-----	----	----	-----	---

$$T(1/2n) \approx 1/4 T(n)$$

$$T(1/2n) \approx 1/4 T(n)$$

$$(n/2)^2 = n^2/4$$

# Understanding $O(n^2)$

100	14	3	43	200	274	523	108	76	195	599	158	2	260	11	64	932	5
-----	----	---	----	-----	-----	-----	-----	----	-----	-----	-----	---	-----	----	----	-----	---

$T(n)$

3	14	43	76	100	108	200	274	523
---	----	----	----	-----	-----	-----	-----	-----

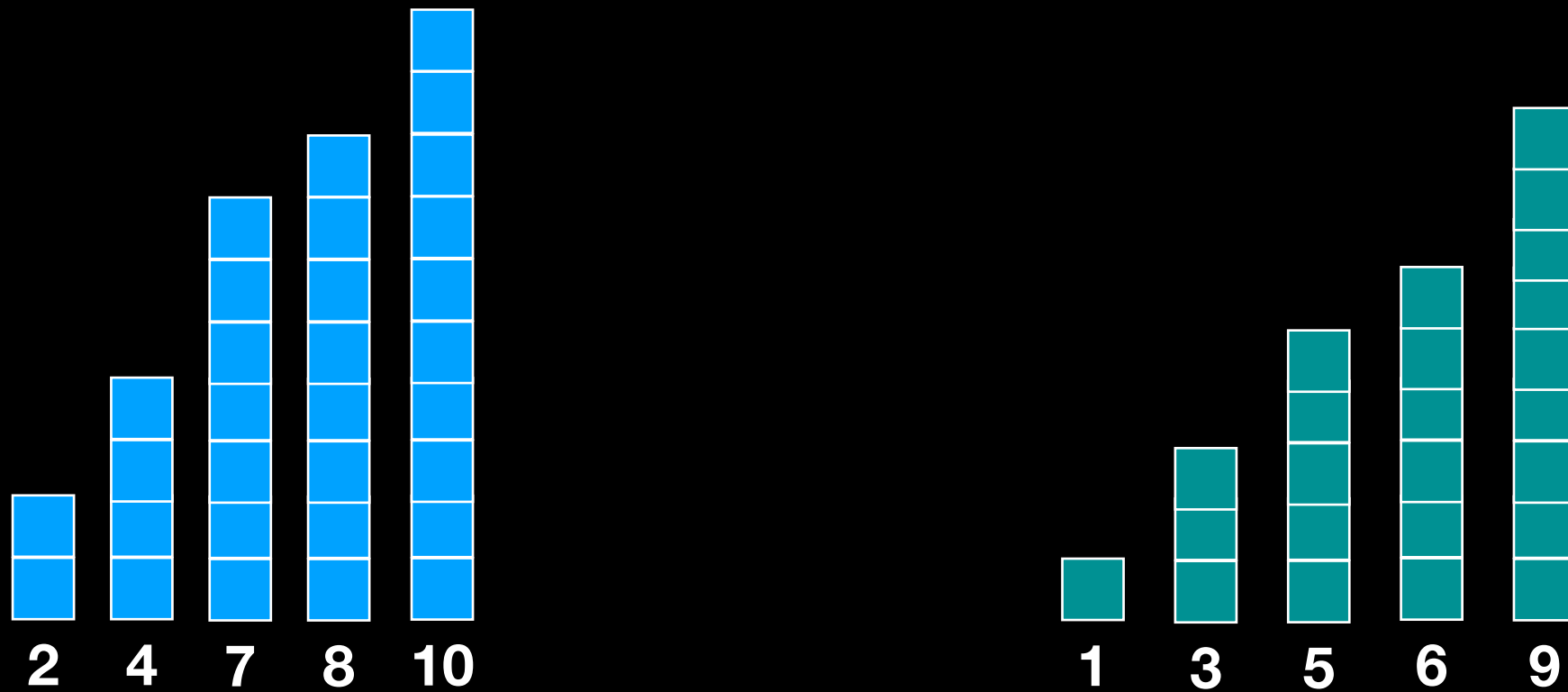
2	5	11	64	158	195	260	599	932
---	---	----	----	-----	-----	-----	-----	-----

$$T(1/2n) \approx 1/4 T(n)$$

$$T(1/2n) \approx 1/4 T(n)$$

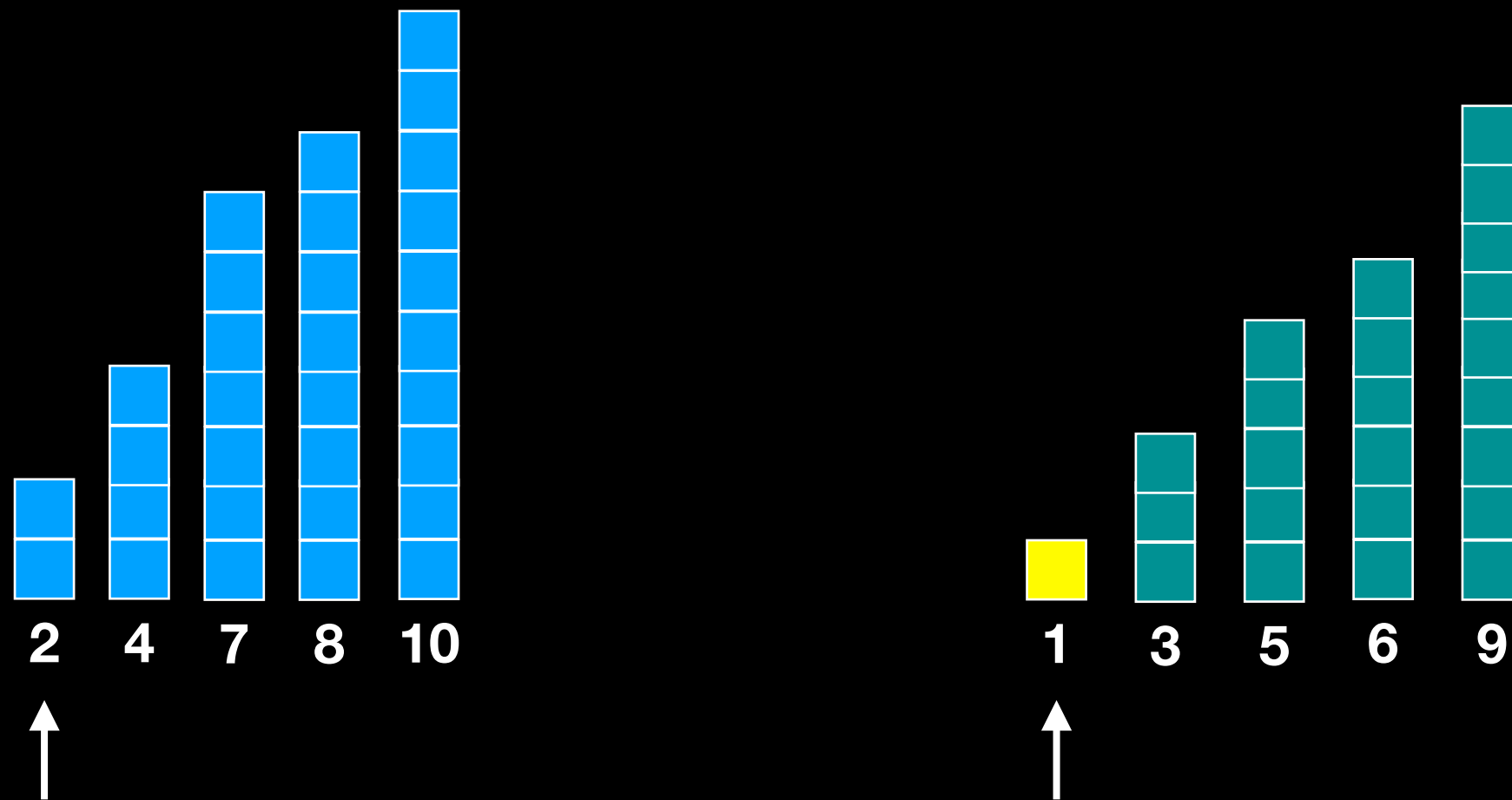
$$(n/2)^2 = n^2/4$$

# Key Insight: Merge is linear

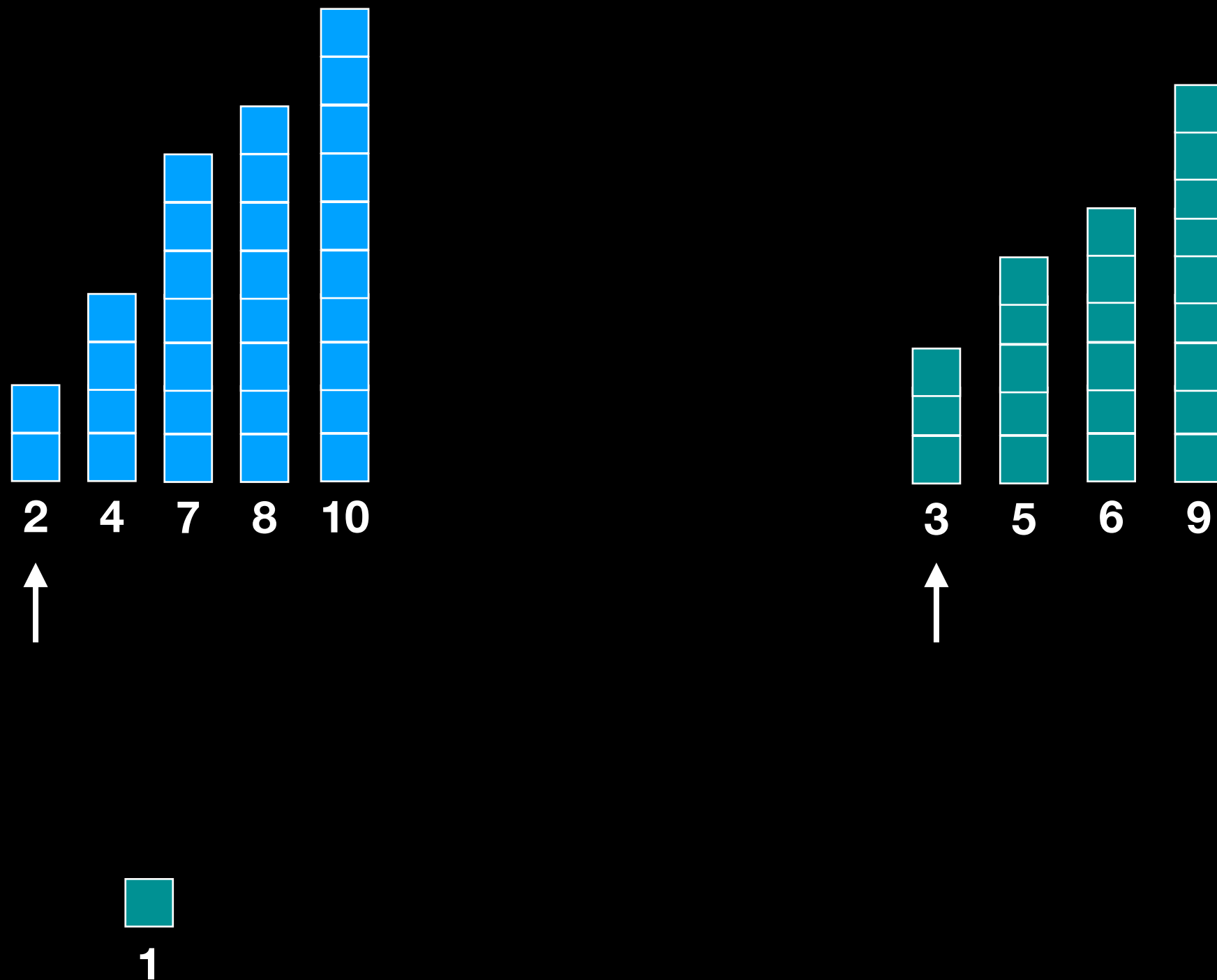




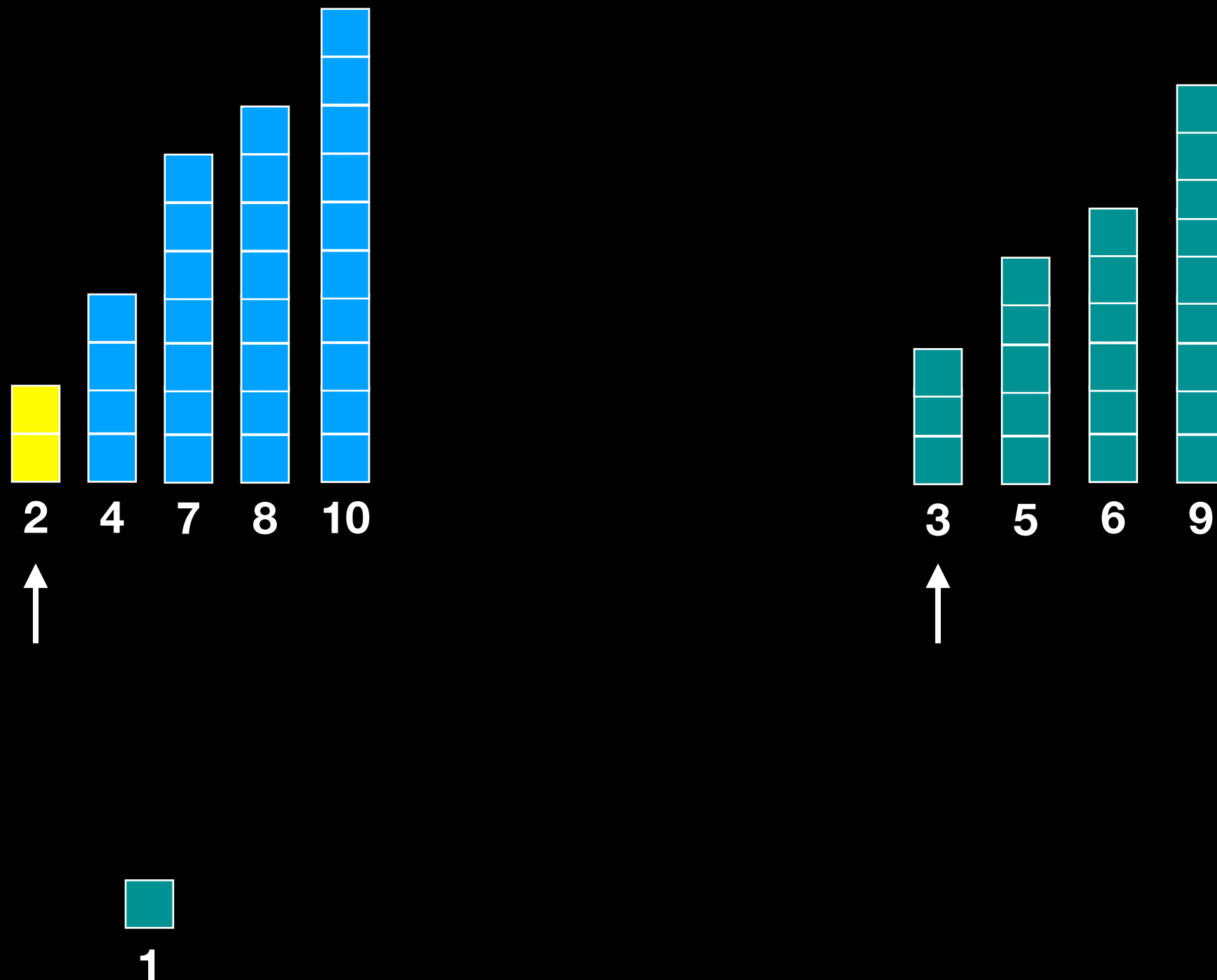
# Key Insight: Merge is linear



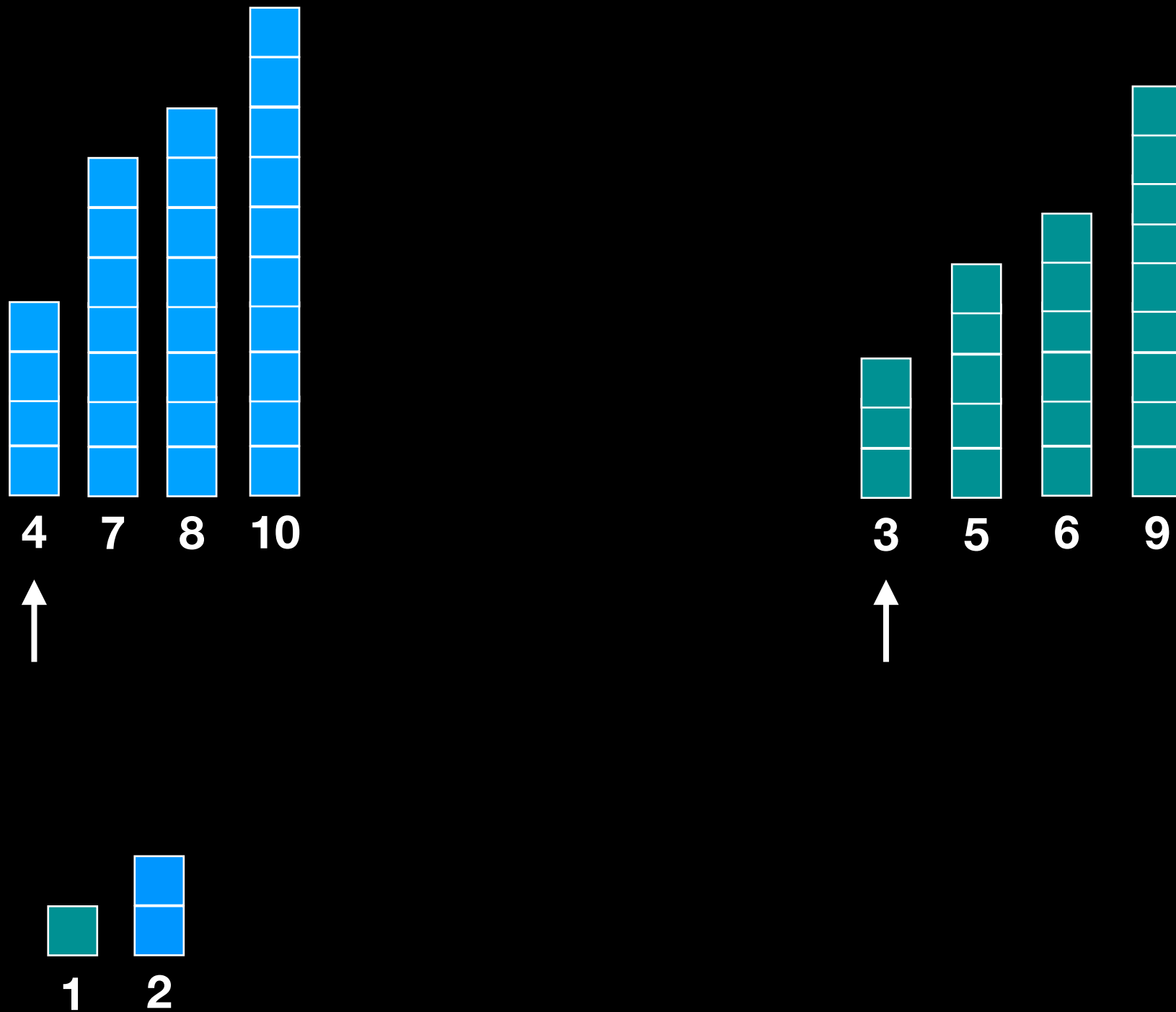
# Key Insight: Merge is linear



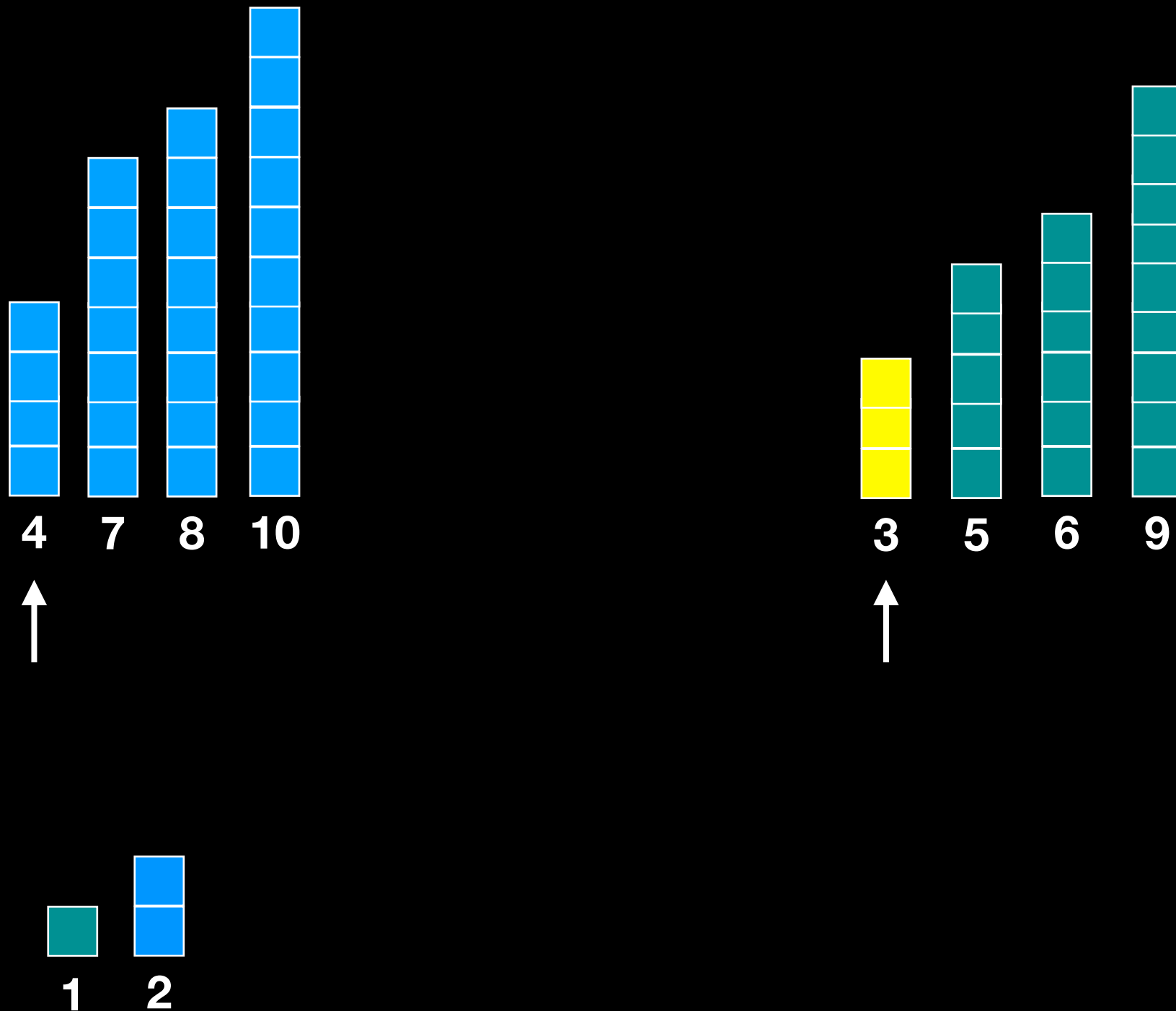
# Key Insight: Merge is linear



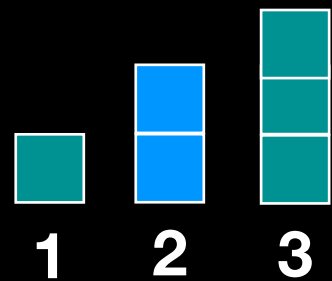
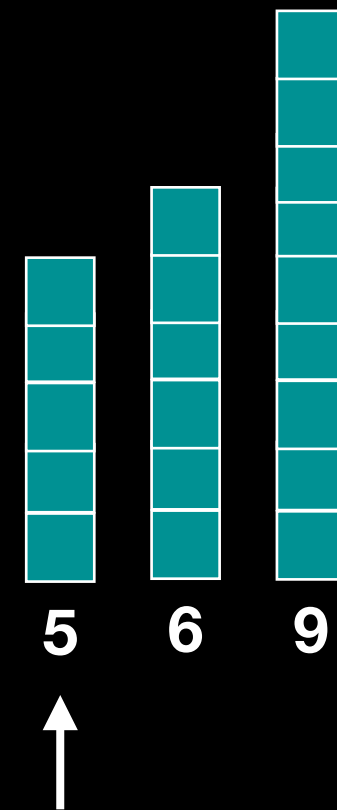
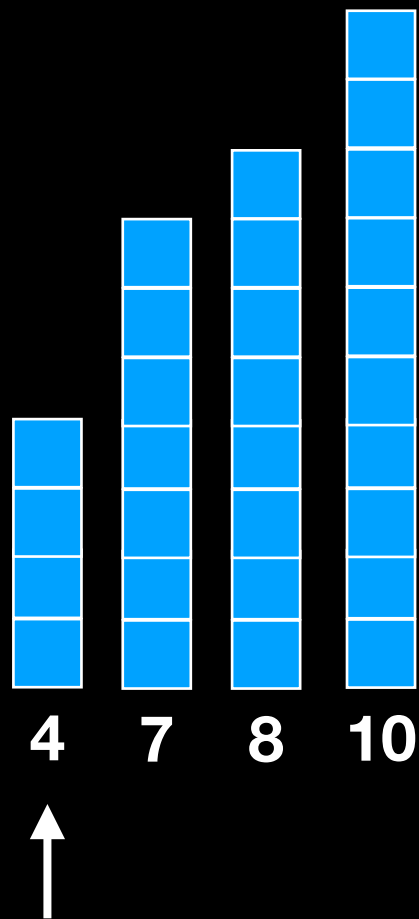
# Key Insight: Merge is linear



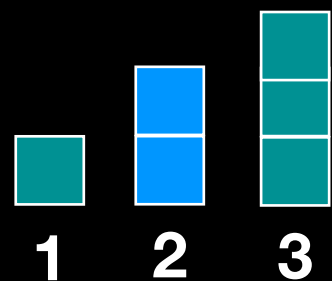
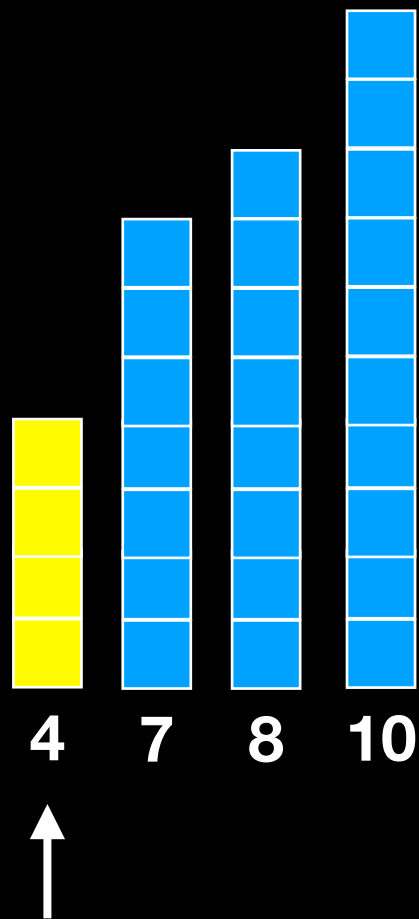
# Key Insight: Merge is linear



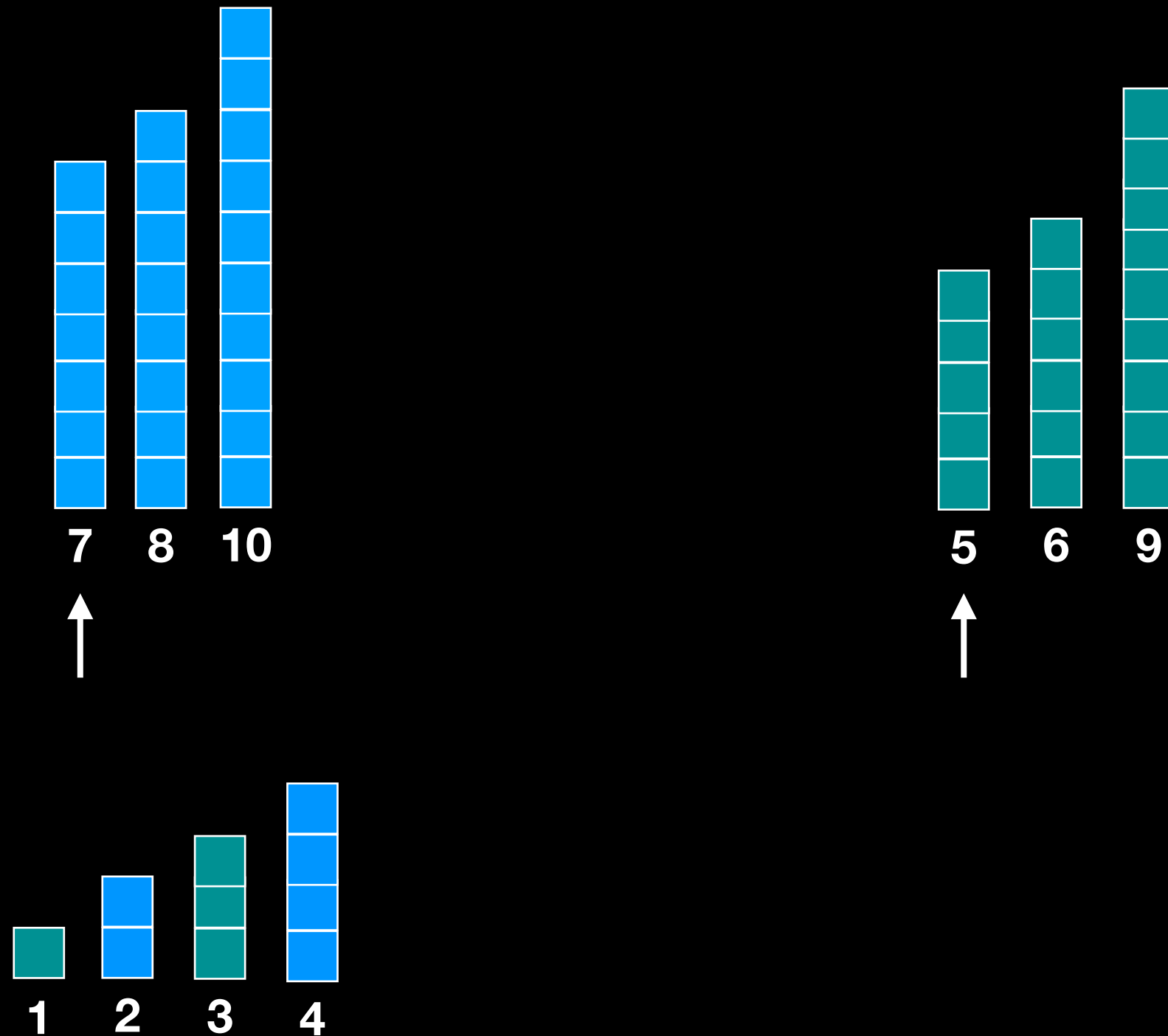
# Key Insight: Merge is linear



# Key Insight: Merge is linear

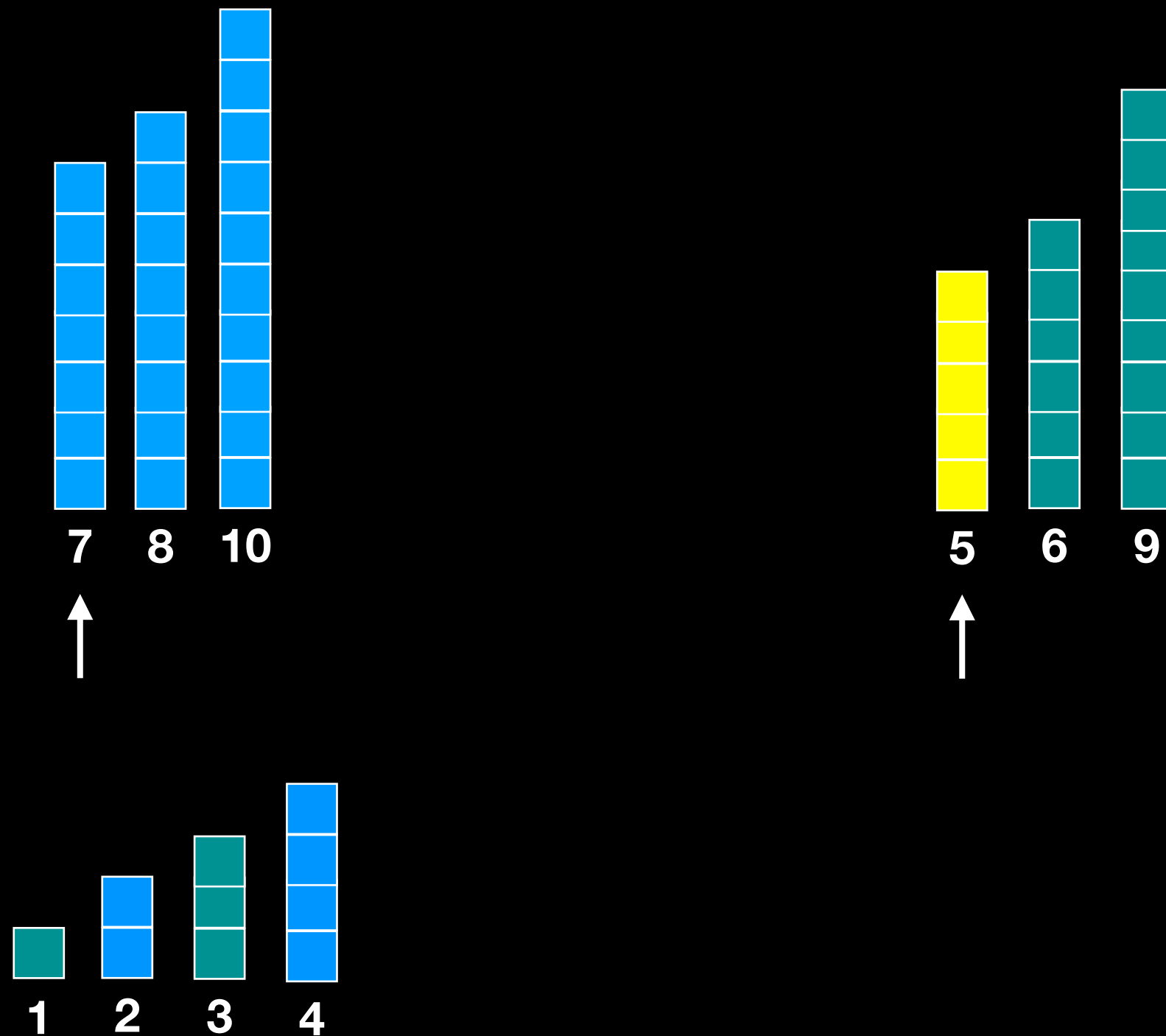


# Key Insight: Merge is linear

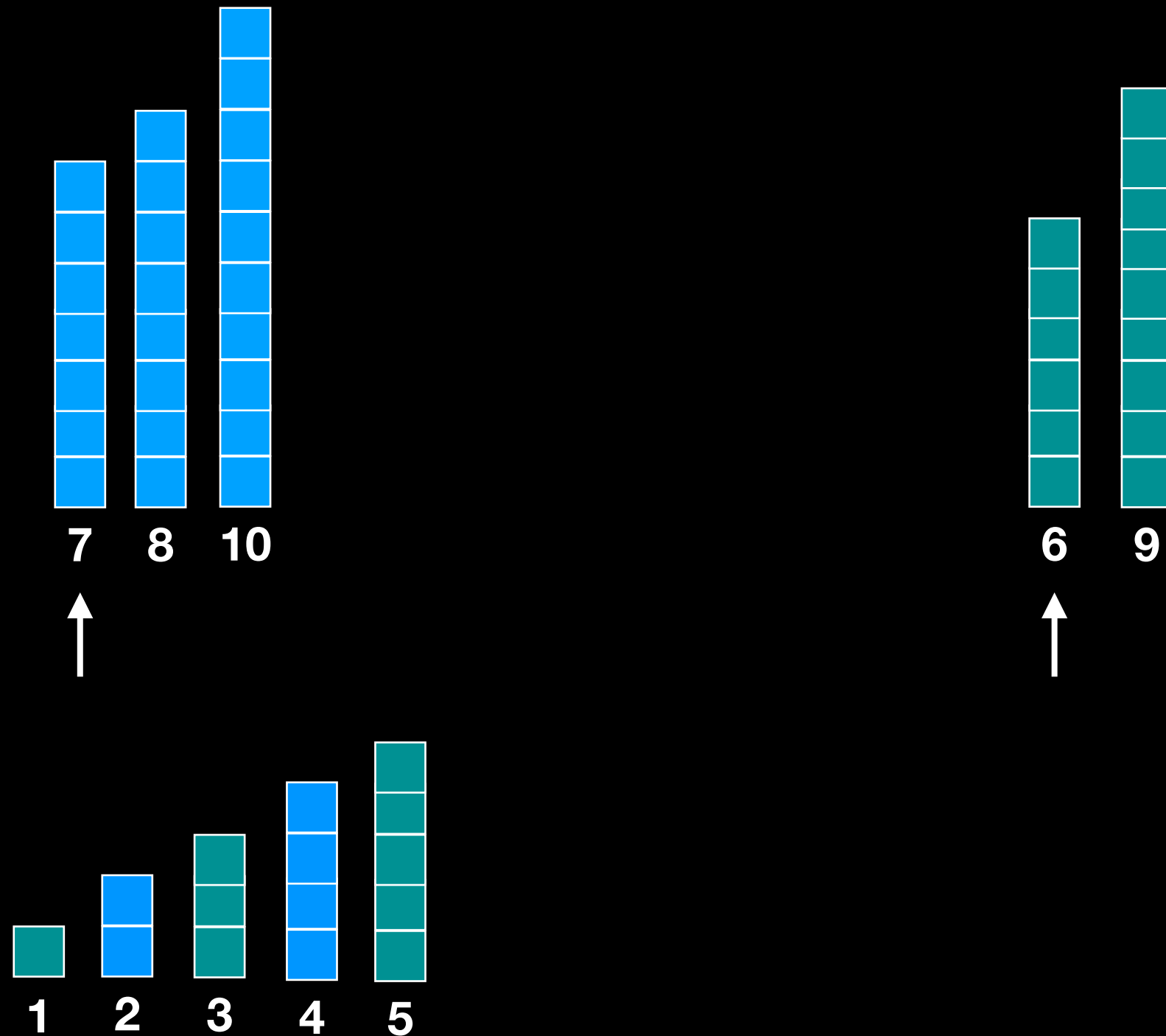




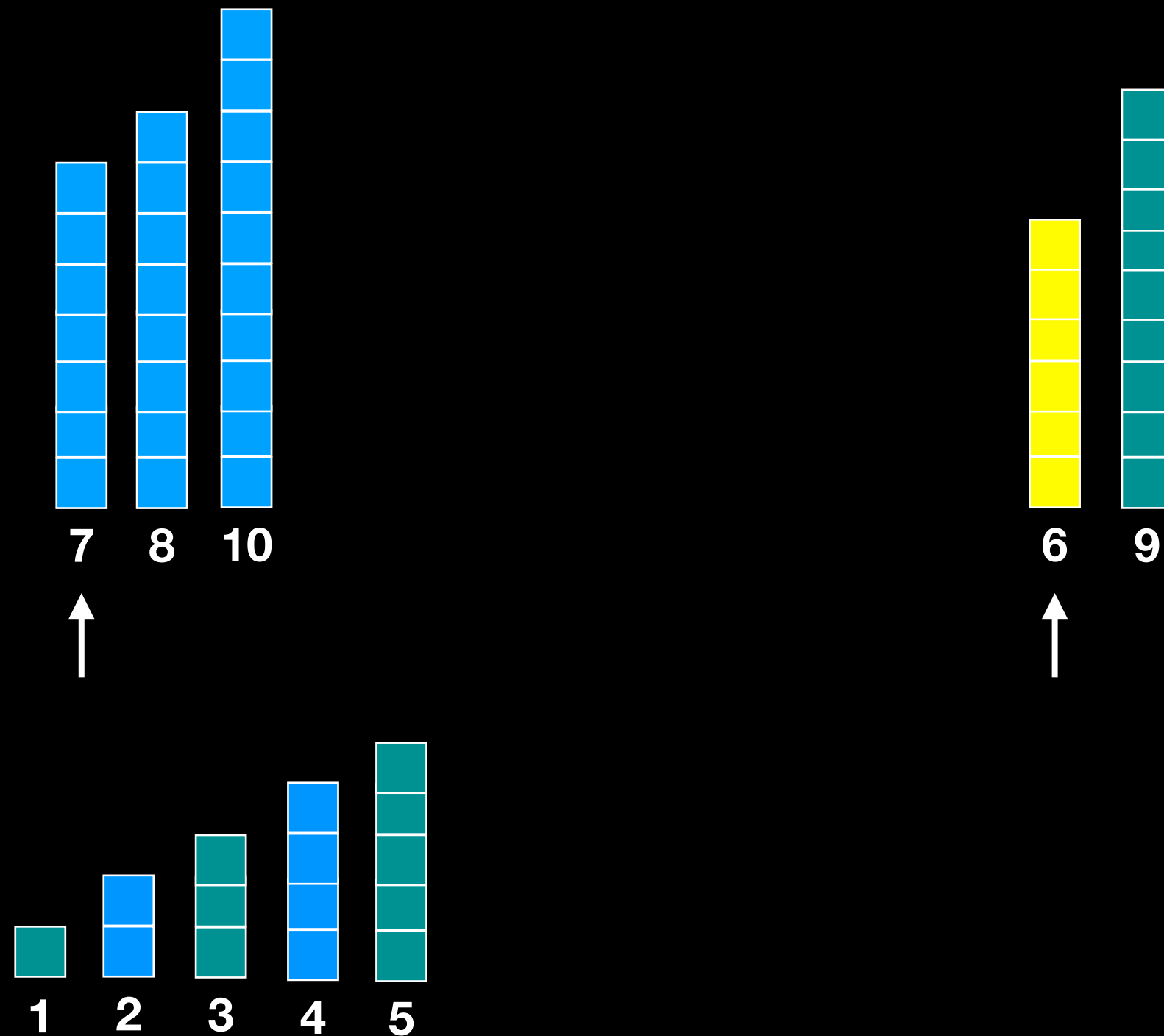
# Key Insight: Merge is linear



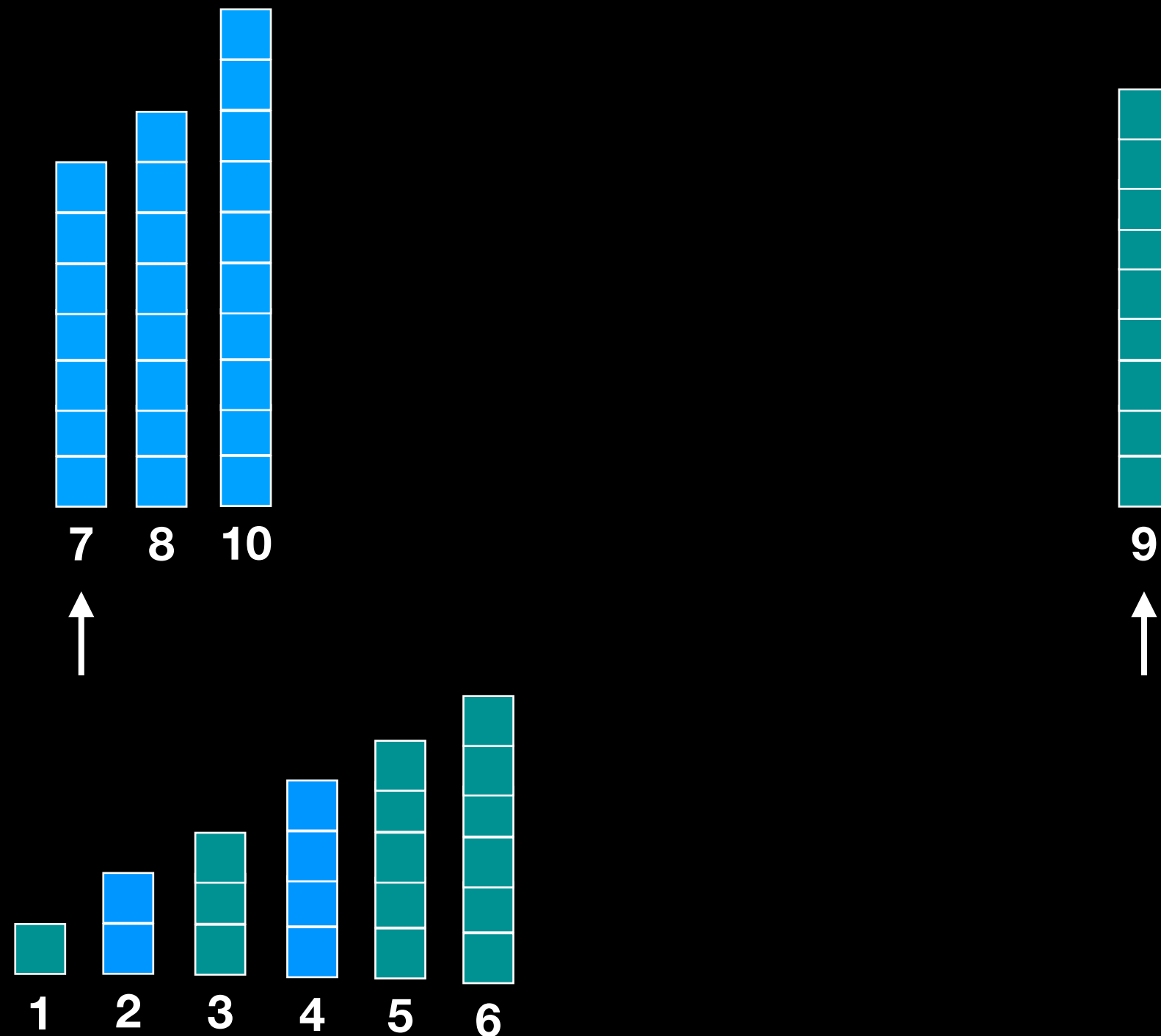
# Key Insight: Merge is linear



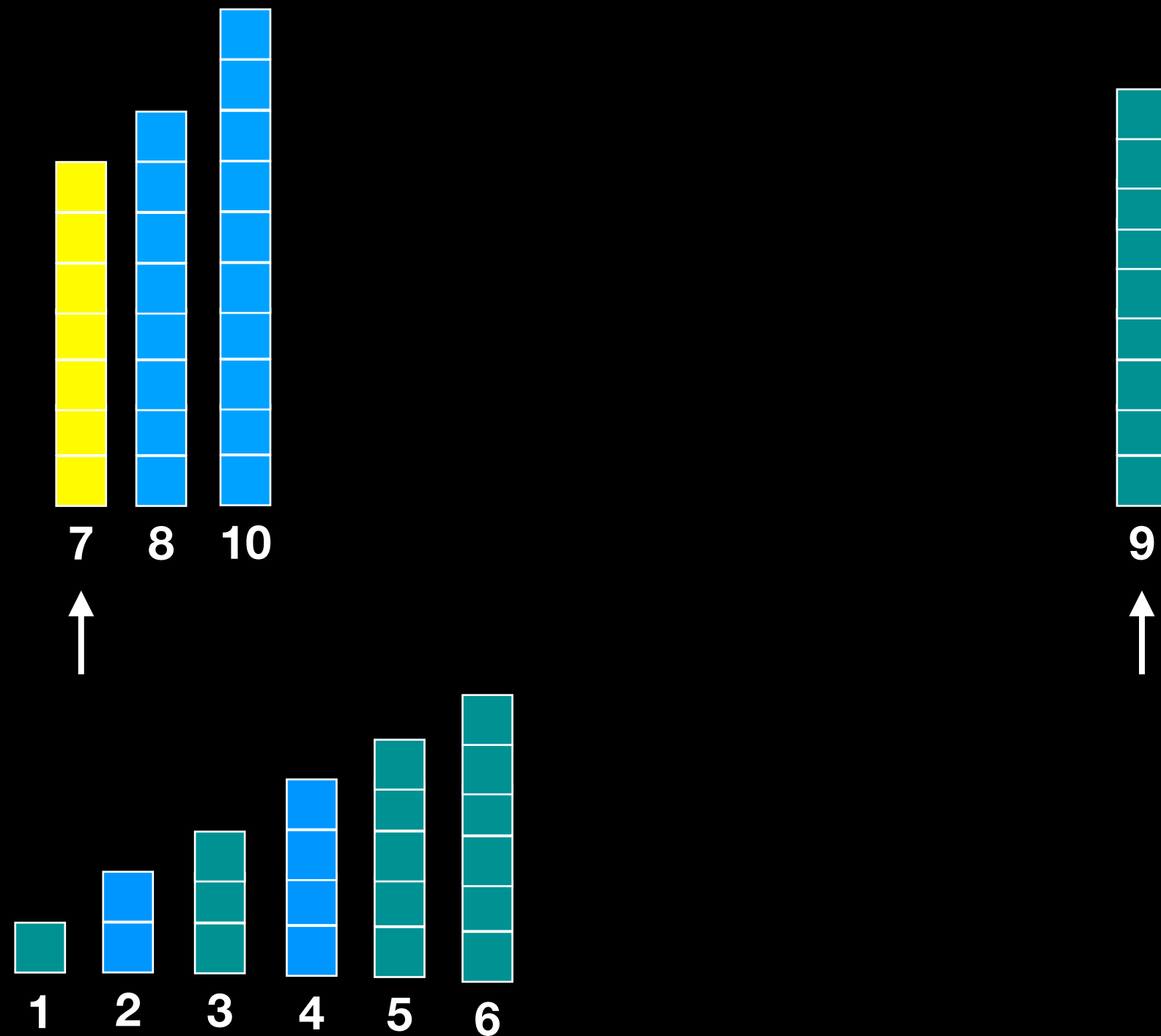
# Key Insight: Merge is linear



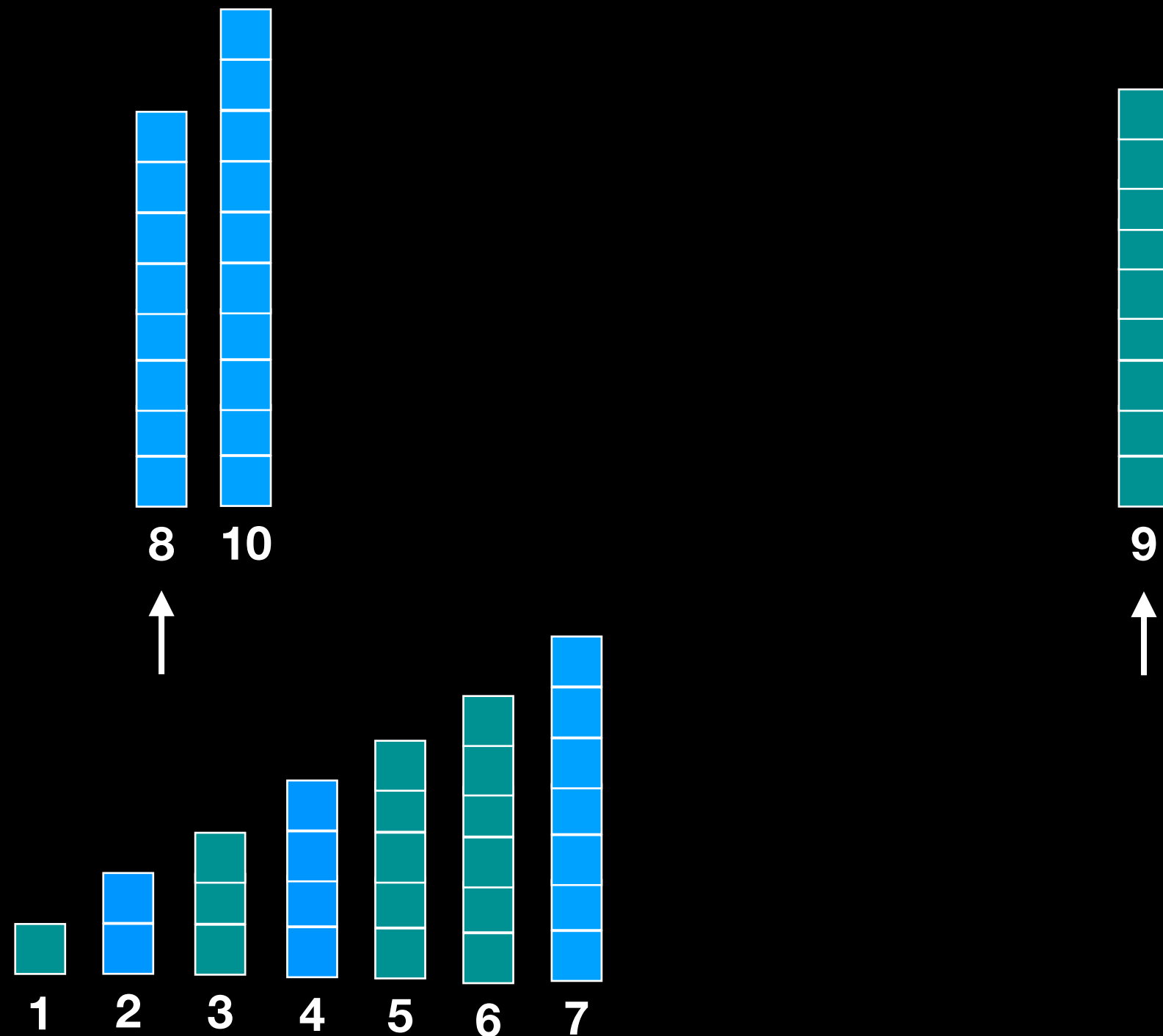
# Key Insight: Merge is linear



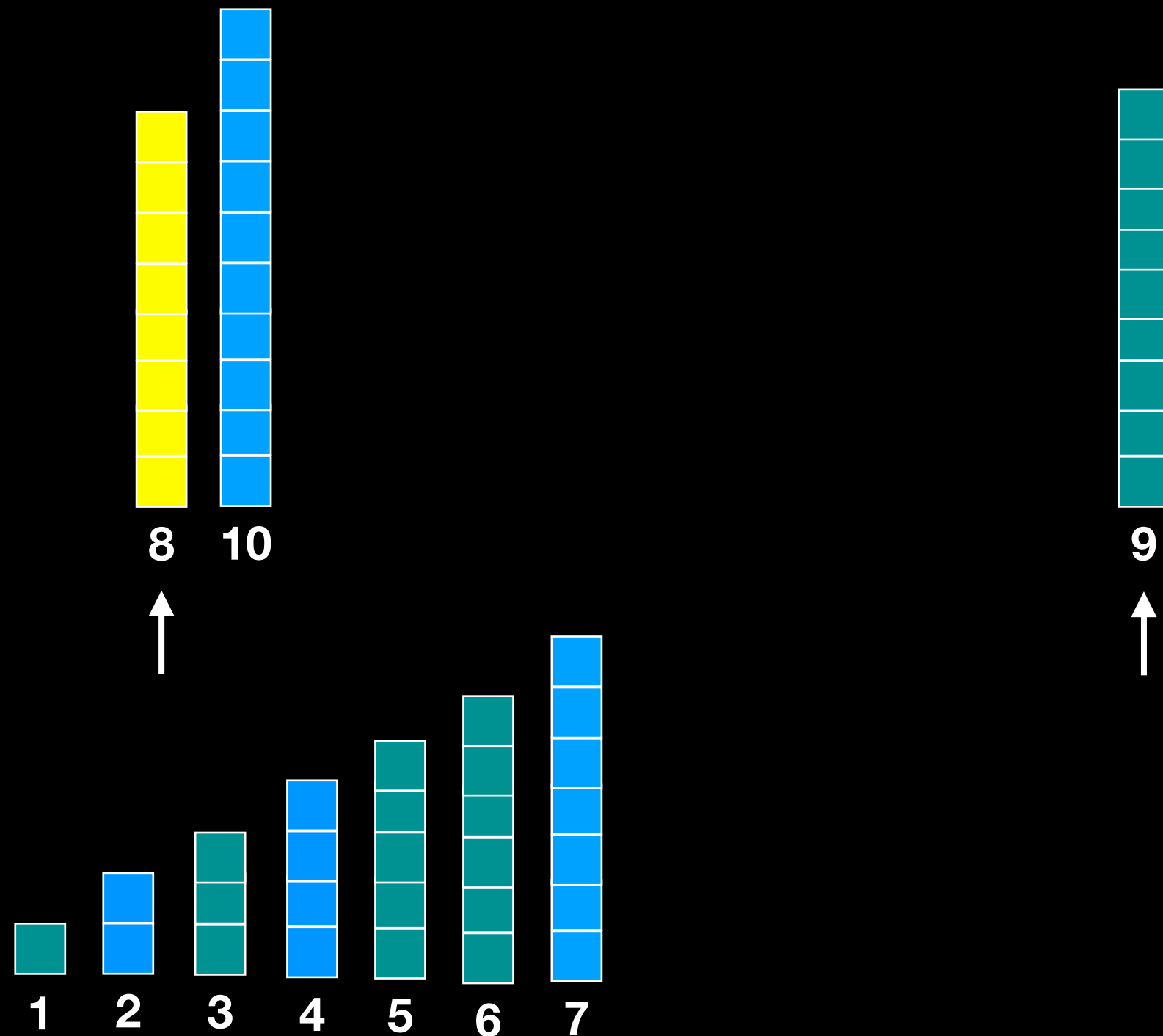
# Key Insight: Merge is linear



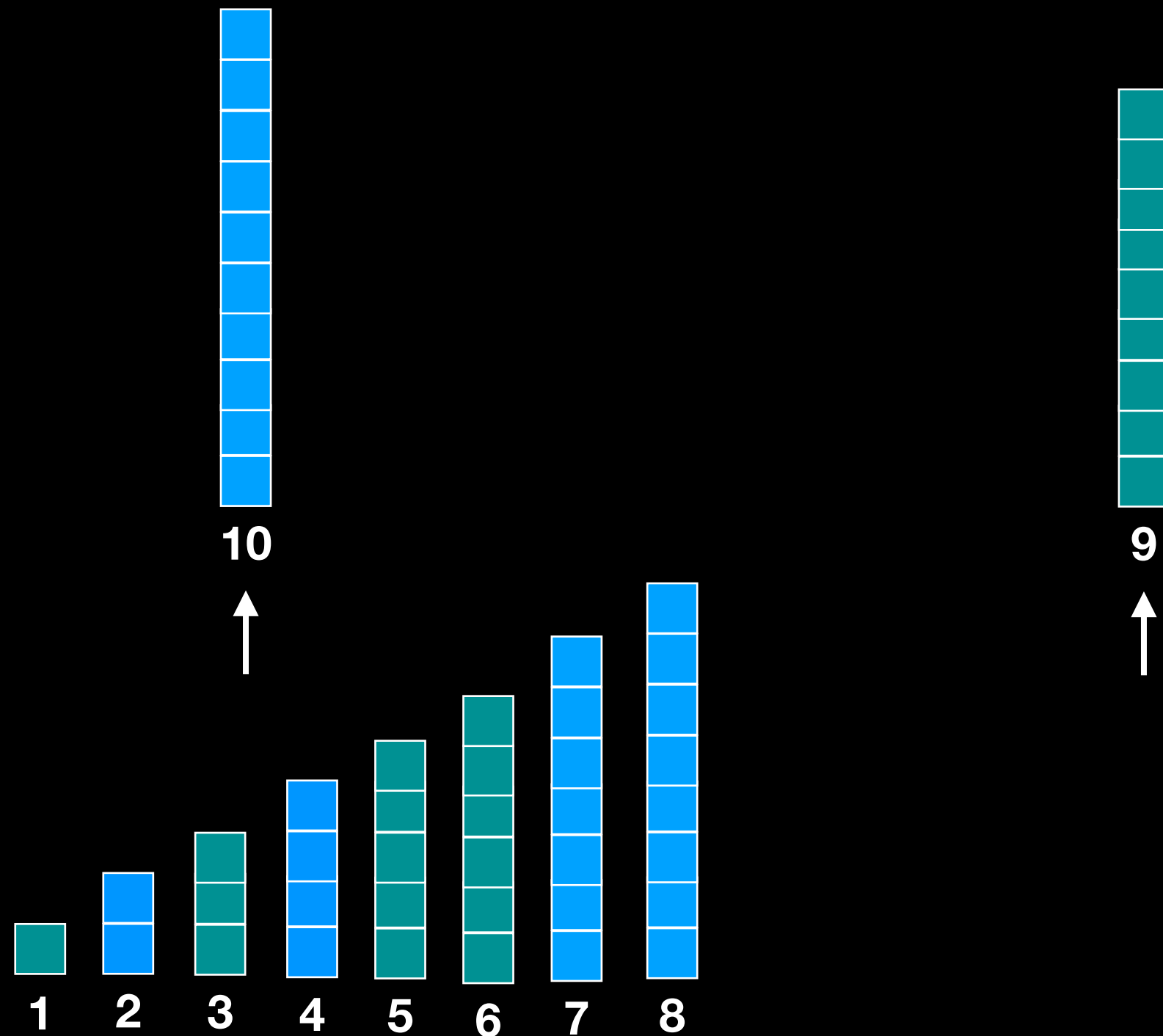
# Key Insight: Merge is linear



# Key Insight: Merge is linear

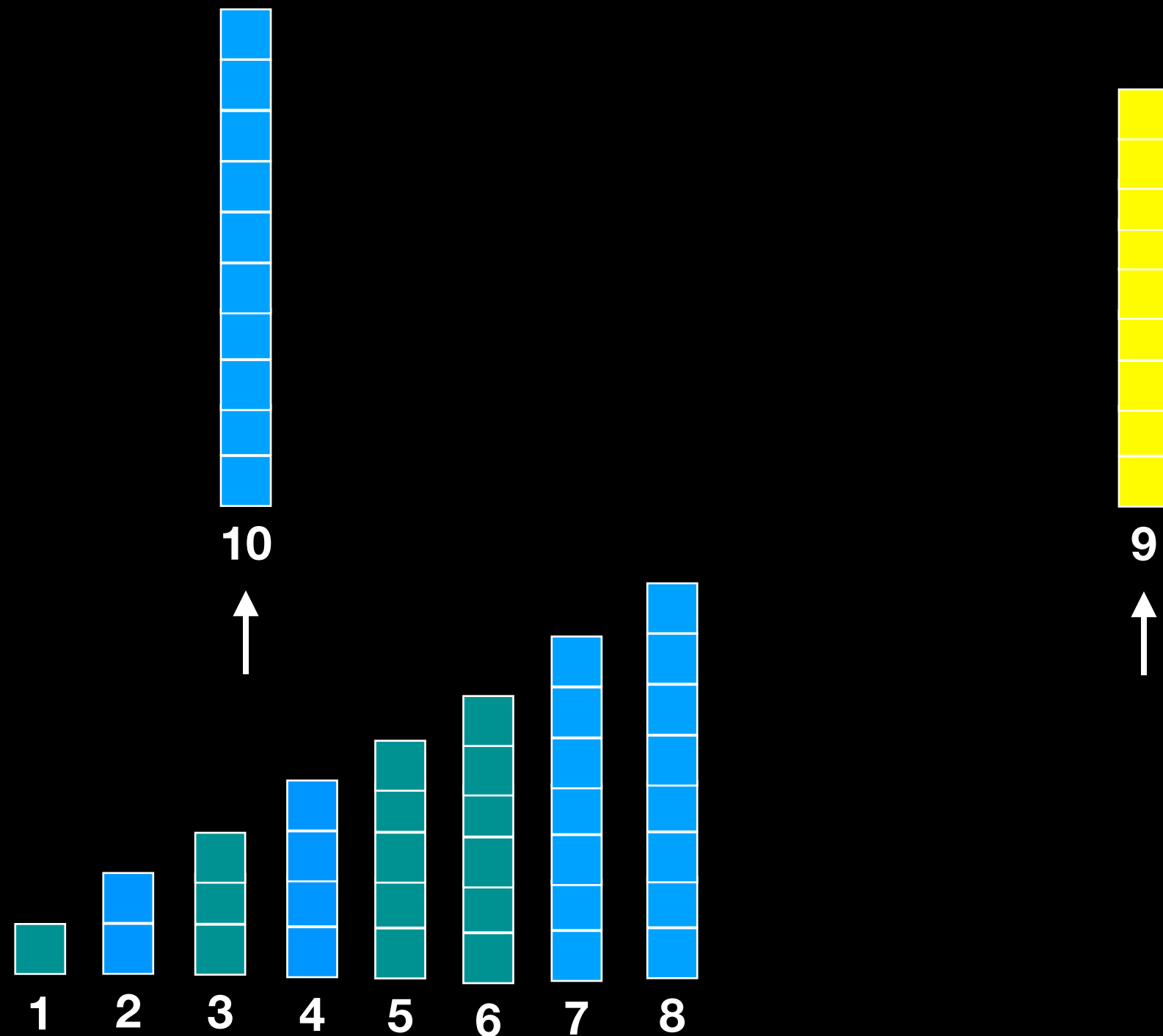


# Key Insight: Merge is linear

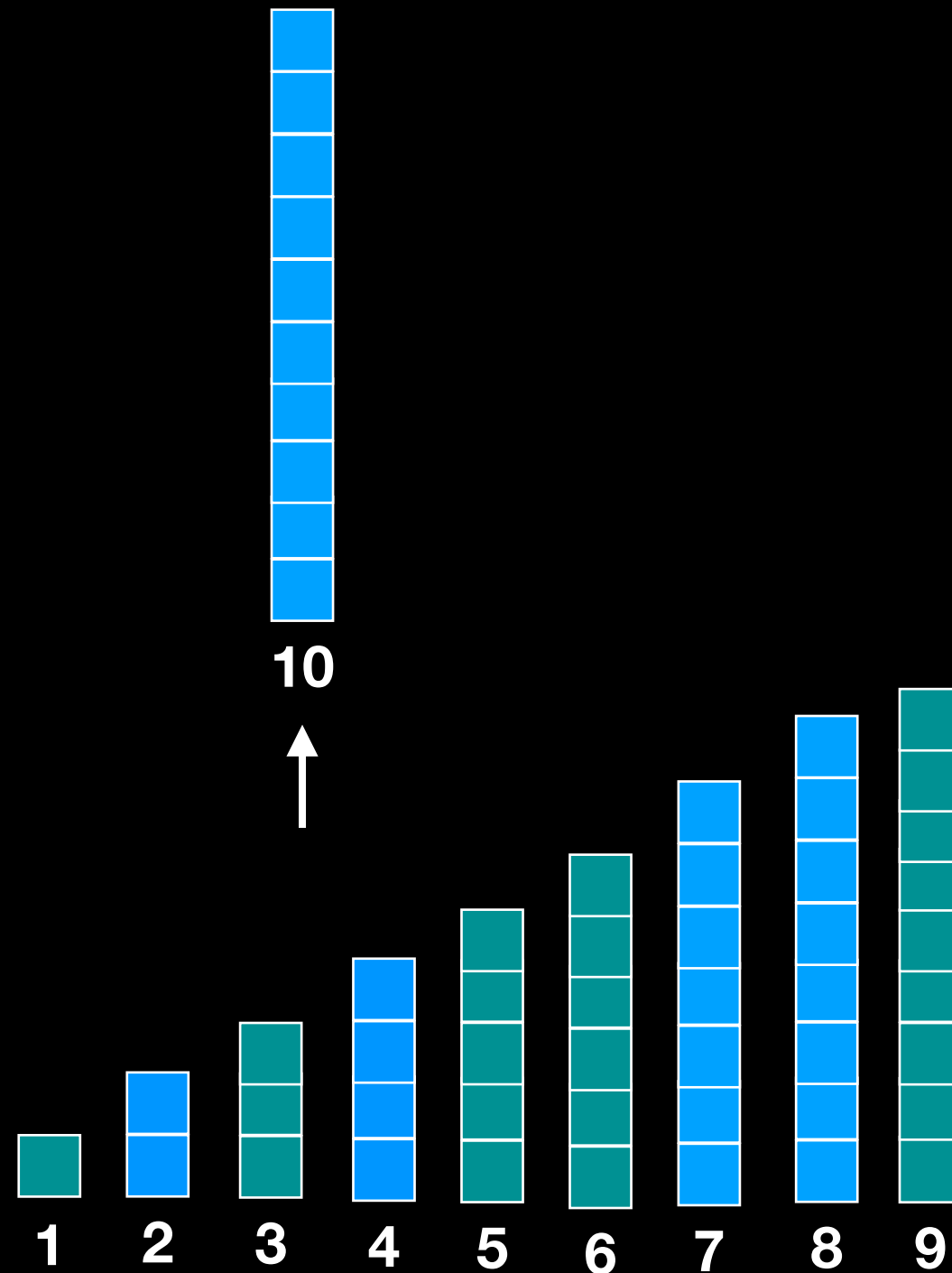




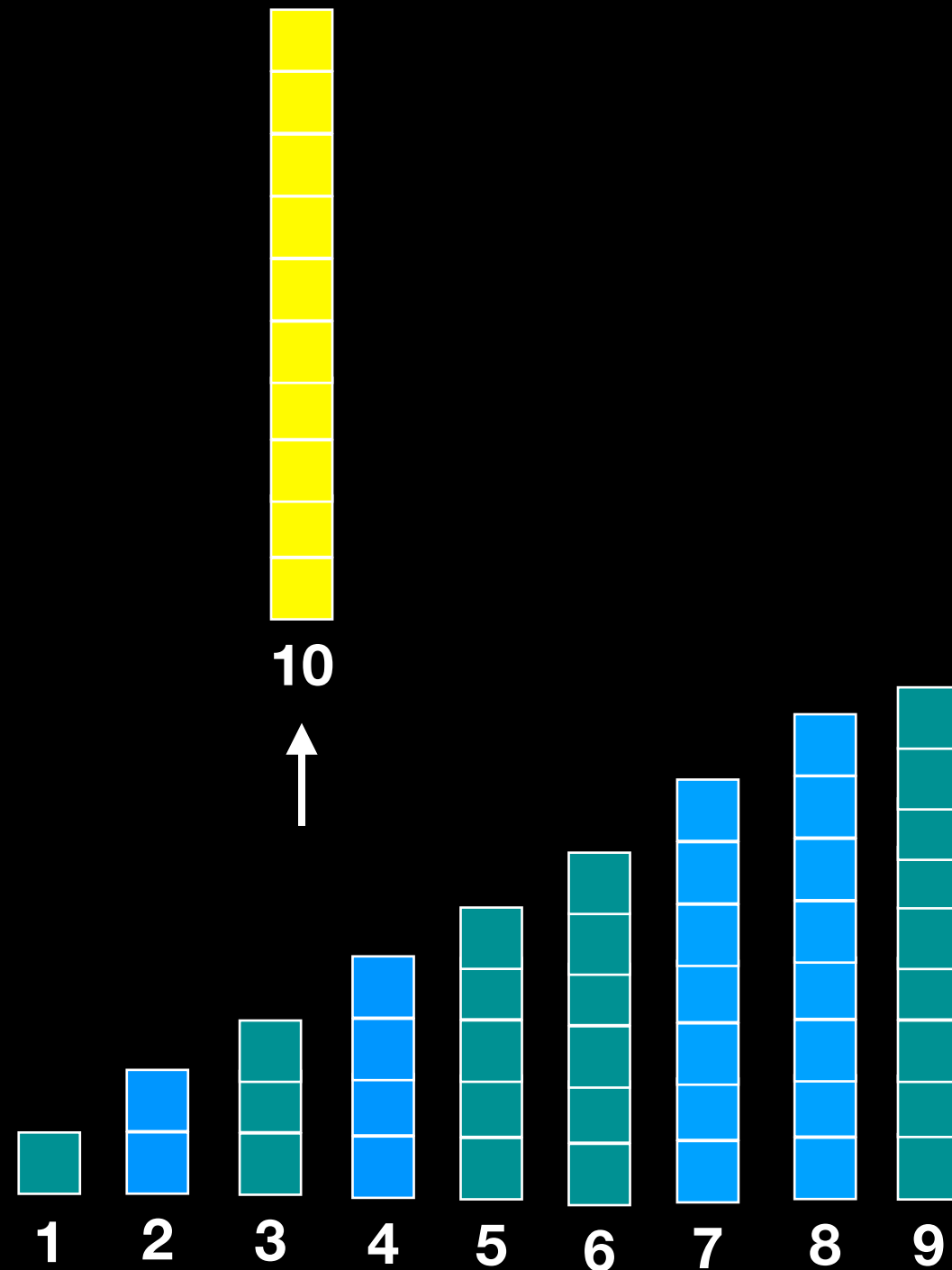
# Key Insight: Merge is linear



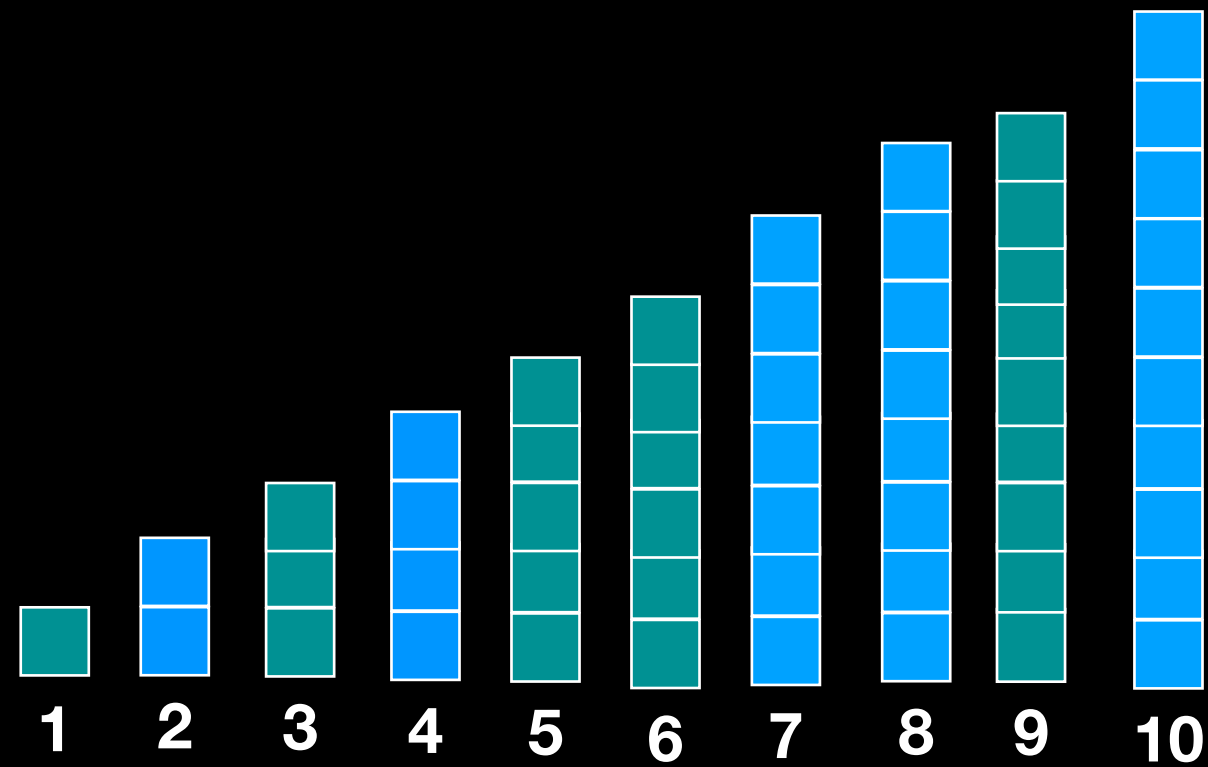
# Key Insight: Merge is linear



# Key Insight: Merge is linear



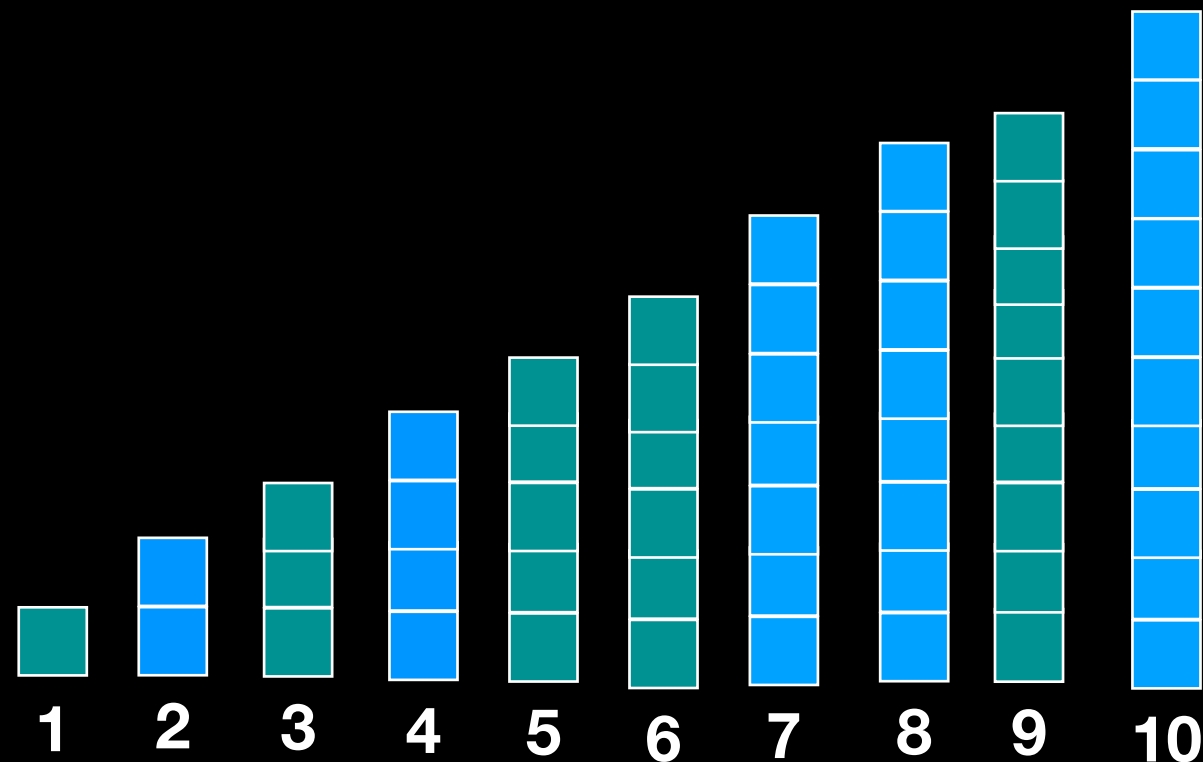
# Key Insight: Merge is linear



# Key Insight: Merge is linear

Each step makes one comparison and reduces the number of elements to be merged by 1.

If there are  $n$  total elements to be merged, merging is  $O(n)$



# Divide and Conquer

100	14	3	43	200	274	523	108	76	195	599	158	2	260	11	64	932	5
-----	----	---	----	-----	-----	-----	-----	----	-----	-----	-----	---	-----	----	----	-----	---

$T(n)$

14	43	76	100	108	200	274	523
----	----	----	-----	-----	-----	-----	-----

$T(1/2n) \approx 1/4 T(n)$

11	64	158	195	260	599	932
----	----	-----	-----	-----	-----	-----

$T(1/2n) \approx 1/4 T(n)$

# Divide and Conquer

100	14	3	43	200	274	523	108	76	195	599	158	2	260	11	64	932	5
-----	----	---	----	-----	-----	-----	-----	----	-----	-----	-----	---	-----	----	----	-----	---

$T(n)$

14	43	76	100	108	200	274	523
----	----	----	-----	-----	-----	-----	-----

11	64	158	195	260	599	932
----	----	-----	-----	-----	-----	-----

$T(1/2n) \approx 1/4 T(n)$

$T(1/2n) \approx 1/4 T(n)$

2	3	5	11	14	43	64	76	100	108	158	195	200	260	274	523	599	932
---	---	---	----	----	----	----	----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----

$T(n) \approx 1/2 T(n) + n$

Speed up insertion sort by a **factor of two** by splitting in half, sorting separately and merging results!

# Divide and Conquer

Splitting in two gives **2x improvement**.



# Divide and Conquer

Splitting in two gives 2x improvement.

Splitting in four gives 4x improvement.

# Divide and Conquer

Splitting in two gives 2x improvement.

Splitting in four gives 4x improvement.

Splitting in eight gives 8x improvement.

# Divide and Conquer

Splitting in two gives  $2x$  improvement.

Splitting in four gives  $4x$  improvement.

Splitting in eight gives  $8x$  improvement.

What if we never stop splitting?

# Merge Sort

14	3	43	200	274	523	108	76	195	599	158	2	26	11	64	932
----	---	----	-----	-----	-----	-----	----	-----	-----	-----	---	----	----	----	-----

14	3	43	200	274	523	108	76
----	---	----	-----	-----	-----	-----	----

195	599	158	2	26	11	64	932
-----	-----	-----	---	----	----	----	-----

14	3	43	200
----	---	----	-----

274	523	108	76
-----	-----	-----	----

195	599	158	2
-----	-----	-----	---

26	11	64	932
----	----	----	-----

14	3
----	---

43	200
----	-----

274	523
-----	-----

108	76
-----	----

195	599
-----	-----

158	2
-----	---

26	11
----	----

64	932
----	-----

14	3	43	200	274	523	108	76	195	599	158	2	26	11	64	932
----	---	----	-----	-----	-----	-----	----	-----	-----	-----	---	----	----	----	-----

# Merge Sort

14	3	43	200	274	523	108	76	195	599	158	2	26	11	64	932
----	---	----	-----	-----	-----	-----	----	-----	-----	-----	---	----	----	----	-----

14	3	43	200	274	523	108	76
----	---	----	-----	-----	-----	-----	----

195	599	158	2	26	11	64	932
-----	-----	-----	---	----	----	----	-----

14	3	43	200
----	---	----	-----

274	523	108	76
-----	-----	-----	----

195	599	158	2
-----	-----	-----	---

26	11	64	932
----	----	----	-----

14	3
----	---

43	200
----	-----

274	523
-----	-----

108	76
-----	----

195	599
-----	-----

158	2
-----	---

26	11
----	----

64	932
----	-----

14	3	43	200	274	523	108	76	195	599	158	2	26	11	64	932
----	---	----	-----	-----	-----	-----	----	-----	-----	-----	---	----	----	----	-----

# Merge Sort

14	3	43	200	274	523	108	76	195	599	158	2	26	11	64	932
----	---	----	-----	-----	-----	-----	----	-----	-----	-----	---	----	----	----	-----

14	3	43	200	274	523	108	76
----	---	----	-----	-----	-----	-----	----

195	599	158	2	26	11	64	932
-----	-----	-----	---	----	----	----	-----

14	3	43	200
----	---	----	-----

274	523	108	76
-----	-----	-----	----

195	599	158	2
-----	-----	-----	---

26	11	64	932
----	----	----	-----

3	14
---	----

43	200
----	-----

274	523
-----	-----

76	108
----	-----

195	599
-----	-----

2	158
---	-----

11	26
----	----

64	932
----	-----

14	3	43	200	274	523	108	76	195	599	158	2	26	11	64	932
----	---	----	-----	-----	-----	-----	----	-----	-----	-----	---	----	----	----	-----

# Merge Sort

14	3	43	200	274	523	108	76	195	599	158	2	26	11	64	932
----	---	----	-----	-----	-----	-----	----	-----	-----	-----	---	----	----	----	-----

14	3	43	200	274	523	108	76
----	---	----	-----	-----	-----	-----	----

195	599	158	2	26	11	64	932
-----	-----	-----	---	----	----	----	-----

3	14	43	200
---	----	----	-----

76	108	274	523
----	-----	-----	-----

2	158	195	599
---	-----	-----	-----

11	26	64	932
----	----	----	-----

3	14
---	----

43	200
----	-----

274	523
-----	-----

76	108
----	-----

195	599
-----	-----

2	158
---	-----

11	26
----	----

64	932
----	-----

14
----

3
---

43
----

200
-----

274
-----

523
-----

108
-----

76
----

195
-----

599
-----

158
-----

2
---

26
----

11
----

64
----

932
-----

# Merge Sort

14	3	43	200	274	523	108	76	195	599	158	2	26	11	64	932
----	---	----	-----	-----	-----	-----	----	-----	-----	-----	---	----	----	----	-----

3	14	43	76	108	200	274	523
---	----	----	----	-----	-----	-----	-----

2	11	26	64	158	195	599	932
---	----	----	----	-----	-----	-----	-----

3	14	43	200
---	----	----	-----

76	108	274	523
----	-----	-----	-----

2	158	195	599
---	-----	-----	-----

11	26	64	932
----	----	----	-----

3	14
---	----

43	200
----	-----

274	523
-----	-----

76	108
----	-----

195	599
-----	-----

2	158
---	-----

11	26
----	----

64	932
----	-----

14
----

3
---

43
----

200
-----

274
-----

523
-----

108
-----

76
----

195
-----

599
-----

158
-----

2
---

26
----

11
----

64
----

932
-----



# Merge Sort

2	3	11	14	26	43	64	76	108	158	195	200	274	523	599	932
---	---	----	----	----	----	----	----	-----	-----	-----	-----	-----	-----	-----	-----

3	14	43	76	108	200	274	523
---	----	----	----	-----	-----	-----	-----

2	11	26	64	158	195	599	932
---	----	----	----	-----	-----	-----	-----

3	14	43	200
---	----	----	-----

76	108	274	523
----	-----	-----	-----

2	158	195	599
---	-----	-----	-----

11	26	64	932
----	----	----	-----

3	14
---	----

43	200
----	-----

274	523
-----	-----

76	108
----	-----

195	599
-----	-----

2	158
---	-----

11	26
----	----

64	932
----	-----

14
----

3
---

43
----

200
-----

274
-----

523
-----

108
-----

76
----

195
-----

599
-----

158
-----

2
---

26
----

11
----

64
----

932
-----

# Merge Sort

2	3	11	14	26	43	64	76	108	158	195	200	274	523	599	932
---	---	----	----	----	----	----	----	-----	-----	-----	-----	-----	-----	-----	-----

3	14	43	76	108	200	274	523
---	----	----	----	-----	-----	-----	-----

2	11	26	64	158	195	599	932
---	----	----	----	-----	-----	-----	-----

3	14	43	200
---	----	----	-----

76	108	274	523
----	-----	-----	-----

2	158	195	599
---	-----	-----	-----

11	26	64	932
----	----	----	-----

3	14
---	----

43	200
----	-----

274	523
-----	-----

76	108
----	-----

195	599
-----	-----

2	158
---	-----

11	26
----	----

64	932
----	-----

14
----

3
---

43
----

200
-----

274
-----

523
-----

108
-----

76
----

195
-----

599
-----

158
-----

2
---

26
----

11
----

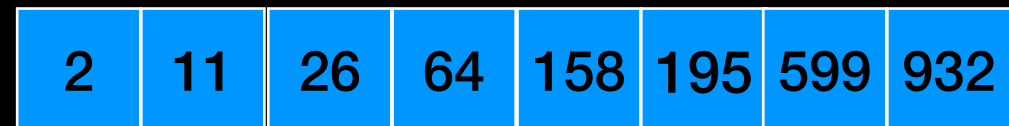
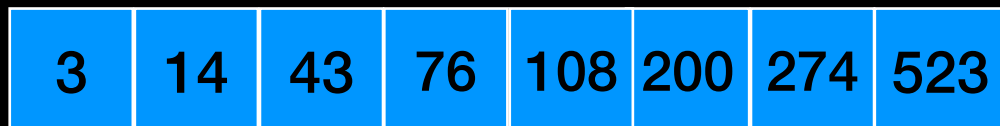
64
----

932
-----

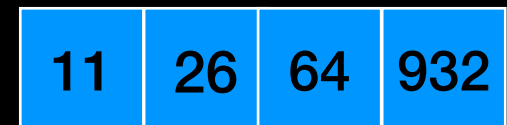
# Merge Sort Analysis



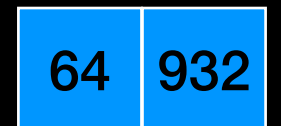
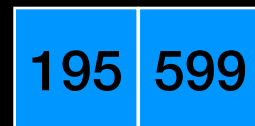
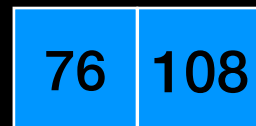
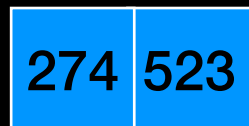
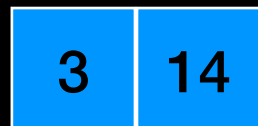
$O(n)$



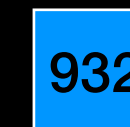
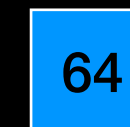
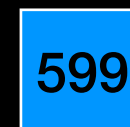
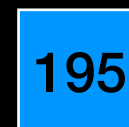
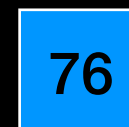
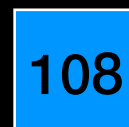
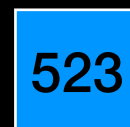
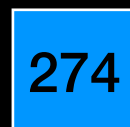
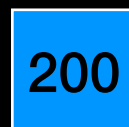
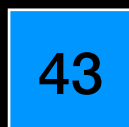
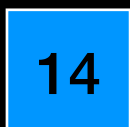
$O(n)$



$O(n)$



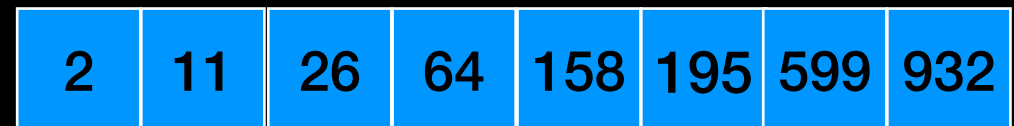
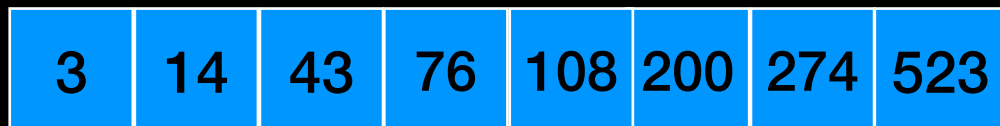
$O(n)$



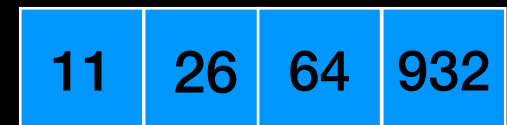
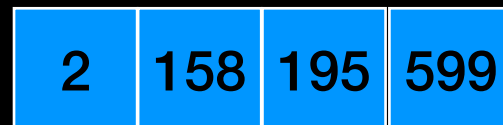
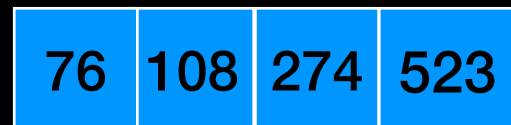
# Merge Sort Analysis



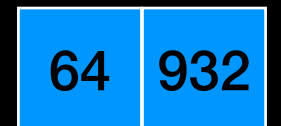
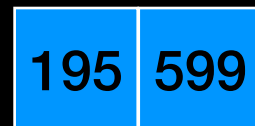
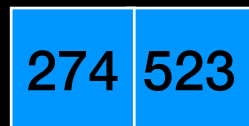
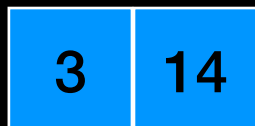
$n$



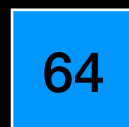
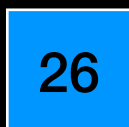
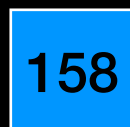
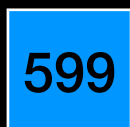
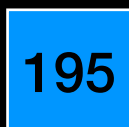
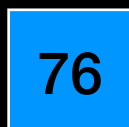
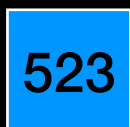
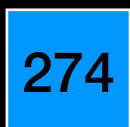
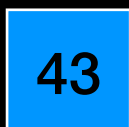
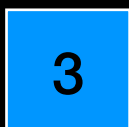
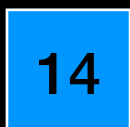
$n/2$



$n/4$



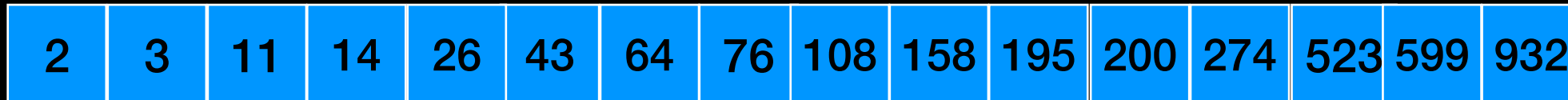
...



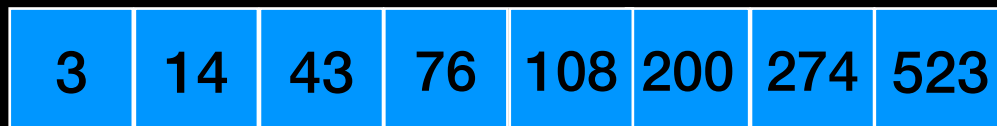
$n/2^k$

**Merge  $n$  how many times?**

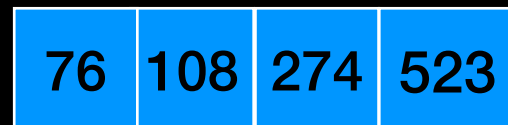
# Merge Sort Analysis



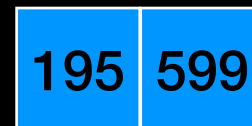
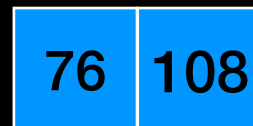
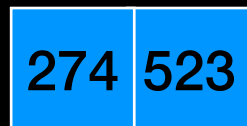
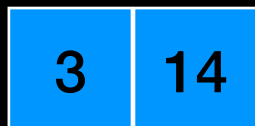
$n$



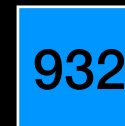
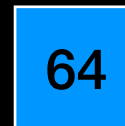
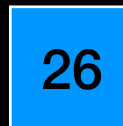
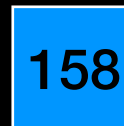
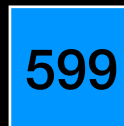
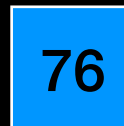
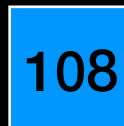
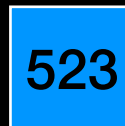
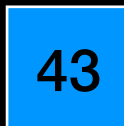
$n/2$



$n/4$



...



$n/2^k$

**Merge  $n$  how many times?  $n/2^k = 1$**

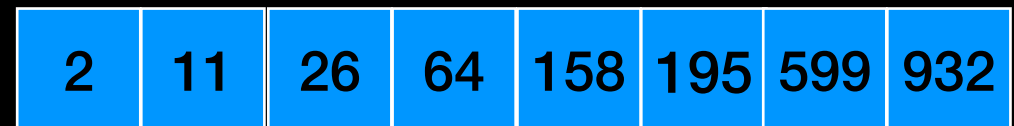
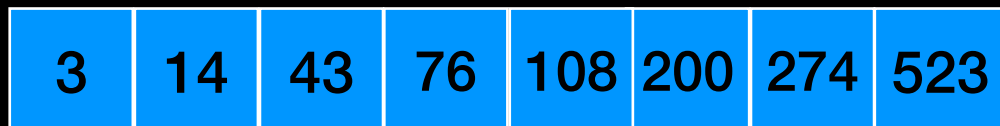
$n = 2^k$

$\log_2 n = k$

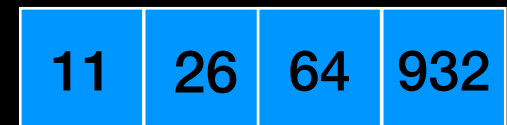
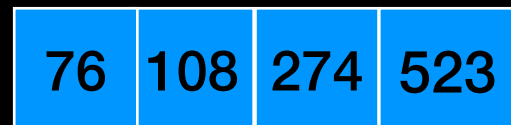
# Merge Sort Analysis



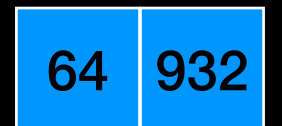
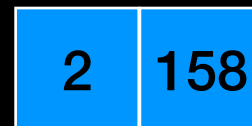
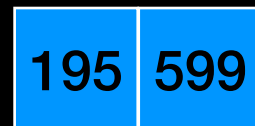
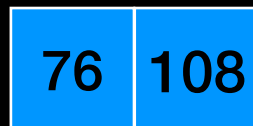
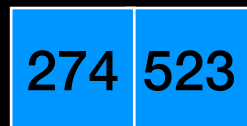
$n$



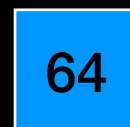
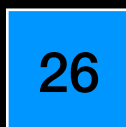
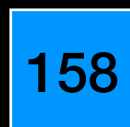
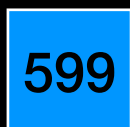
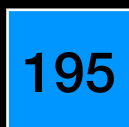
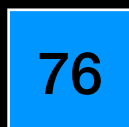
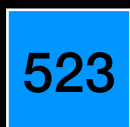
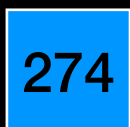
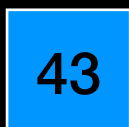
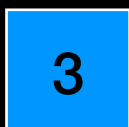
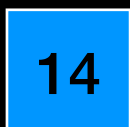
$n/2$



$n/4$



...



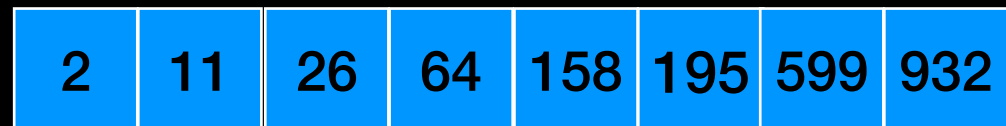
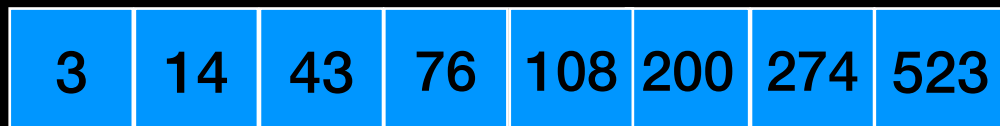
$n/2^k$

Merge  $n$  elements  $\log_2 n$  times

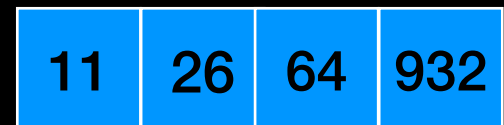
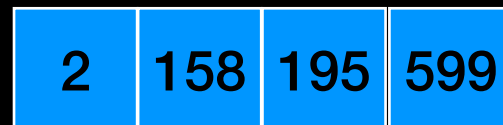
# Merge Sort Analysis



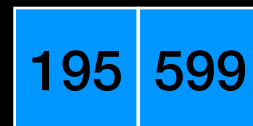
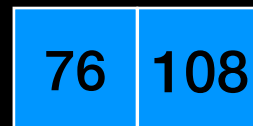
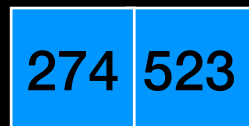
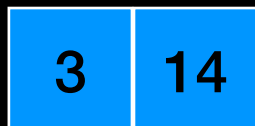
$O(n)$



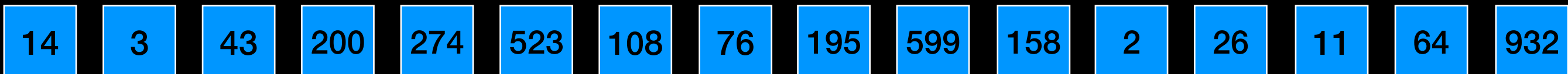
$O(n)$



$O(n)$



$O(n)$



$O(n \log n)$

# Merge Sort

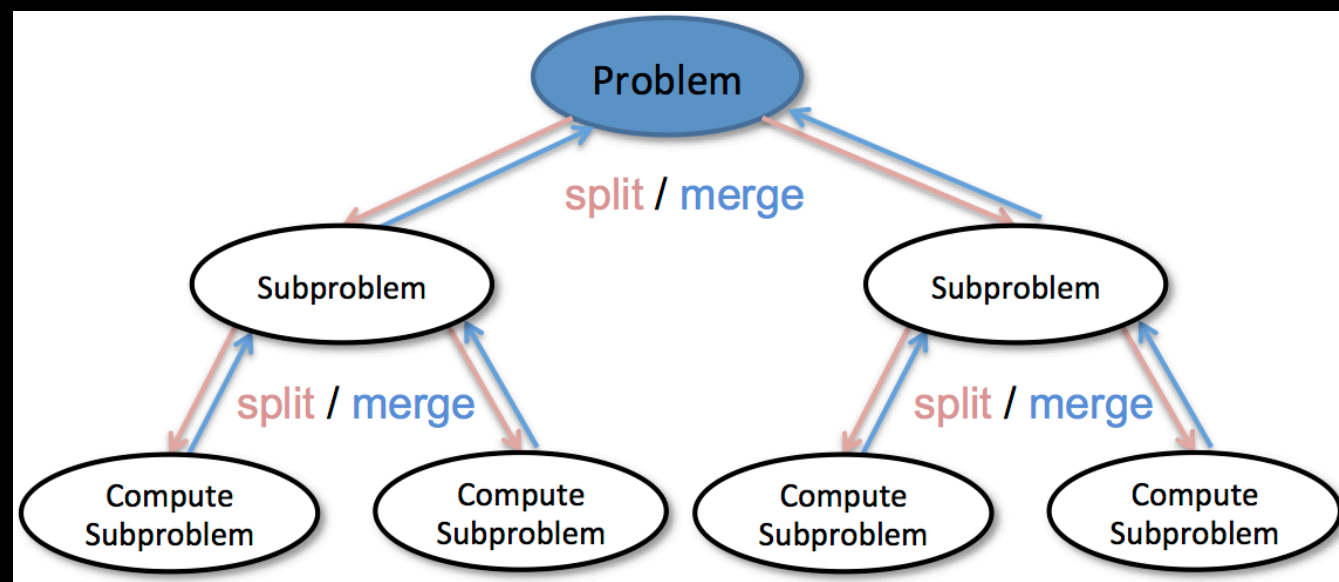
How would you code this?



# Merge Sort

How would you code this?

Hint: Divide and Conquer!!!



# Merge Sort

```
Vector mergeSort(array)
{
    if array size <= 1
        return array //base case
    split array into left_array and right_array
    → mergeSort(left_array)
    → mergeSort(right_array)

    array = merge(left_array, right_array)
    return array
}
```

Now sorted: contains left and right merged

# Merge Sort Analysis

Execution time does NOT depend on initial arrangement of data

**Worst Case:**  $O(n \log n)$  comparisons and data moves

**Best Case:**  $O(n \log n)$  comparisons and data moves

Stable

Best we can do with comparison-based sorting that does not rely on a data structure in the worst case  $\Rightarrow$  can't beat  $O(n \log n)$

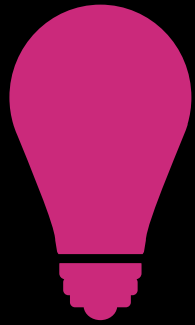
Space overhead: auxiliary array at each merge step

# What we have so far

	Worst Case	Best Case
Selection Sort	$O(n^2)$	$O(n^2)$
Insertion Sort	$O(n^2)$	$O(n)$
Bubble Sort	$O(n^2)$	$O(n)$
Merge Sort	$O(n \log n)$	$O(n \log n)$

# Quick Sort

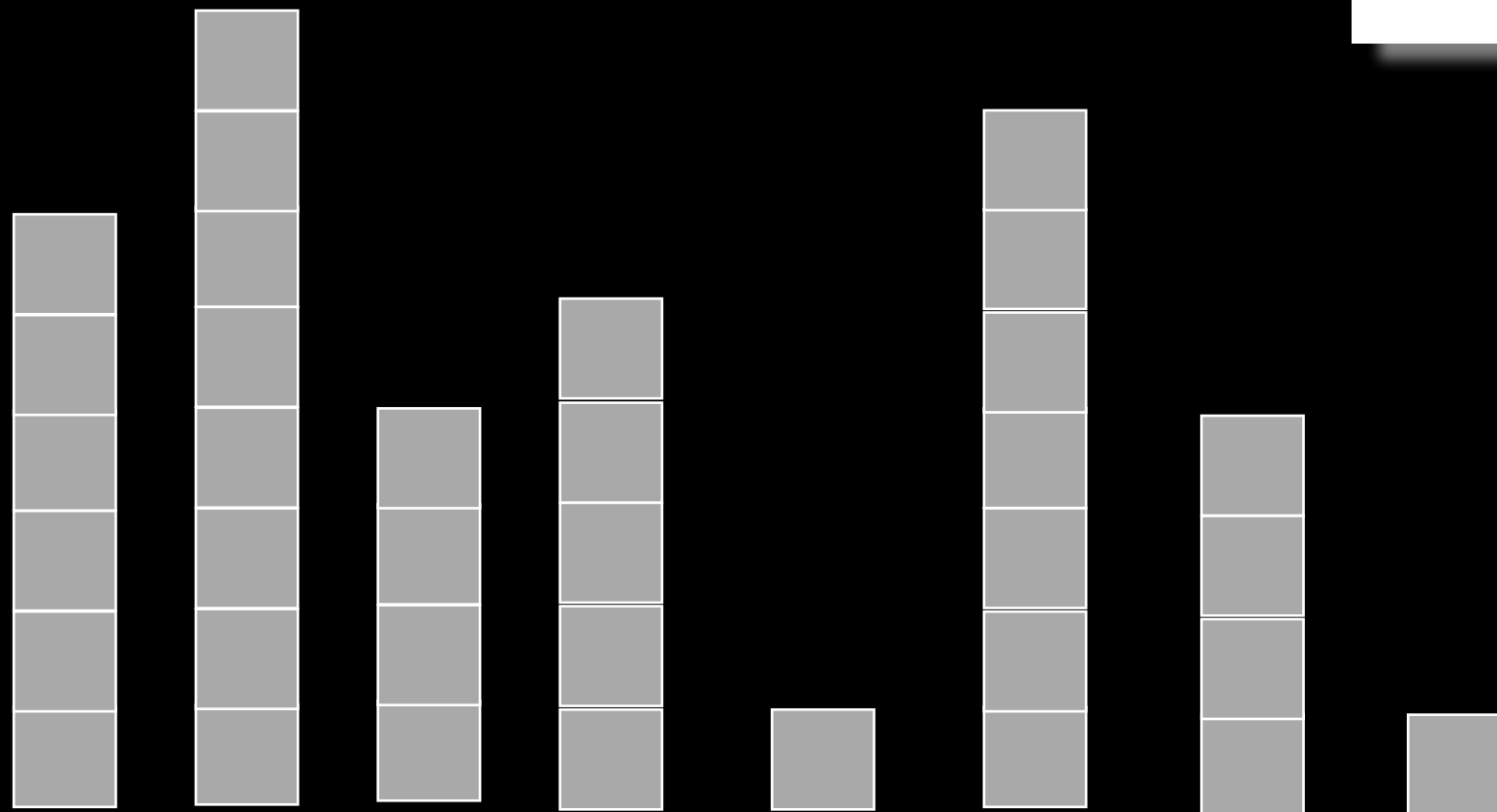
# Quick Sort



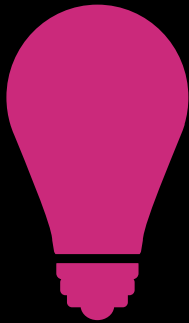
Select a pivot. Arrange other entries  
s.t. entries in **left partition** are  $\leq$  pivot  
and entries in **right partition** are  $>$  pivot

■  $\leq$  pivot  
■  $>$  pivot

**Partition**

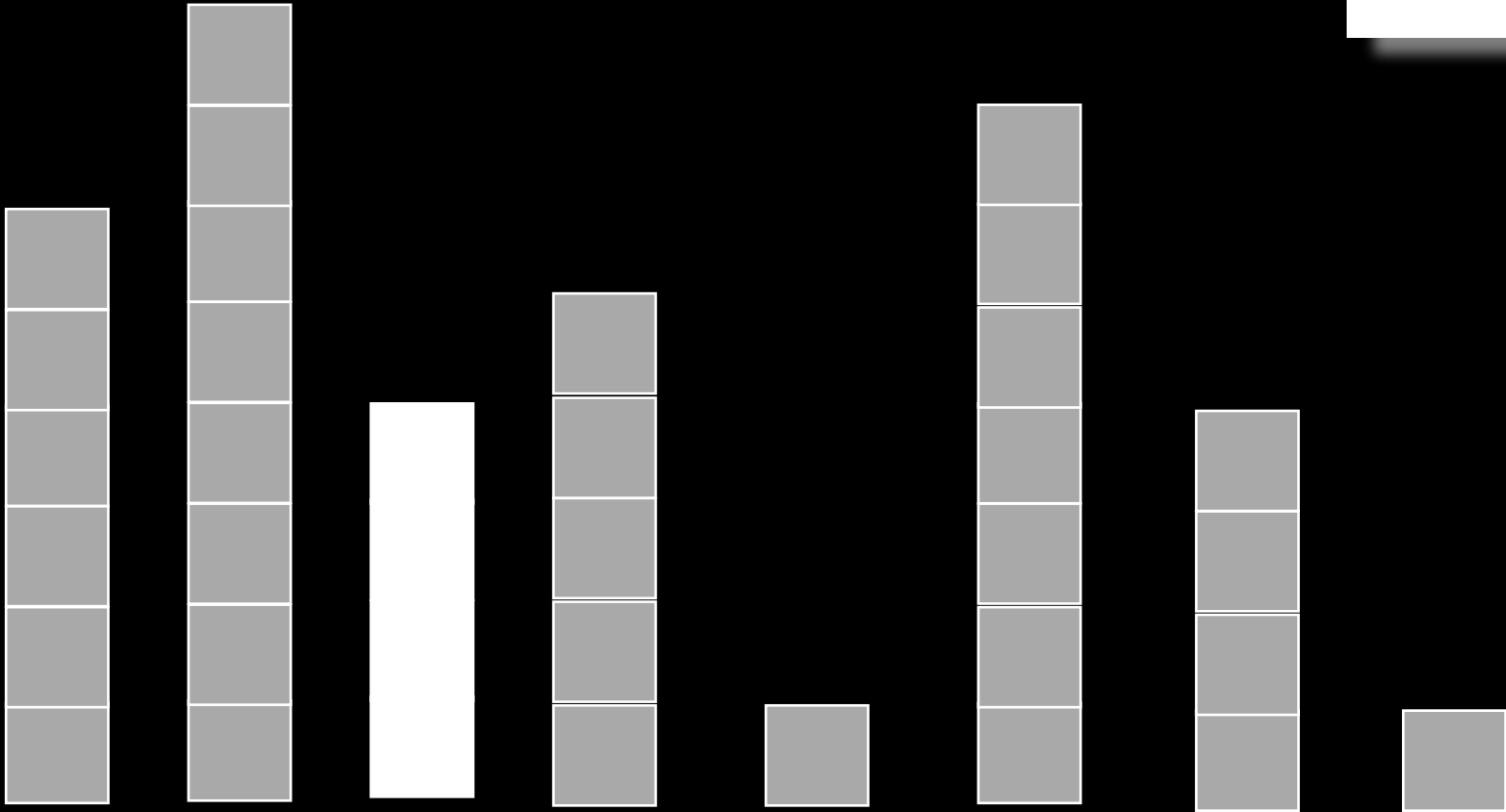


# Quick Sort



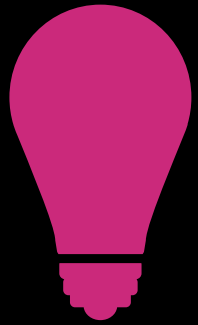
■  $\leq$  pivot  
■  $>$  pivot

**Partition**



↑  
**pivot**

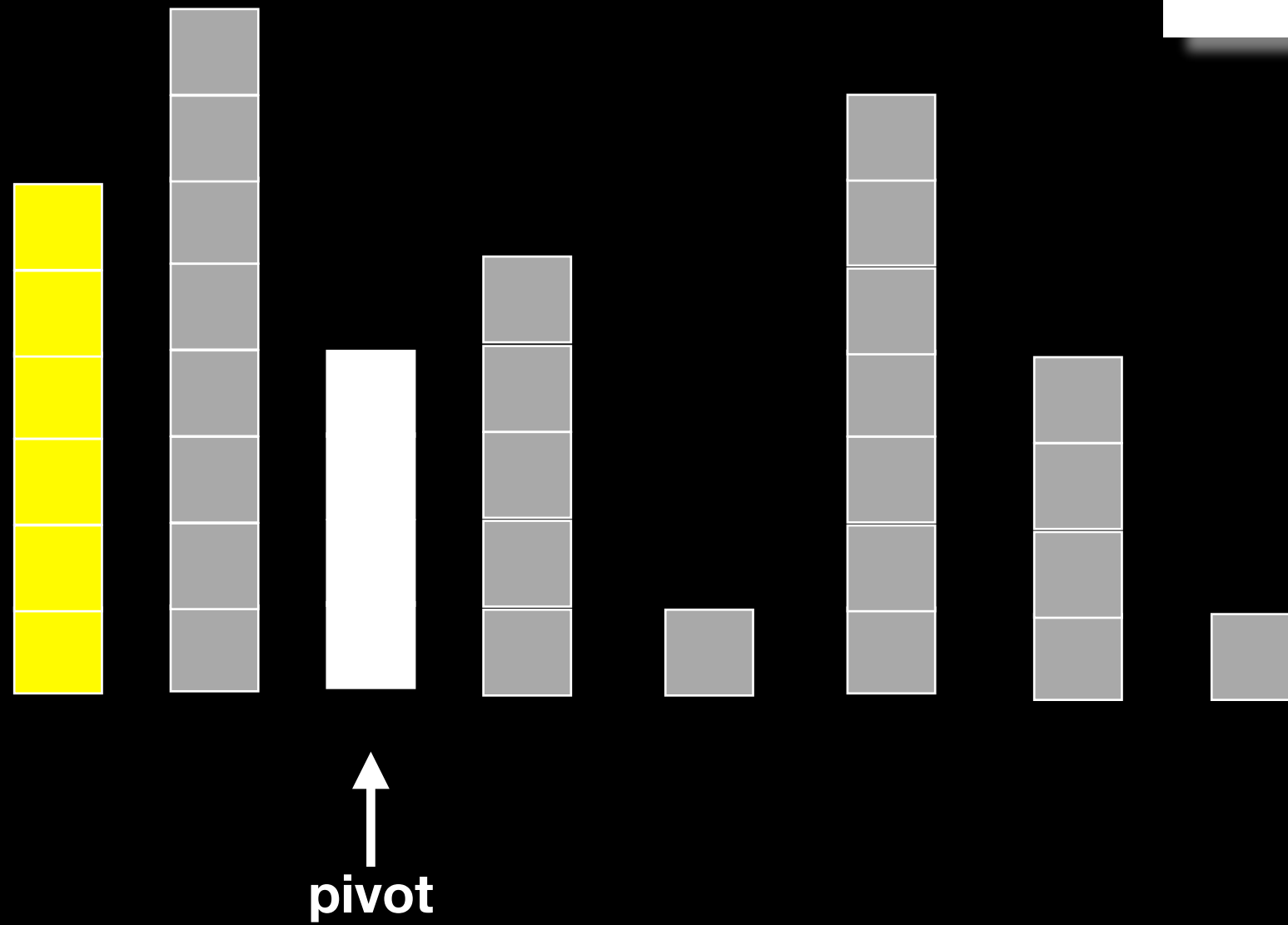
# Quick Sort



Select a pivot. Arrange other entries  
s.t. entries in **left partition** are  $\leq$  pivot  
and entries in **right partition** are  $>$  pivot

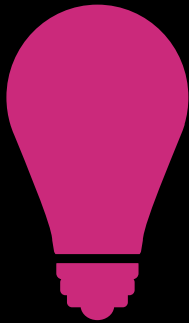
■  $\leq$  pivot  
■  $>$  pivot

**Partition**

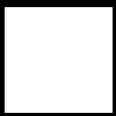





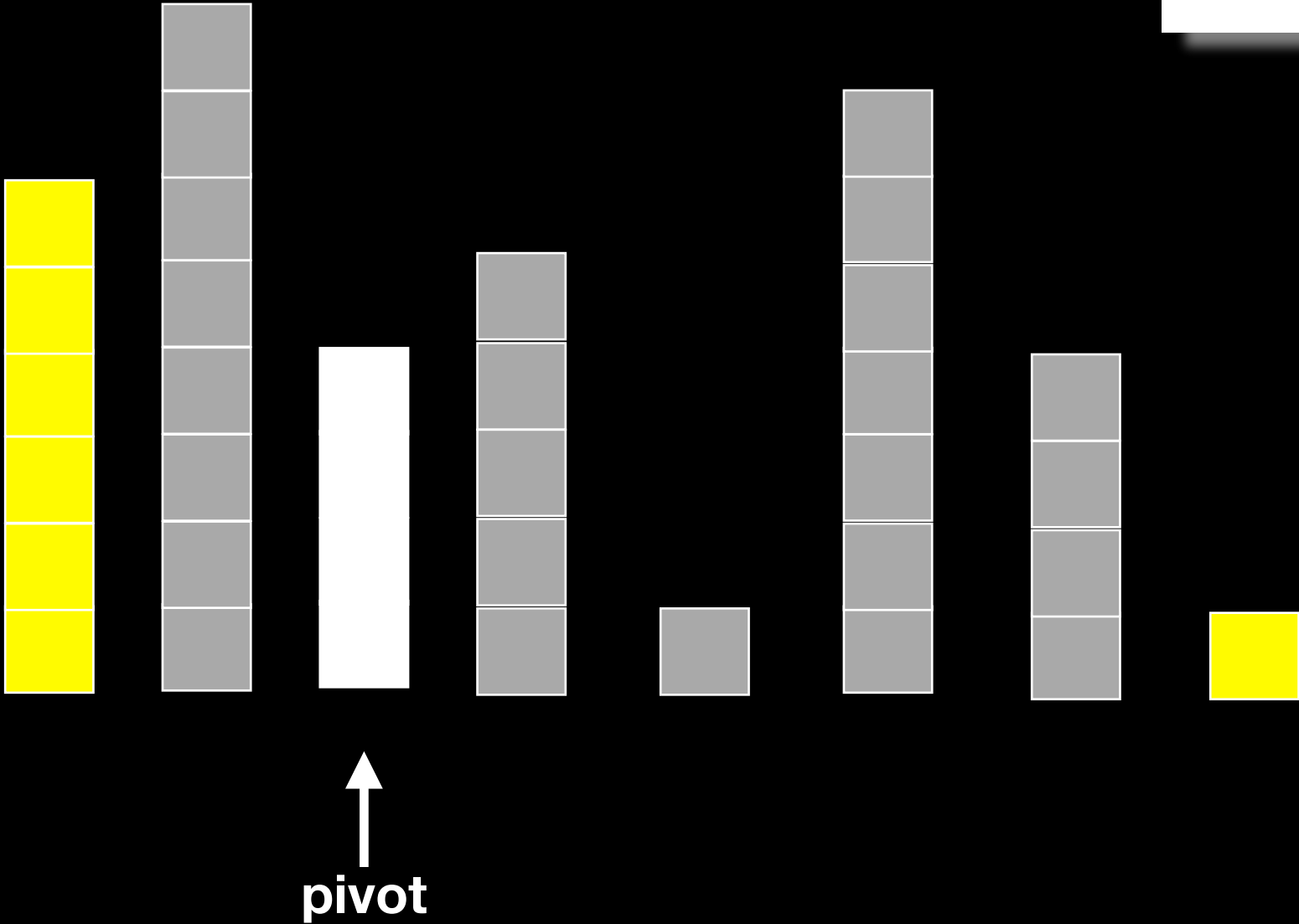
# Quick Sort



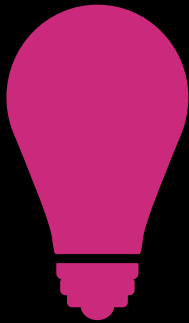
Select a pivot. Arrange other entries  
s.t. entries in **left partition** are  $\leq$  pivot  
and entries in **right partition** are  $>$  pivot

  $\leq$  pivot  
  $>$  pivot

**Partition**



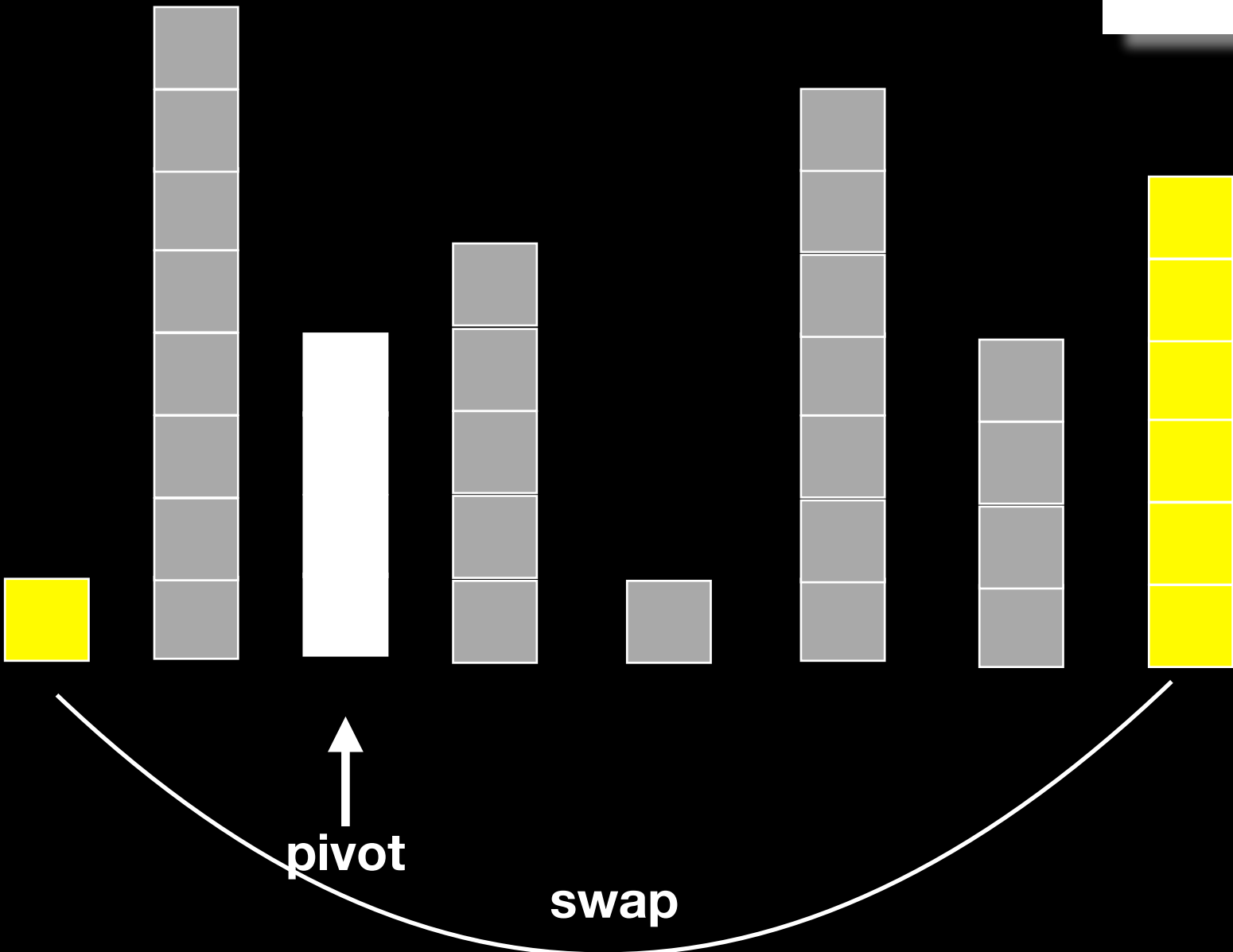
# Quick Sort



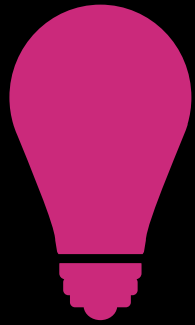
Select a pivot. Arrange other entries s.t. entries in **left partition** are  $\leq$  pivot and entries in **right partition** are  $>$  pivot

■  $\leq$  pivot  
■  $>$  pivot



**Partition**



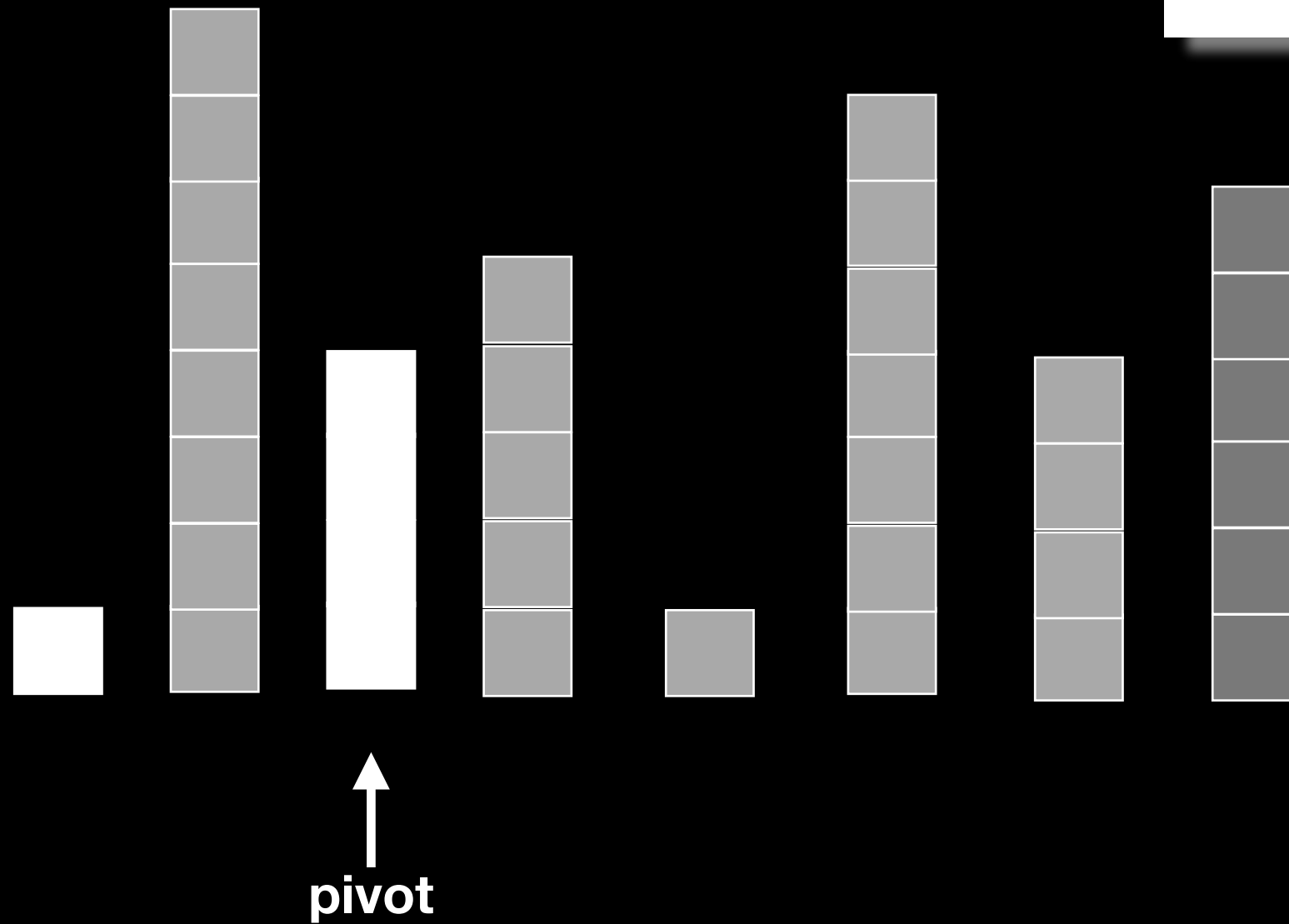
# Quick Sort



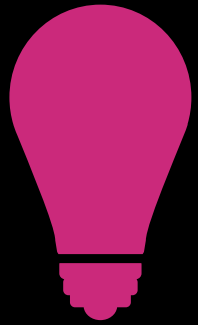
Select a pivot. Arrange other entries  
s.t. entries in **left partition** are  $\leq$  pivot  
and entries in **right partition** are  $>$  pivot

  $\leq$  pivot  
  $>$  pivot

**Partition**



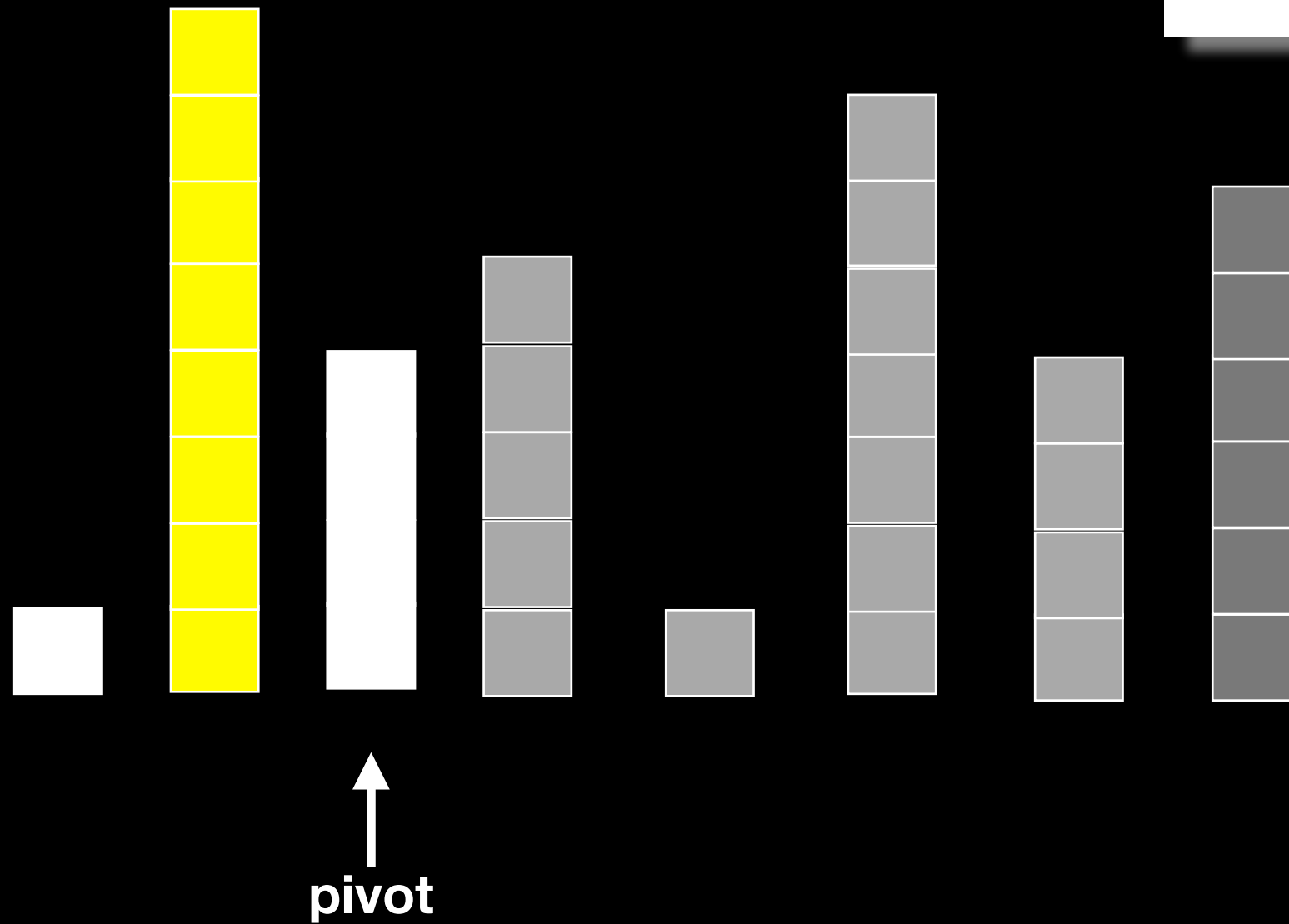
# Quick Sort



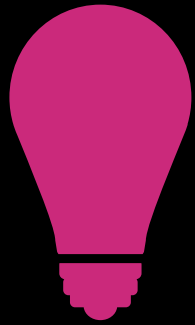
Select a pivot. Arrange other entries  
s.t. entries in **left partition** are  $\leq$  pivot  
and entries in **right partition** are  $>$  pivot

■  $\leq$  pivot  
■  $>$  pivot

**Partition**



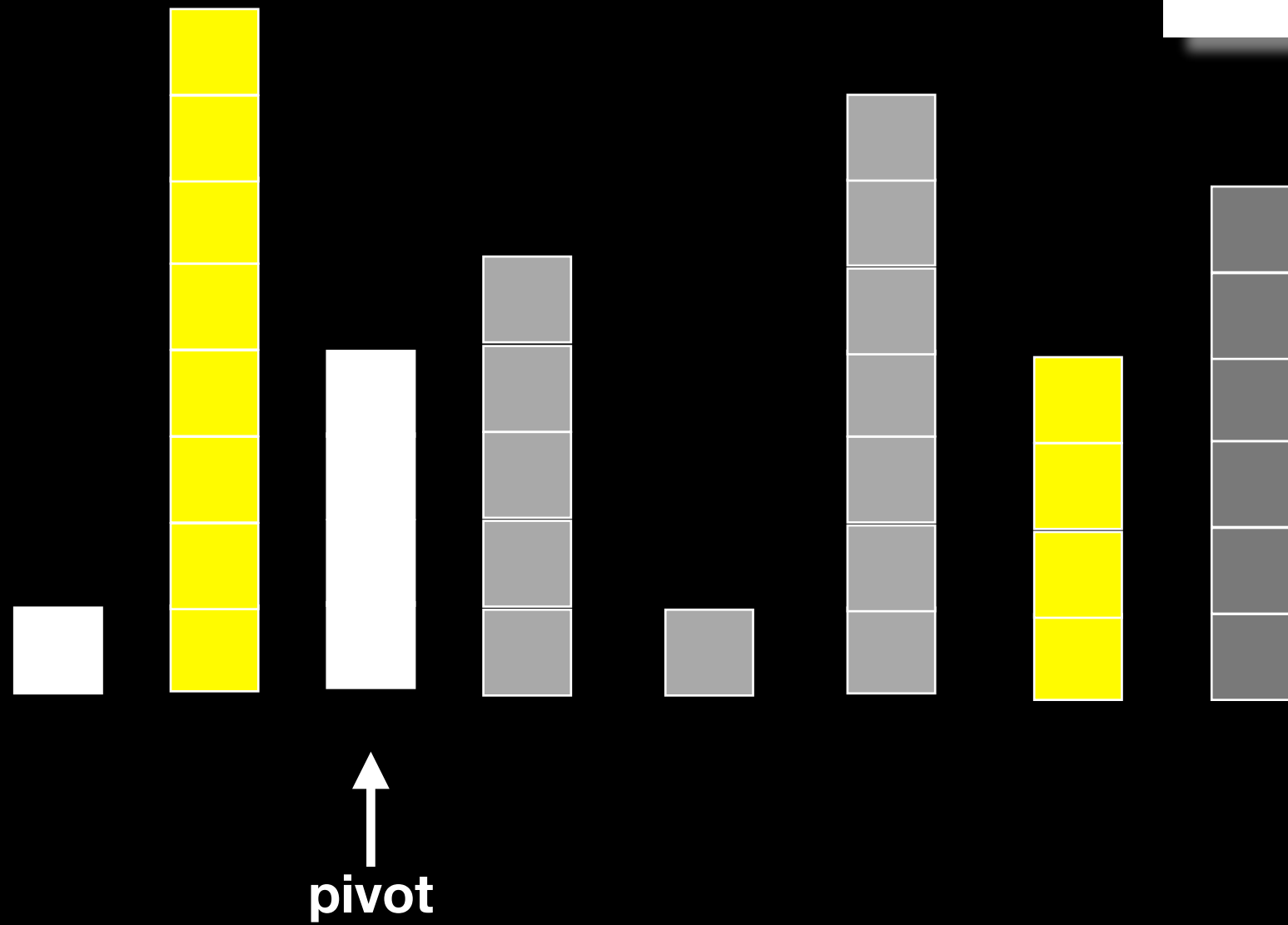
# Quick Sort



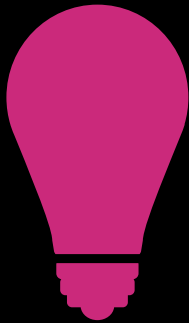
Select a pivot. Arrange other entries  
s.t. entries in **left partition** are  $\leq$  pivot  
and entries in **right partition** are  $>$  pivot

■  $\leq$  pivot  
■  $>$  pivot



**Partition**



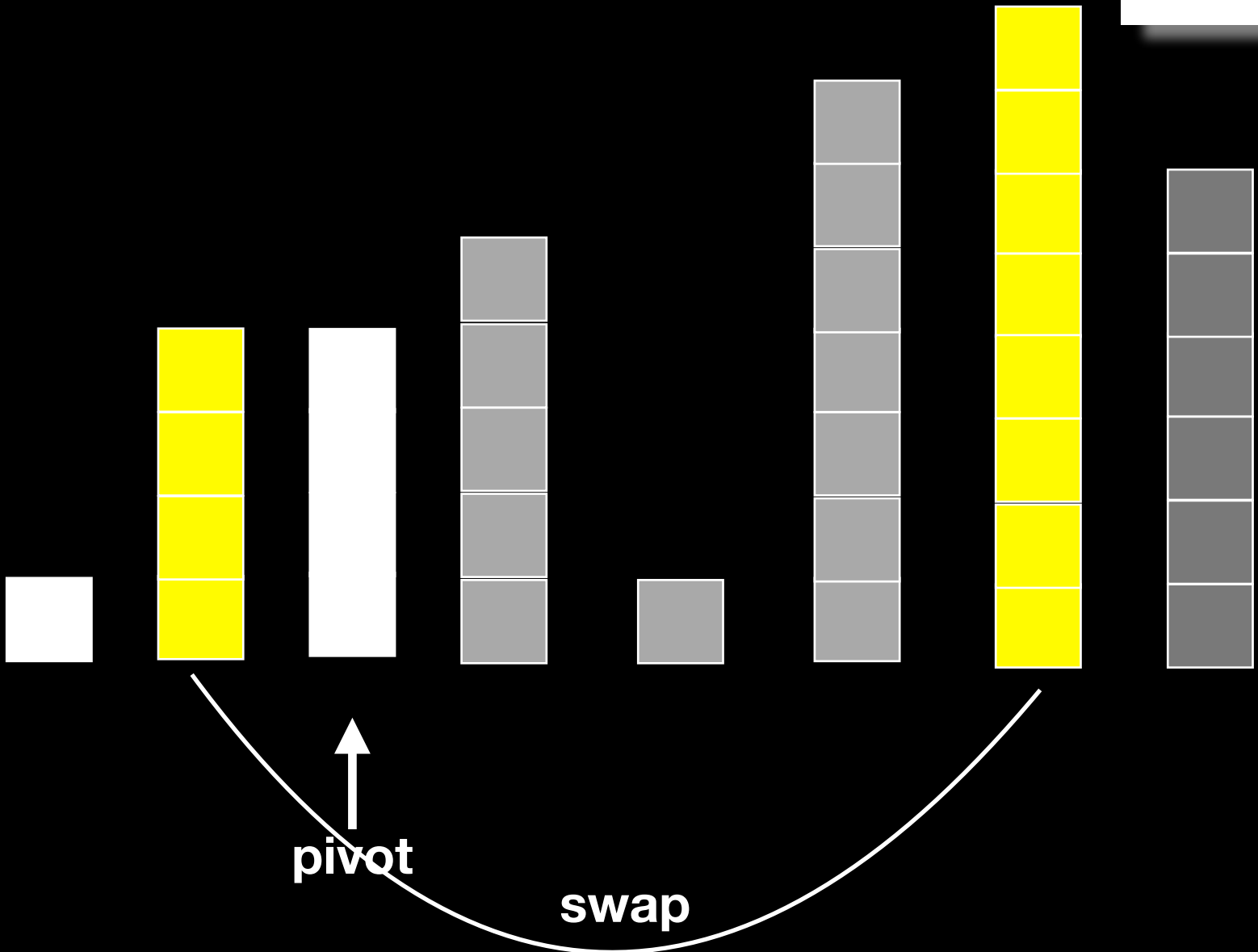
# Quick Sort



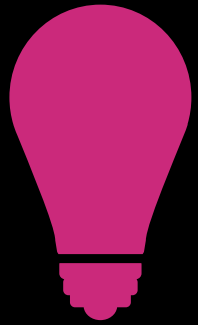
Select a pivot. Arrange other entries s.t. entries in left partition are  $\leq$  pivot and entries in right partition are  $>$  pivot

  $\leq$  pivot  
  $>$  pivot

**Partition**



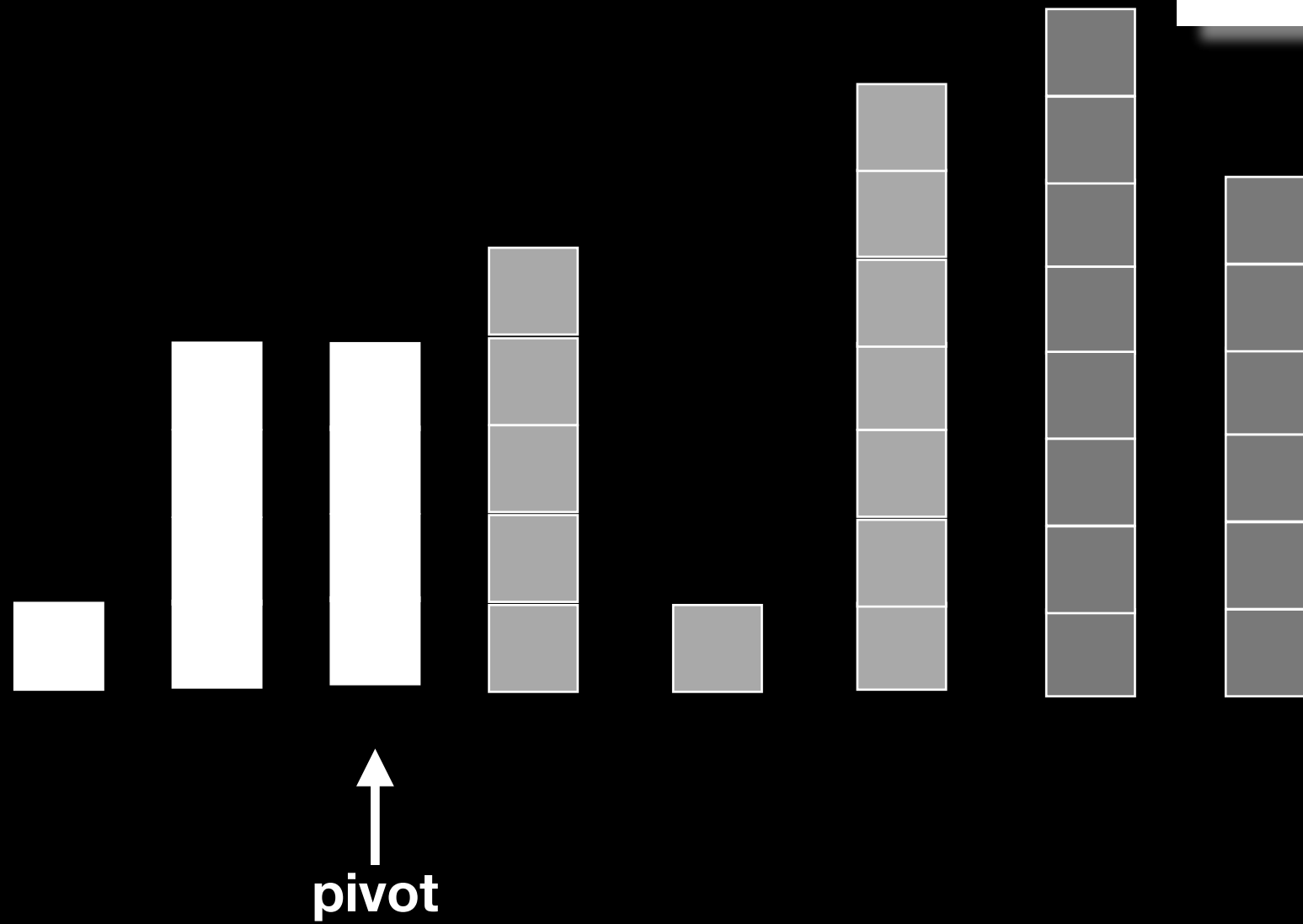
# Quick Sort



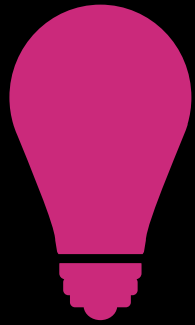
Select a pivot. Arrange other entries  
s.t. entries in **left partition** are  $\leq$  pivot  
and entries in **right partition** are  $>$  pivot

■  $\leq$  pivot  
■  $>$  pivot

**Partition**



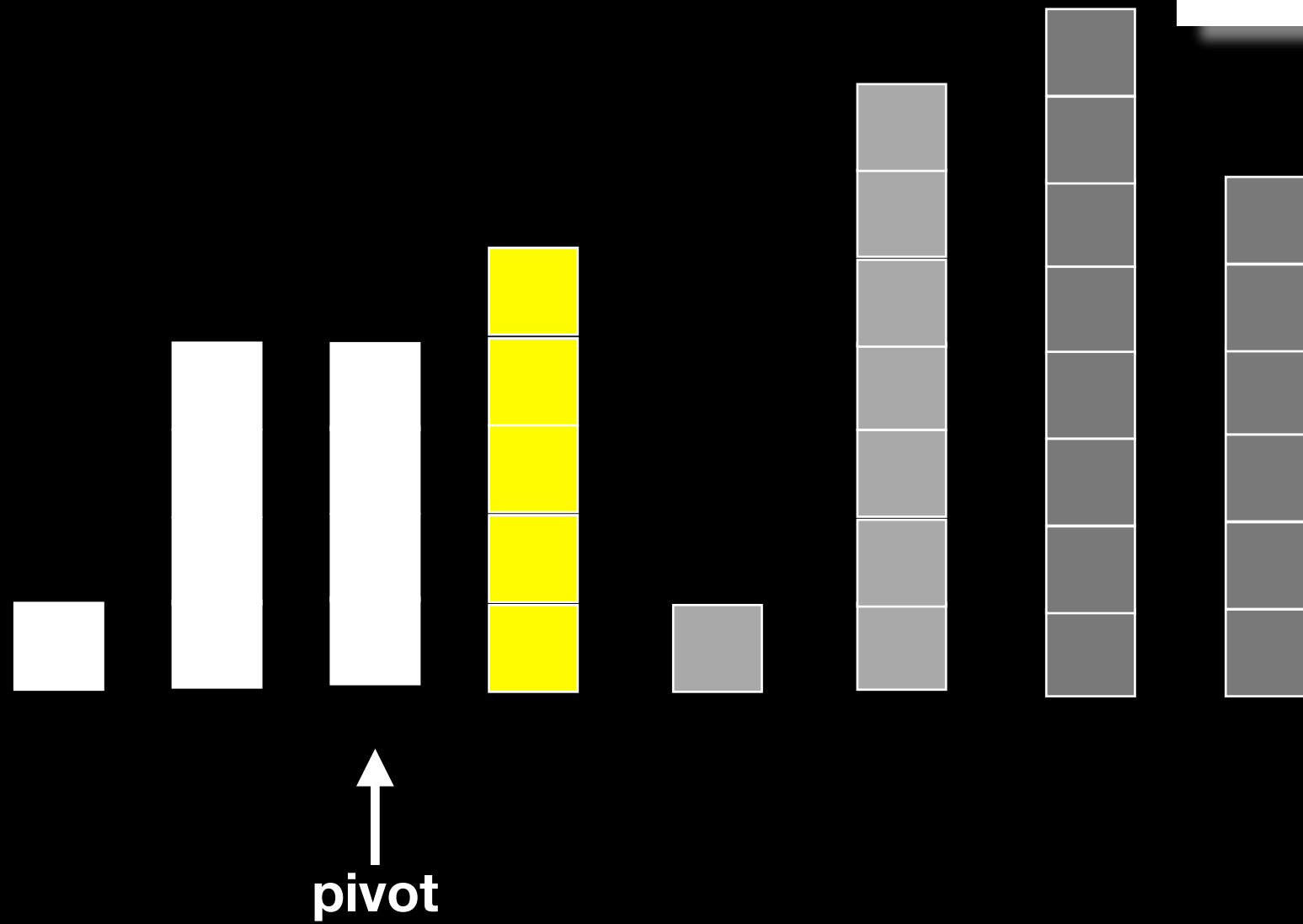
# Quick Sort



Select a pivot. Arrange other entries  
s.t. entries in **left partition** are  $\leq$  pivot  
and entries in **right partition** are  $>$  pivot

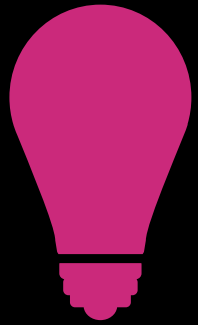
■  $\leq$  pivot  
■  $>$  pivot

**Partition**





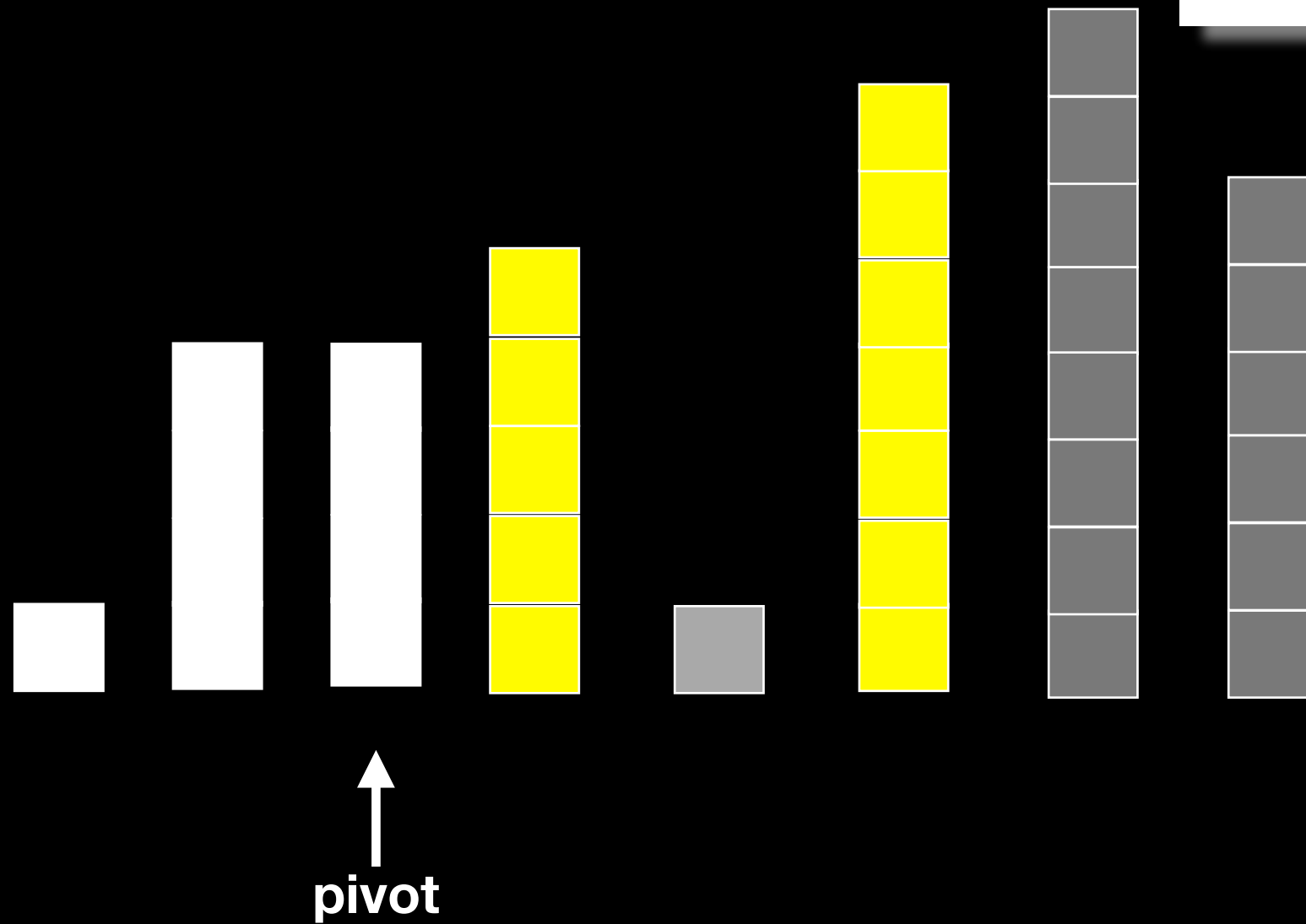
# Quick Sort



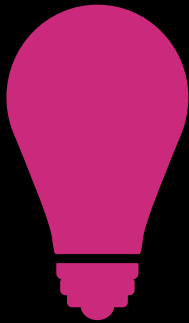
Select a pivot. Arrange other entries  
s.t. entries in **left partition** are  $\leq$  pivot  
and entries in **right partition** are  $>$  pivot

■  $\leq$  pivot  
■  $>$  pivot



**Partition**



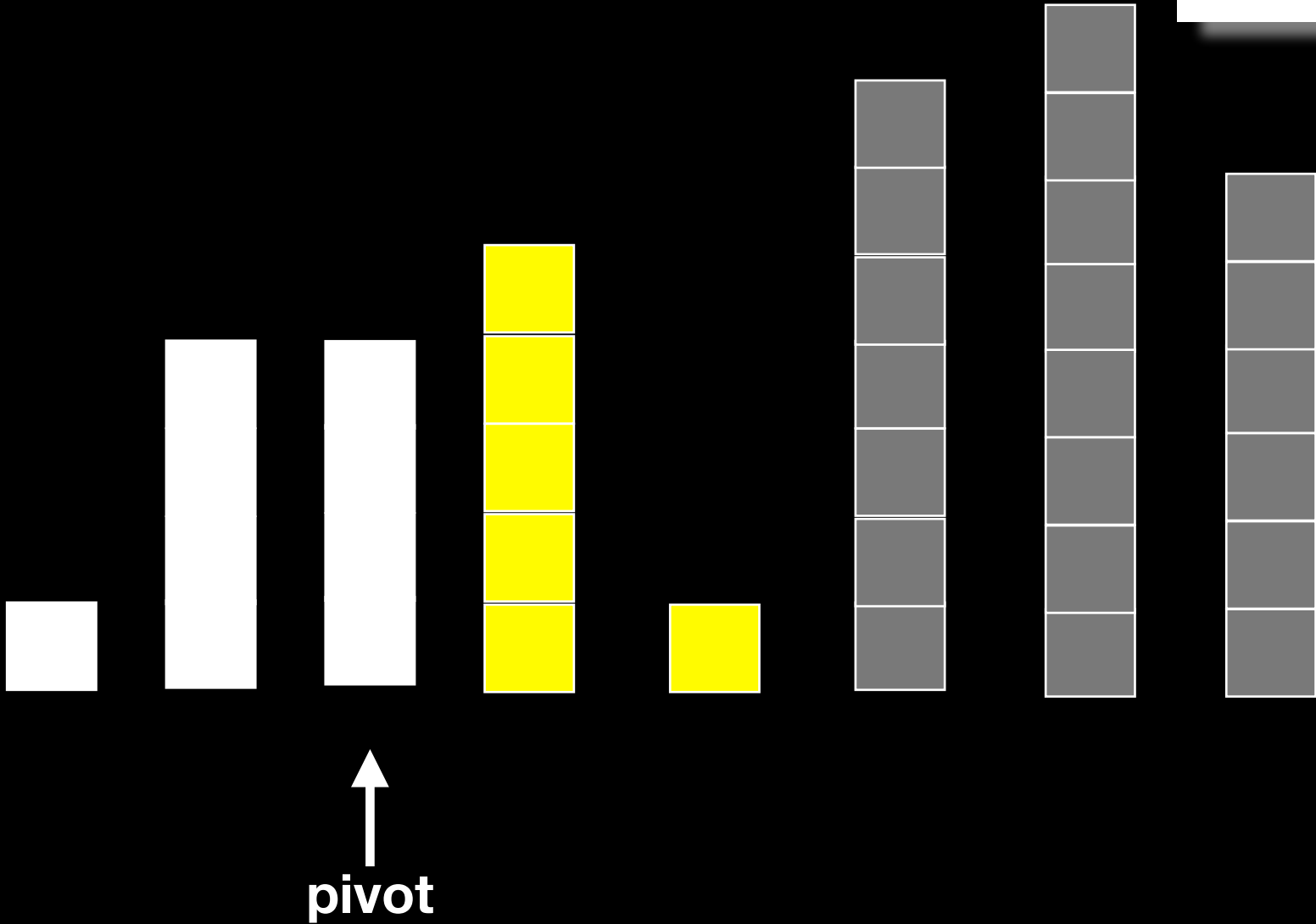
# Quick Sort



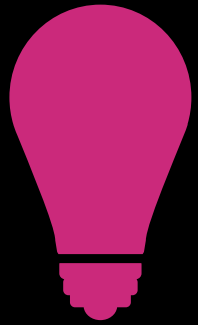
Select a pivot. Arrange other entries s.t. entries in left partition are  $\leq$  pivot and entries in right partition are  $>$  pivot

  $\leq$  pivot  
  $>$  pivot

**Partition**



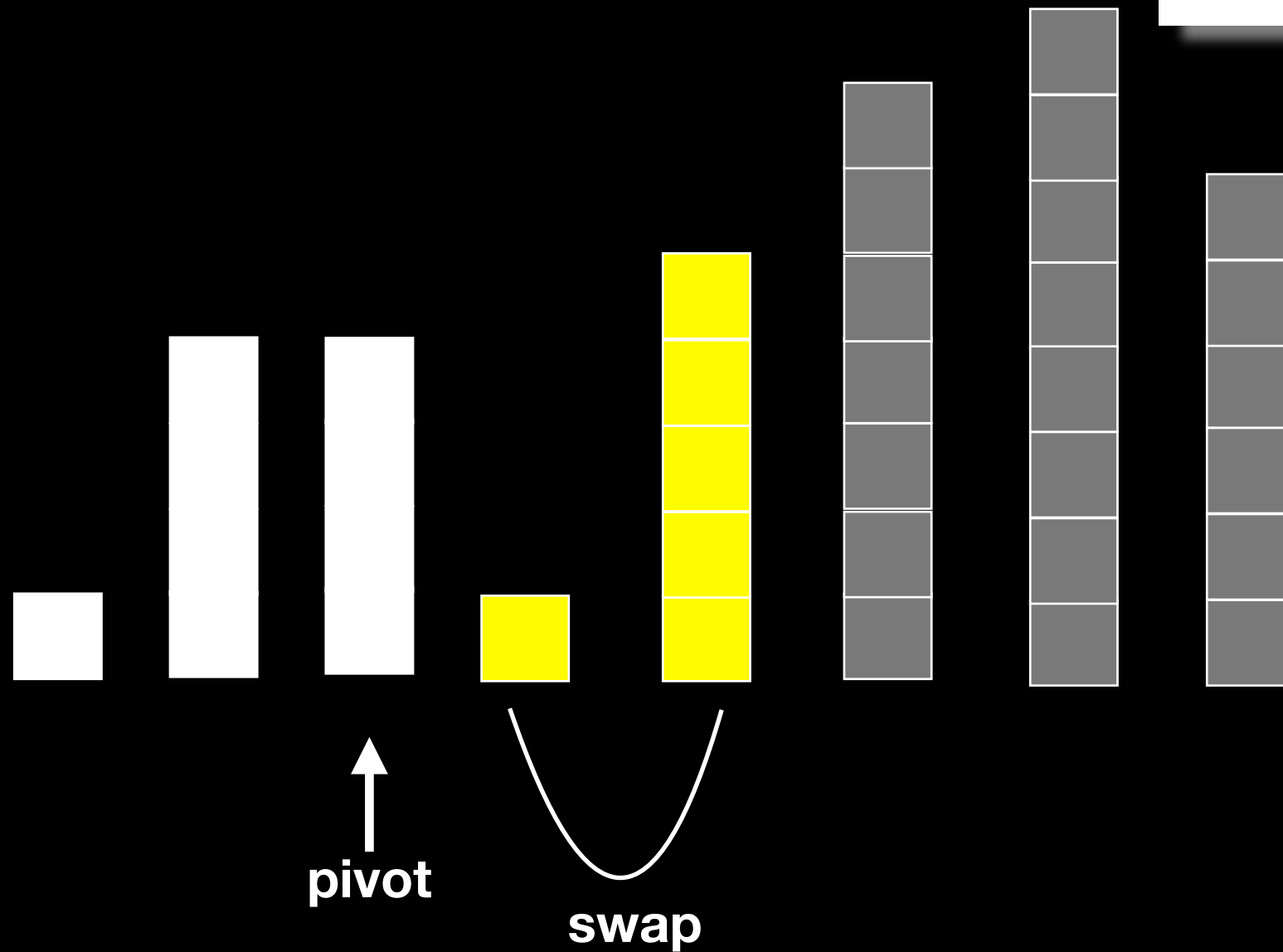
# Quick Sort



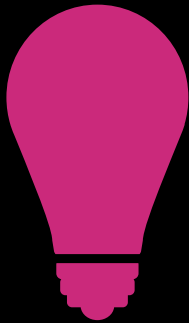
Select a pivot. Arrange other entries  
s.t. entries in **left partition** are  $\leq$  pivot  
and entries in **right partition** are  $>$  pivot

■  $\leq$  pivot  
■  $>$  pivot

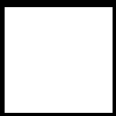

**Partition**



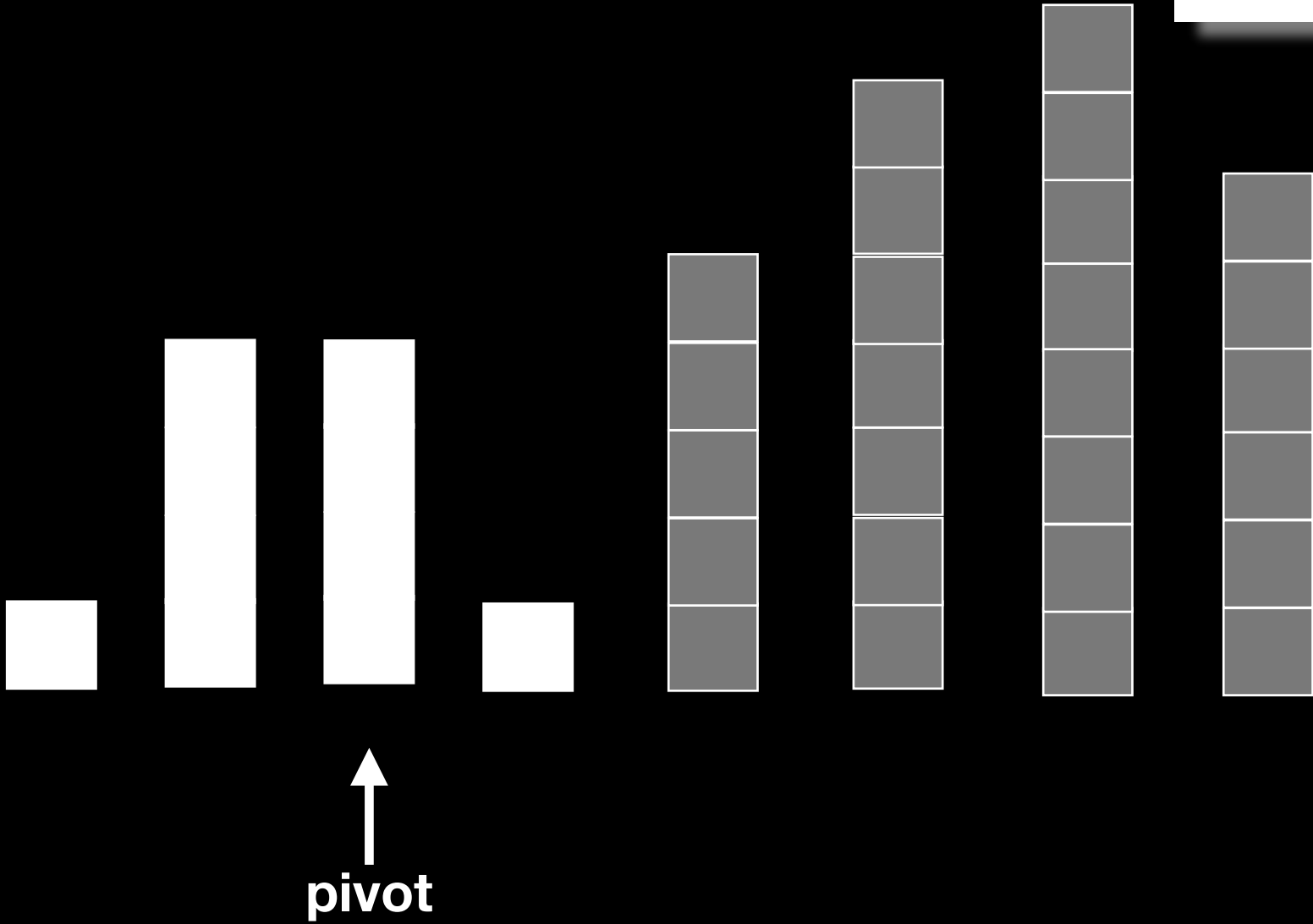
# Quick Sort



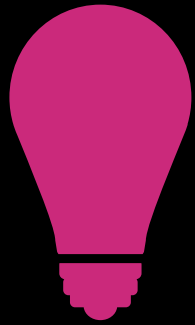
Select a pivot. Arrange other entries s.t. entries in **left partition** are  $\leq$  pivot and entries in **right partition** are  $>$  pivot

  $\leq$  pivot  
  $>$  pivot

**Partition**



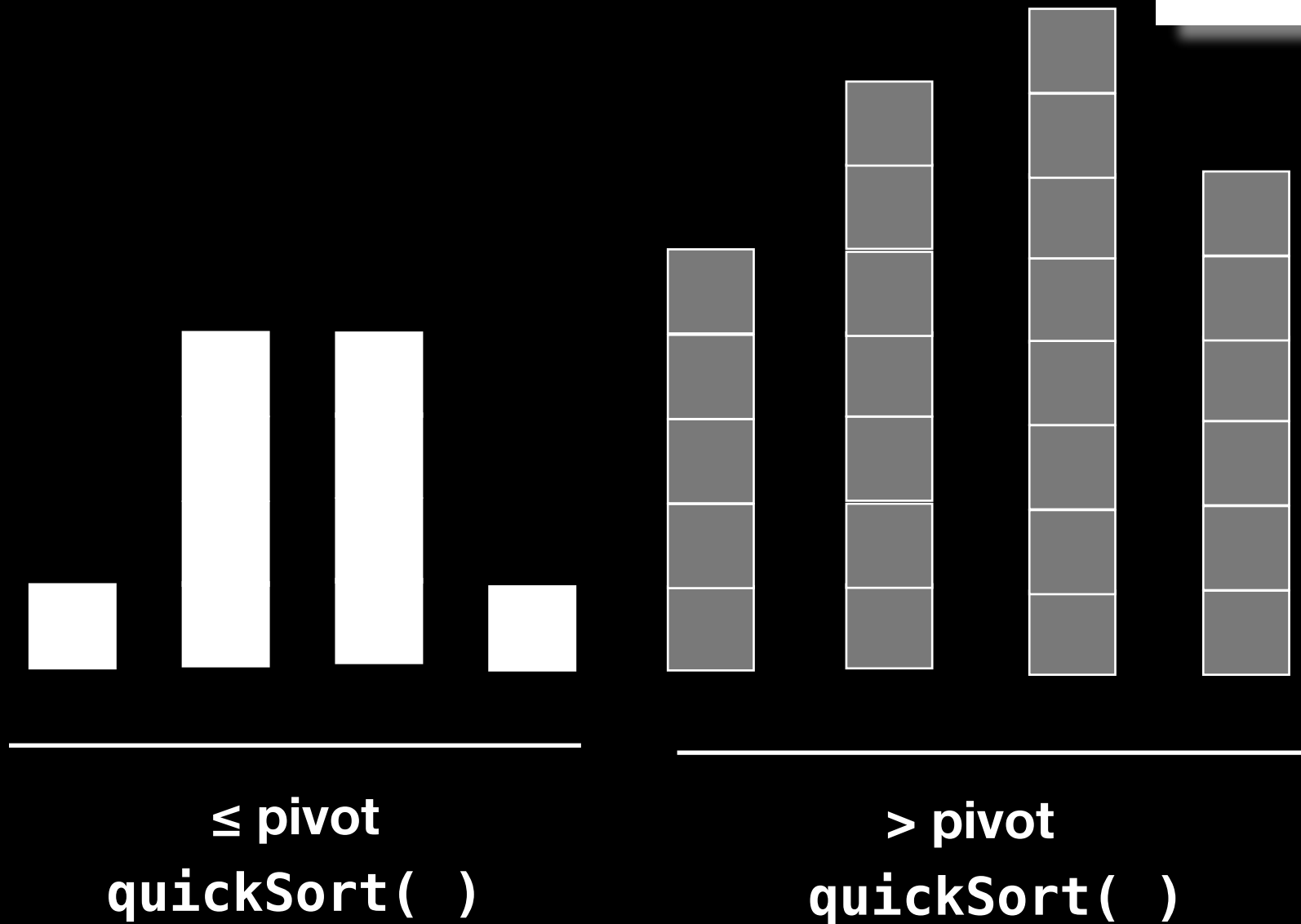
# Quick Sort



Select a pivot. Arrange other entries  
s.t. entries in **left partition** are  $\leq$  pivot  
and entries in **right partition** are  $>$  pivot

■  $\leq$  pivot  
■  $>$  pivot

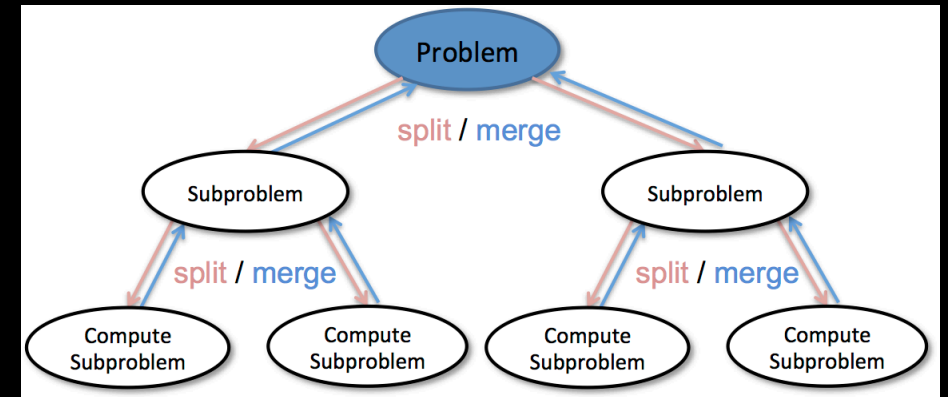
**Partition**



# Quick Sort Analysis

Divide and Conquer

$n$  comparisons for each partition



How many subproblems? => Depends on pivot selection

Ideally partition divides problem into two  $n/2$  subproblems for  $\log n$  recursive calls (Best case)

Possibly (though unlikely) each partition has 1 empty subarray for  $n$  recursive calls (Worst case)

```

template <class Comparable>
void quickSort(const std::vector<Comparable>& the_array,
               int first, int last)
{
    if (last - first + 1 < MIN_SIZE)
    {
        insertionSort(the_array, first, last);
    }
    else
    {
        // Create the partition: S1 | Pivot | S2
        int pivot_index = partition(the_array, first, last);

        // Sort subarrays S1 and S2
        → quickSort(the_array, first, pivot_index);
        → quickSort(the_array, pivot_index + 1, last);
    } // end if
} // end quickSort

```

Optimization

Optimization

# How to select pivot?



# How to select pivot?

Ideally median

Need to sort array to find median



Other ideas?

# How to select pivot?

Ideally median

Need to sort array to find median



Other ideas?

Pick first



# How to select pivot?

Ideally median

Need to sort array to find median



Other ideas?

Pick first, middle, last position and order them making middle the pivot



# How to select pivot?

Ideally median

Need to sort array to find median



Other ideas?

Pick first, middle, last position and order them making middle the pivot



↑  
pivot

# Quick Sort Analysis

Execution time **DOES** depend on initial arrangement of data AND on **PIVOT SELECTION** (luck?) => on random data can be faster than Merge Sort

**Possible optimization** (e.g. smart pivot selection, speed up base case, iterative instead of recursive implementation) can improve actual runtime  
-> fastest comparison-based sorting algorithm **on average**

















**Worst Case:**  $O(n^2)$  comparisons and data moves

**Best Case:**  $O(n \log n)$  comparisons and data moves

**Unstable**

	Worst Case	Best Case
<b>Selection Sort</b>	$O(n^2)$	$O(n^2)$
<b>Insertion Sort</b>	$O(n^2)$	$O(n)$
<b>Bubble Sort</b>	$O(n^2)$	$O(n)$
<b>Merge Sort</b>	$O(n \log n)$	$O(n \log n)$
<b>Quick Sort</b>	$O(n^2)$	$O(n \log n)$

<https://www.toptal.com/developers/sorting-algorithms>

 <b>Play All</b>	 <b>Insertion</b>	 <b>Selection</b>	 <b>Bubble</b>
 <b>Random</b>			
 <b>Nearly Sorted</b>			
 <b>Reversed</b>			

<https://www.youtube.com/watch?v=kPRA0W1kECg>

